

# 1 **Dynamic instability of axially loaded elements: general considerations** 2 **and seismic loading**

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## 5 **ABSTRACT**

6 The phenomenon of dynamic instability of steel beams under harmonic axial loading is analysed, in  
7 particular to identify those elements in equilibrium under static axial loads, i.e. loaded below the  
8 Euler load, but that could fail under dynamic conditions, possibly compromising the entire  
9 structural stability. In the literature, the general problem of dynamic instability was  
10 comprehensively presented by Bolotin, who defined instability regions. Bolotin's method was  
11 extended herein and more accurate instability regions derived. In some conditions, depending on the  
12 ratio between the frequency of the exciting load and the beam transversal natural frequency, an  
13 elastic beam could sustain a dynamic axial load greater than the Euler load.

14 The influence of geometric and material non-linearity on the shape of the instability regions has  
15 been evaluated herein through time series analyses. Then, response spectrum analyses were  
16 conducted to highlight possible effects of dynamic instability due to seismic loading. Two building  
17 typologies were considered: multi-storey buildings with cross bracing and existing industrial  
18 buildings. The results show that in the case of elements of the bracing system of new-designed  
19 multi-storey buildings, the dynamic instability is generally not an issue due to the high frequency of  
20 the single elements compared to the frequency of the fundamental mode of vibrations of the whole  
21 building. In the case of existing industrial buildings not designed to sustain seismic actions, some  
22 slender elements, with frequency of vibration compatible with the fundamental frequencies of the  
23 building, may undergo dynamic instability with possible detrimental effects in the whole building  
24 response.

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26 **Keywords:** dynamic instability; seismic action; axially loaded beams.

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## 27 1. INTRODUCTION

28 The stability of elements subjected to static and dynamic loading conditions has been widely  
29 studied over the years, particularly during the last century [1] [2] [3] [4] [5] [6] [7]. Among these  
30 topics, the phenomenon of dynamic instability is investigated herein.

31 When an elastic beam is subjected to a periodic axial load, whose amplitude is less than the Euler  
32 critical load, the beam will in general show only longitudinal vibrations. However, for some  
33 combinations of the ratio between the loading frequency and the transverse vibration frequency of  
34 the beam, the element will experience transverse oscillations with increasing amplitude, i.e.  
35 instability occurs. This phenomenon is known as dynamic instability and, although being  
36 extensively analysed in the context of mechanical and aerospace engineering, particularly for plates  
37 [8] [9] [10] [11] [12], it has not been systematically applied in the field of seismic engineering. In  
38 this scenario, the purpose of this work is to analyse the dynamic instability of steel elements, both in  
39 the elastic and inelastic range, and to define a method for its evaluation in the case of seismic  
40 loading by means of a response spectrum analysis.

41 The general theory of dynamic instability was comprehensively presented in Bolotin (1964) [13],  
42 who provided solutions to engineering problems using simplified mathematical methods by which  
43 he introduced the concept of “instability regions” as those regions in which dynamic instability may  
44 occur, i.e. indefinitely increasing transverse vibration of an axially loaded beam, as opposed to the  
45 “safe regions” where no instability is expected. Bolotin provided an approximation of the instability  
46 regions for elastic, simply-supported, constant cross-section beams as a function of the loading  
47 frequency, the transverse frequency of the beam, the initial loading conditions and the amplitude of  
48 the harmonic excitation. The small displacement-small deflection assumption applies. Other  
49 applications of this theory regard rods subjected to axial jump loading, where axial vibrations give  
50 rise to periodic axial loads which in turn cause unstable bending vibrations [14] [15], and the  
51 influence of wind loads in the elements of a building type structure [16]. An interesting application  
52 in the field of earthquake engineering was carried out by Azad et al. [17], who studied the effects of  
53 seismic loading on braced steel frames by means of non-linear dynamic analysis. In particular, the  
54 overload recorded in the braces and the frequency for which the phenomenon occurred were  
55 analysed. After evaluating the effect of this overload on the adjacent elements, a method was  
56 proposed to account for the overload in the design stage. For the treatment of dynamic instability  
57 equations and problems the use of a finite element approach is nowadays widely adopted [9] [11]  
58 [16] [17] [18].

59 In this study the method for determining the regions of dynamic instability proposed by Bolotin  
60 [13] has been extended to derive more accurate instability regions. The influence of geometric and

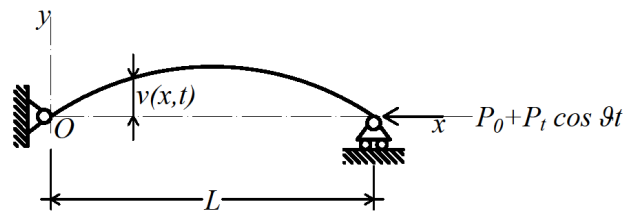
61 material non-linearity and of the beam slenderness on the distribution of unstable regions was  
 62 evaluated by means of non-linear dynamic analyses. It is interesting to note that, in some  
 63 conditions, the beam could sustain a dynamic axial load greater than the Euler instability load. Such  
 64 conditions could affect the application of the capacity design for earthquake type loading.

65 The possibility of dynamic instability in building type structures was investigated by means of  
 66 response spectrum analyses considering two case studies: a steel multi-storey building with cross-  
 67 bracing designed to sustain seismic actions and a single-storey industrial building not designed for  
 68 seismic actions. The results show that in the former case the dynamic instability is not an issue: in  
 69 the cross-bracing elements there are no cases where the axial load exceeds the static instability load  
 70 therefore capacity design is not affected; in the columns of the bracing system there are no cases  
 71 where dynamic instability occurs for lower than expected axial loads. This is due to the high  
 72 frequency of vibration of the single elements compared to the frequency of the fundamental mode  
 73 of vibrations of the whole building. In the latter case, existing industrial buildings not designed to  
 74 sustain seismic actions, some slender elements, with frequency of vibration compatible with the  
 75 fundamental frequencies of the building, may undergo dynamic instability with possible detrimental  
 76 effects in the whole building response.

## 77 2. THEORY OF DYNAMIC INSTABILITY

78 Considering the transverse oscillations of a simply-supported beam with no geometric  
 79 imperfections subjected to a periodic axial load (**Figure 1**), the differential equation governing the  
 80 problem in the case of small deflection [13] is:

$$81 \quad EJ \frac{\partial^4 v}{\partial x^4} + P \frac{\partial^2 v}{\partial x^2} + m \frac{\partial^2 v}{\partial t^2} = 0 \quad (1)$$



82

83 **Figure 1:** Deformed configuration of a straight rod loaded with a longitudinal periodic load.

84 where  $EJ$  is the beam bending stiffness,  $P$  is the longitudinal force defined as  $P(t) = P_0 + P_t \cos \vartheta t$ ,  
 85  $\vartheta$  is the frequency of the load and  $m$  is the mass per unit length of the beam. The solution of Eq. (1)  
 86 is sought in the form:

$$87 \quad v(x, t) = f_k(t) \sin \frac{k\pi x}{L} \quad (k = 1, 2, 3, \dots) \quad (2)$$

88 where  $f_k(t)$  are unknown functions of time and  $L$  is the length of the rod. Replacing Eq. (2) into  
 89 Eq. (1) it yields:

$$90 \quad \left[ m \frac{\partial^2 f_k}{\partial t^2} + EJ \frac{k^4 \pi^4 f_k}{L^4} - (P_0 + P_t \cos \vartheta t) \frac{k^2 \pi^2 f_k}{L^2} \right] \sin \frac{k\pi x}{L} = 0 \quad (3)$$

91 To satisfy this equation, the expression contained in the square brackets must be zero. The new  
 92 expression obtained is the same for all  $k$ , which therefore will be omitted for sake of clarity.

93 It is convenient to define the following parameters:

94 - the angular frequency of the first mode of transverse vibrations of the unloaded beam:

$$95 \quad \omega = \frac{\pi^2}{L^2} \sqrt{\frac{EJ}{m}} \quad (4)$$

96 - the angular frequency of the first mode of transverse vibrations of the beam loaded by a constant  
 97 longitudinal force  $P_0$ :

$$98 \quad \Omega = \omega \sqrt{1 - \frac{P_0}{P_e}} \quad (5)$$

99 - the Euler critical load:

$$100 \quad P_e = \frac{\pi^2}{L^2} EJ \quad (6)$$

101 - the excitation parameter:

$$102 \quad \mu = \frac{P_t}{2(P_e - P_0)} \quad (7)$$

103 It is interesting to note that  $\mu = 0.5$  leads to  $P_t + P_0 = P_e$ , therefore to the Euler critical load for static  
 104 conditions. Introducing these parameters in Eq. (3), one obtains:

$$105 \quad f'' + \Omega^2(1 - 2\mu \cos \vartheta t)f = 0 \quad (8)$$

106 where  $f''$  is the second derivative of  $f$  with respect to time. This second-order homogeneous linear  
 107 differential equation is known as Mathieu-Hill equation [19] [20]. Finally, by introducing in Eq. (8)  
 108 the damping term  $\varepsilon$ , defined as:

$$109 \quad \varepsilon = \xi \Omega \quad (9)$$

110 where  $\xi$  is the relative damping, the following differential equation is obtained:

$$111 \quad f'' + 2\varepsilon f' + \Omega^2(1 - 2\mu \cos \vartheta t)f = 0 \quad (10)$$

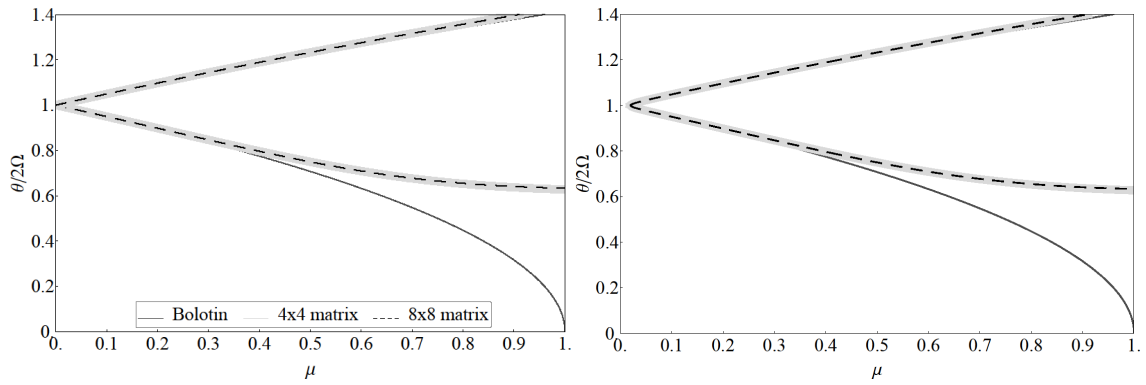
112 Such equation could be related to a Mathieu-Hill equation and its solution is reported in the  
 113 **Appendix A**. Bolotin [13], by considering only 2x2 systems of equations, provided closed-form  
 114 solutions on the problem and identified the boundaries of regions of dynamic instability in the plane  
 115  $(\vartheta/2\Omega, \mu)$ . It is worth noting that some regions exist in which stability is guaranteed for axial loads  
 116 exceeding the Euler critical load.



117 To refine the results obtained by Bolotin [13], the systems of equations were extended considering a  
118 matrix size  $8 \times 8$  and  $7 \times 7$  respectively (**Appendix A**) and solved analytically. The boundaries of the  
119 first 3 dynamic instability regions obtained from the refined solution ( $8 \times 8$  matrix for region 1 and 3,  
120 and  $7 \times 7$  matrix for region 2) are compared to the boundaries obtained from less refined solutions,  
121 i.e.  $4 \times 4$  matrix and  $2 \times 2$  matrix, being the latter solution the one adopted by Bolotin [13]. **Figure 2**  
122 shows this comparison for both the non-damped and damped case ( $\zeta = 1\%$ ). It is observed that the  
123 instability regions obtained from the simplified method (referred to as “Bolotin” in **Figure 2**) [13]  
124 represent a reasonable approximation of the solution up to  $\mu = 0.5$ , while for higher values of  $\mu$  a  
125 significant difference is observed, especially for the lower boundary of each region. Looking at the  
126 overall results presented in **Figure 3**, i.e. at all the seven instability regions, it is observed how the  
127 instability regions for  $\vartheta/2\Omega$  approaching 0 (i.e. for quasi static loading) tend to  $\mu = 0.5$ , i.e. to the  
128 Euler critical load. Another interesting aspect is that between the first pairs of regions (i.e. between  
129 the 1<sup>st</sup> and the 2<sup>nd</sup> region and between the 2<sup>nd</sup> and the 3<sup>rd</sup> region) it is observed how stable solutions  
130 are possible when  $P_0$  is lower than the Euler critical load ( $P_e$ ) but the total loading ( $P_0 + P_l$ ) exceeds  
131  $P_e$ . This aspect was not highlighted in the previous formulation [13]. From **Figure 3** it is evident  
132 that damping involves a shift to the right of the origin of the instability regions. Furthermore, an  
133 attempt to define simplified regions in which dynamic instability does not occur is reported in  
134 **Appendix B**.

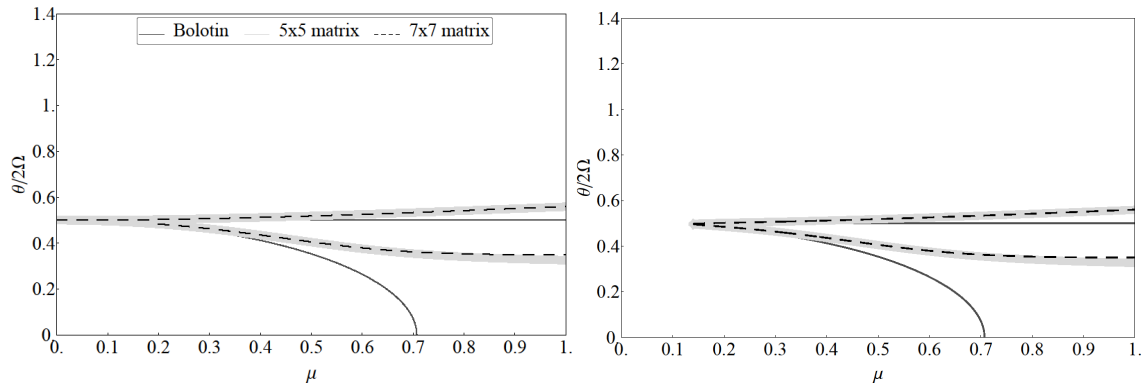
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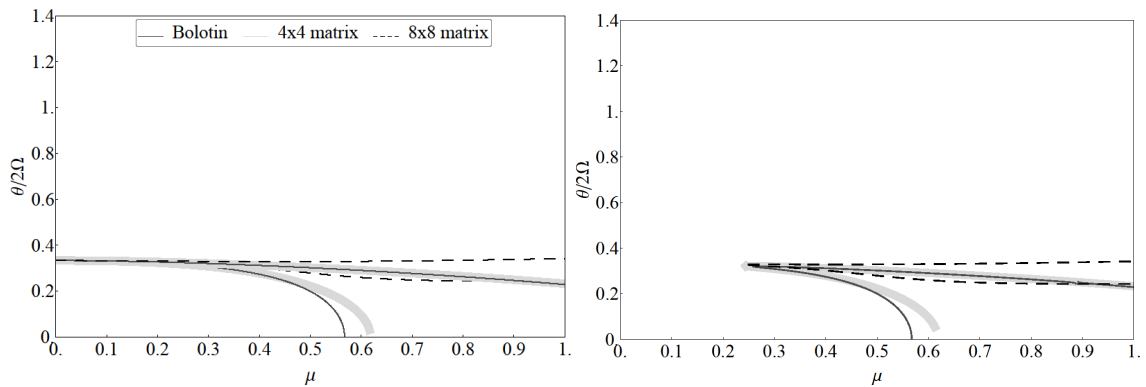
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a)



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b)



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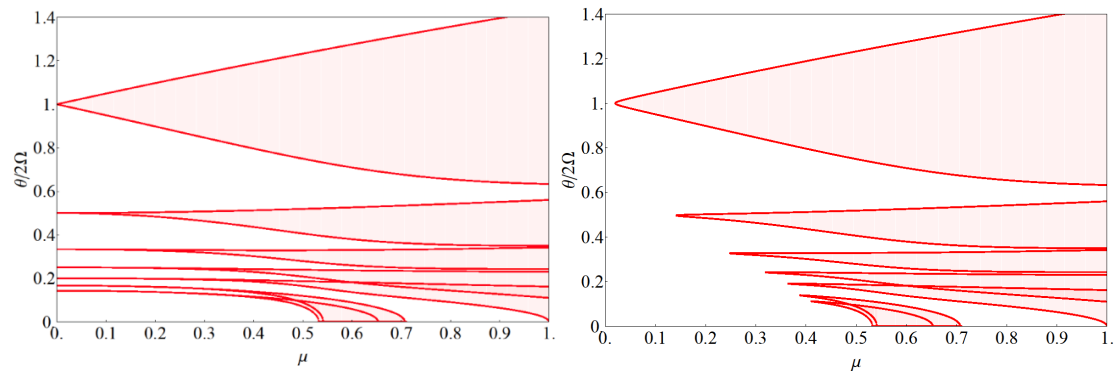
c)

**Figure 2:** Comparison of the boundaries of the first three regions of dynamic instability investigated by Bolotin.

Note: a), b), and c) correspond to the 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> region of instability.

Left-side undamped case, right-side damped case ( $\zeta = 1\%$ ).

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**Figure 3:** Regions of dynamic instability obtained from the 8x8 matrix and 7x7 matrix.

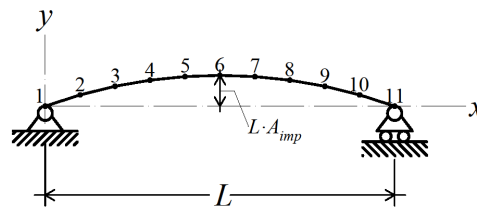
Note: left-side undamped case, right-side damped case,  $\zeta = 1\%$ .

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### 146 3. INFLUENCE OF NON-LINEARITIES

147 The previous considerations are based on the hypotheses that the beam is perfectly linear, the  
148 material is elastic and the small-displacements assumption applies. This section investigates the  
149 possible variation of the shape of the instability regions by removing the hypothesis of small-  
150 displacements and by including an initial imperfection of the beam and the non-linearity of the  
151 material, i.e. considering the plastic properties of the steel. Subsequently, another slenderness ratio  
152 was analysed. To account for all these sources of non-linearity, a series of numerical time history  
153 simulations has been conducted for various conditions ranging in the previously considered  
154 extensions of the  $\mu - \vartheta/2\Omega$  plane. The finite element software Abaqus [21] and the Abaqus2Matlab  
155 [22] toolbox were used to automate the process in a Matlab [23] environment to extract the value of  
156 the transverse oscillation of the beam and the moment-curvature relationship at mid-span.

157 A starting model was created in Abaqus [21] and its properties modified to perform the different  
158 series of analysis. Such model consists of a steel beam (grade S235) of length  $L$  equal to 4 m, with a  
159 constant circular cross-section of diameter  $D$ , constrained at one end by a perfect hinge, while at the  
160 other end by a simple support allowing the translation along the beam axis direction. An initial  
161 sinusoidal imperfection was assigned to the beam, which was subdivided into ten segments  
162 (Figure 4).



163

164

**Figure 4:** Considered finite element model with initial geometry imperfection.

165 Starting from this model, other models were derived with the characteristics shown in **Table 1**. The  
166 influence of the initial geometry imperfection was investigated with model A, for increasing  
167 amplitude of the initial imperfection, the evaluation of the material non-linearity with model B, by  
168 introducing the plastic properties of the material, and the influence of the slenderness with model C.  
169 The beam instability was evaluated considering the exceedance of a transverse displacement at the  
170 mid-span equal to 1/50 of the beam length  $L$ . Such limit was selected, for demonstration purposes,  
171 based on the following considerations:  $L/50$  corresponds to 10 times the standard initial  
172 imperfection ( $L/500$ ) and it also corresponds to 5 times the lateral displacement associated with an  
173 axial load corresponding to the critical load, considering elastic conditions and a first order analysis.  
174 In addition, higher deflections may trigger damage in the connections. From a computational point

175 of view such a limitation helped in reducing the analysis time. Other limit values may alternatively  
 176 be selected without compromising the general results presented herein. Regarding the time duration  
 177 of each analysis, a total time of 1s, 3s and 10s was considered to evaluate the influence of the  
 178 duration of loading on the onset of dynamic instability: indeed, Bolotin [13] referred to the dynamic  
 179 instability triggered by a stationary sinusoidal signal, while earthquakes are non-stationary events. It  
 180 is worth observing that the 10s duration leads to an approximation of the boundaries of the  
 181 instability regions comparable with the results of the extensions of Bolotin method [13] highlighted  
 182 in the previous section.

183 The nonlinear properties of the steel are included by means of a piecewise linear stress-strain  
 184 relationship passing through the following points: (0; 0), (235 MPa; 0.001119),  
 185 (360 MPa; 0.076119), (235 MPa; 0.131119), (100 MPa; 0.201119). Abaqus B21 elements ("Beam"  
 186 element with linear interpolation in the plane) with a mesh size 0.4m were used, but for the  
 187 segments 5-6 and 6-7 of the elasto-plastic model a mesh size of 0.1m were considered. Furthermore,  
 188 a Rayleigh damping was included in the model calculated by considering a relative damping  $\xi = 1\%$   
 189 for the 1<sup>st</sup> and 3<sup>rd</sup> transverse modes of vibration.

190 **Table 1:** Characteristics of the considered FE models.

191 Note:  $D$  is the beam diameter;  $\lambda$  is the normalized slenderness;  $\omega$  is the natural angular frequency of the beam;  $P_e$  is the  
 192 Euler critical load;  $P_{pl}$  is the elasto-plastic load (evaluated by means of a quasi-static pushover analysis).

Model	Material	$D$ (m)	$\lambda$	$\omega$ (Hz)	$P_e$ (kN)	$P_{pl}$ (kN)
A	Linear Elastic	0.0875	1.9471	11.1	372.7	-
B	Elasto-Plastic	0.0875	1.9471	11.1	372.7	307.6
C	Elasto-Plastic	0.1750	0.9735	22.2	5963.8	3542.0

193  
 194 The characteristics of the considered models are summarized in **Table 2**. The amplitude,  $P_t$ , and the  
 195 angular frequency,  $\vartheta$ , of the harmonic external load were varied to cover the  $\mu - \vartheta/2\Omega$  plane with  
 196 sufficient accuracy, i.e. variations of  $\mu$  and  $\vartheta/2\Omega$  equal to 0.0116 and 0.0242 respectively.

197 The results of the analyses are reported in the following graphs in which the dynamically unstable  
 198 points ( $\mu, \vartheta/2\Omega$ ) are plotted with the symbol \*. Moreover, the following graphs show the boundaries  
 199 of the instability regions previously determined (with red continuous lines), the value for which the  
 200 sum between the initial load  $P_0$  and the variable load  $P_t$  is equal to the Euler critical load  $P_e$  (i.e.  
 201  $\mu = 0.5$ , with a blue continuous line), and the value for which the sum between the initial load  $P_0$   
 202 and the variable load  $P_t$  is equal to the maximum plastic load  $P_{pl}$  evaluated under static conditions  
 203 (with a blue dotted line).

204  
205

**Table 2:** Parameters considered in each analysis series.

Note:  $A_{imp}$  is the ratio between the amplitude of the initial imperfection and the beam length.

<b>Id.</b>	<b>Model</b>	$A_{imp}$	$P_0$ (kN)	$P_0 / P_e$	$P_0 / P_{pl}$
A1	A	1/1000	50.0	13.4%	-
A2	A	1/500	50.0	13.4%	-
A3	A	1/250	50.0	13.4%	-
B1	B	1/500	0	0 %	0 %
B2	B	1/500	50.0	13.4%	16.3%
B3	B	1/500	186.5	50.0%	60.6%
B4	B	1/500	228.1	61.2%	74.2%
B5	B	1/500	260.0	69.8%	84.5%
C1	C	1/500	575.7	9.6%	16.3%

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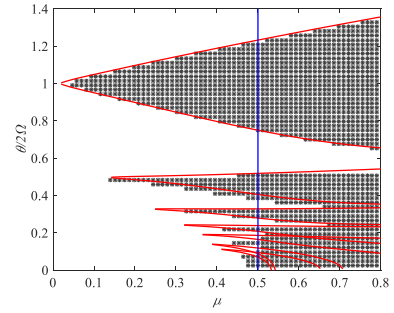
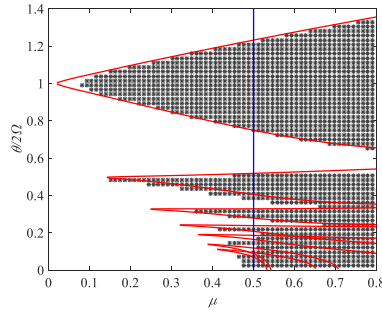
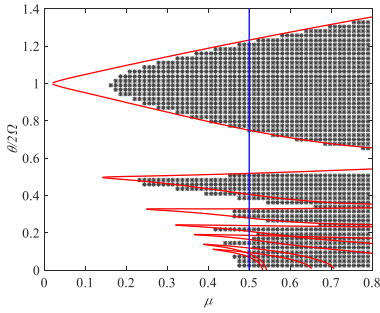
207 The influence of the geometric non-linearity is shown in **Figure 5**. It is worth noting that the greater  
208 the analysis time, the better the approximation of the instability regions for all the series. In  
209 addition, it is observed that the bounds are affected by the duration of analysis, particularly for low  
210 values of  $\mu$  (i.e. low initial load and low dynamic load). For this condition, the increase of the  
211 lateral displacement during dynamic loading occurs at a slower rate. Moreover, if the initial  
212 imperfection increases, from series A1 to A2 and to A3, the number of unstable cases increases in  
213 the case of  $\vartheta/2\Omega$  values lower than 0.5; such unstable points are characterised by  $\mu$  values lower  
214 than those theoretically predicted (i.e. a left shift of the instability regions). The geometric non-  
215 linearity does not affect the solution only for the 1<sup>st</sup> region of instability, as the unstable cases are  
216 almost identical for all the series and in accordance with the theoretical formulation. It is observed  
217 that a portion of the plane between the 1<sup>st</sup> and 2<sup>nd</sup> instability region is characterized by a stable  
218 response for  $\mu$  values greater than 0.5 (i.e. greater than the Euler critical load). Finally, it is observed  
219 that in the case of static loading (i.e.  $\vartheta/2\Omega = 0$ ), the onset of instability is related to the considered  
220 maximum lateral deflection taken as reference (herein 1/50 of  $L$ ): indeed, the greater the initial  
221 imperfection, the lower the load (and therefore  $\mu$ ) required to reach such lateral deflection.

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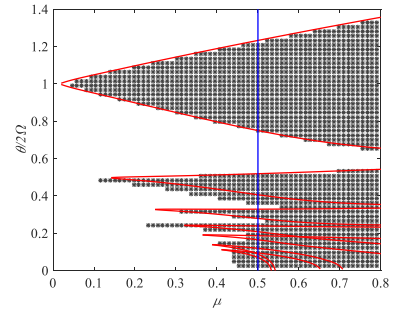
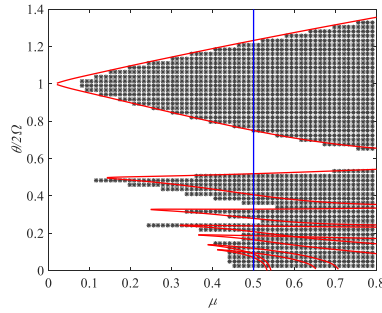
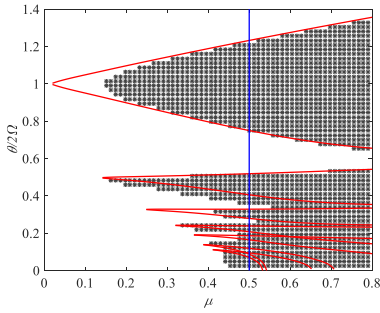
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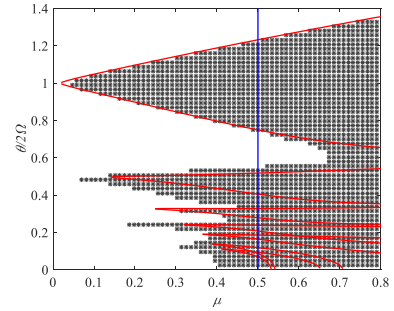
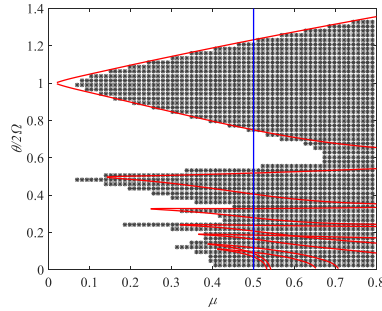
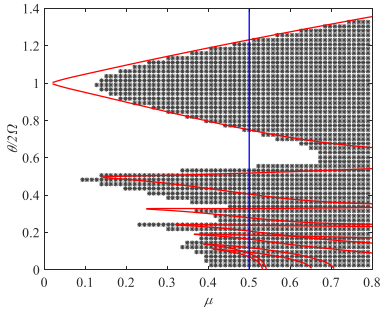
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**Figure 5:** Results of model A: investigation of geometric non-linearity (series A1, A2, and A3 from top to bottom)

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for different durations of the analysis time (1s, 3s, and 10s from left to right).

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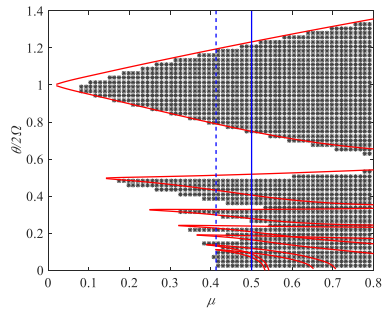
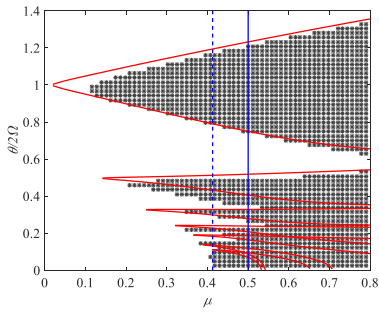
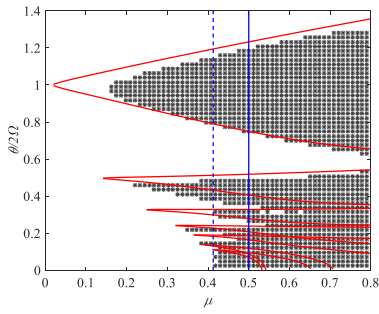
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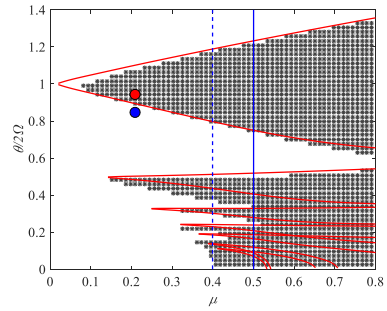
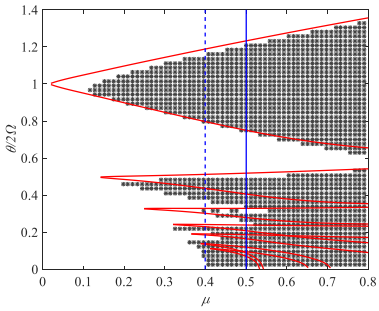
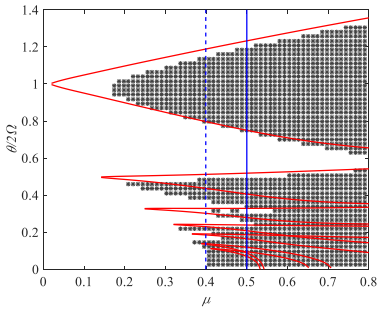
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**Figure 6** shows the results obtained from the investigation of the influence of the material non-linearity, obtained from introducing the plastic characteristics of the steel. As in the elastic case, it is observed that the number of unstable points in the analysis increases with the increase of the analysis time. The 1<sup>st</sup> region of instability is similar in the elastic and inelastic case, while for the higher order regions the unstable cases are outside the theoretical boundaries and they are characterized by lower values of  $\mu$  (i.e. a left shift of the instability regions). This aspect is more pronounced with the increase of the initial load  $P_0$ . It is observed that all the additional unstable points found in the inelastic case are characterized by inelastic transverse vibrations: i.e. the load demand in the rod (axial load and bending moment due to the 2<sup>nd</sup> order effects) for elastic conditions leads to stress values exceeding the yield stress, therefore in the inelastic case yielding occurs; under these conditions the system becomes dynamically unstable after introducing the material non-linearity. Finally, it is worth noting that between the 1<sup>st</sup> and 2<sup>nd</sup> instability regions there are still stable points beyond the axial capacity of the beam.

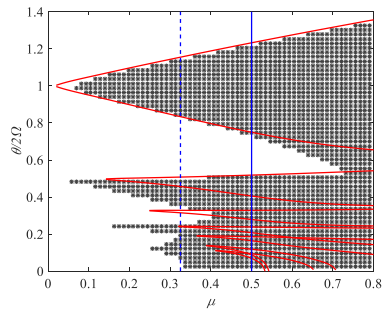
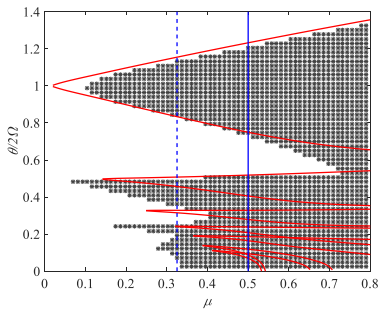
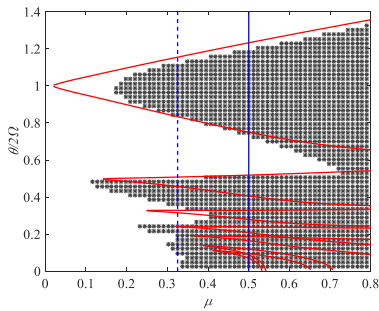
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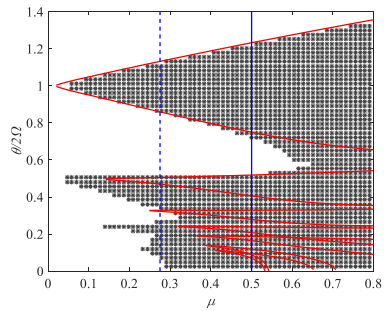
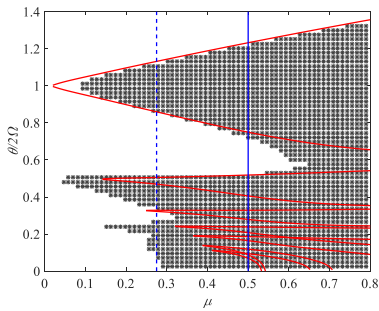
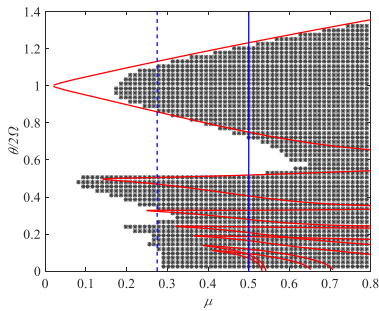
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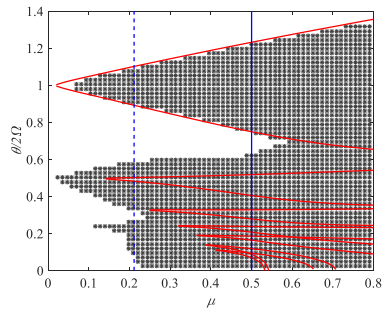
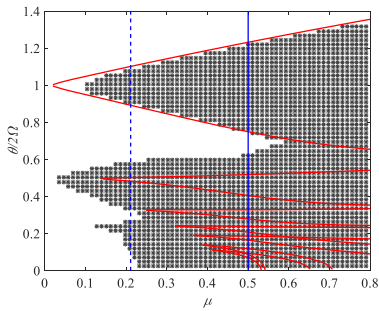
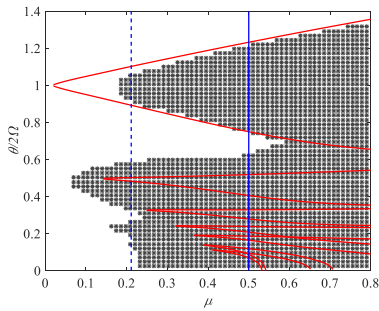
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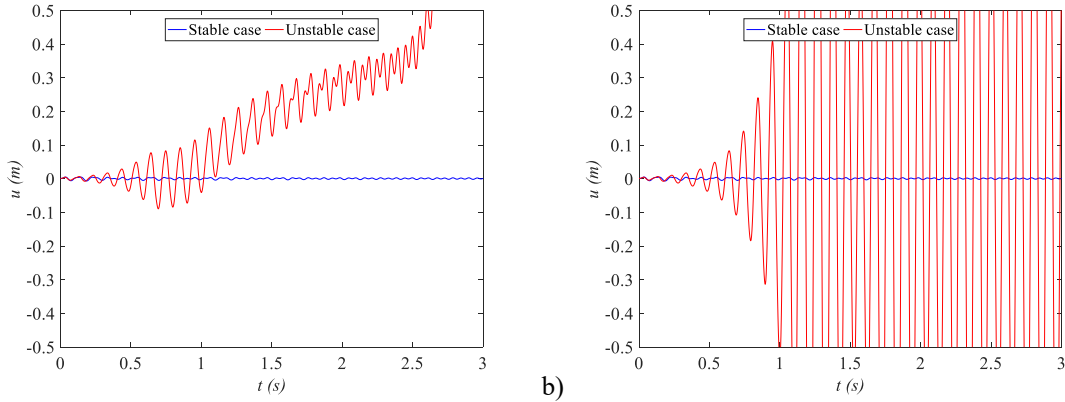
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**Figure 6:** Results of model B: influence of material non-linearity (series B1, B2, B3, B4, and B5 from top to bottom) for different durations of the analysis time (1s, 3s, and 10s from left to right).



251 For sake of clarity, the time history of a stable and an unstable point across the boundary of the 1<sup>st</sup>  
 252 region of instability (blue and red circles in **Figure 6**, respectively) are reported in **Figure 7** in the  
 253 case of plastic (**Figure 7a**) and elastic (**Figure 7b**) material.

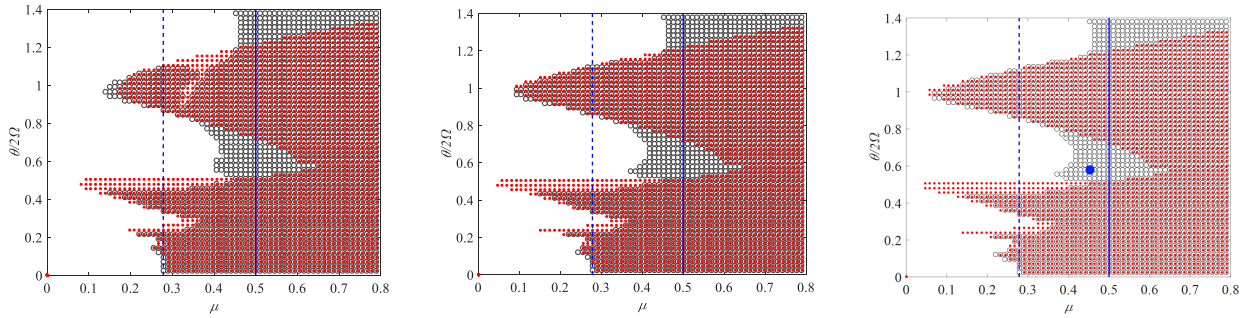


254 a) b)  
 255 **Figure 7:** Time history of a stable (blue circle) and an unstable (red circle) point of series B2 (**Figure 6**) in the case of  
 256 a) plastic material and b) elastic material.

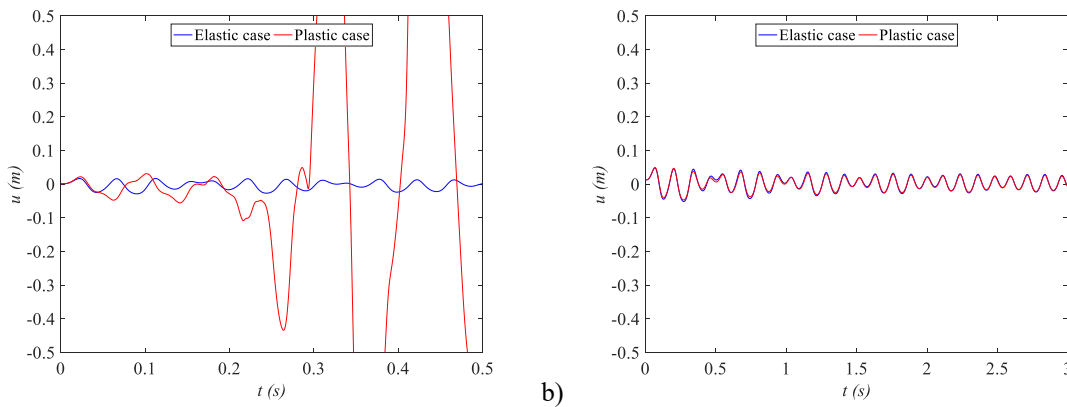
257 **Figure 8** shows the comparison between the results of the series C1, selected to assess the influence  
 258 of the beam slenderness  $\lambda$  ( $\lambda_C = 0.5 \lambda_B$ ), and the results of the series B4, since both cases are  
 259 characterised by the same  $\mu$  value for static conditions (i.e. for  $\vartheta/2\Omega = 0$ ). The unstable points of the  
 260 two series are represented with an empty circle and with a filled circle, respectively. As for the  
 261 previous investigations, if the analysis time increases, the number of unstable points also increases.  
 262 It is observed that the results of series C1 are all unstable beyond the continuous blue line (i.e. the  
 263 sum between the initial load  $P_0$  and the variable load  $P_t$  is equal to the Euler critical load) and,  
 264 generally, unstable points are found for  $\mu$  greater than 0.4 between the 1<sup>st</sup> and 2<sup>nd</sup> regions and above  
 265 the 1<sup>st</sup> region. This is associated with the difference of lateral deflection at yielding of the two  
 266 series: series C1 is characterized by yielding at the midspan cross-section for a much smaller lateral  
 267 deflection compared to series B4, 0.009m compared to 0.031m respectively. Considering the  
 268 additional unstable points of series C1, i.e. for instance the points between the 1<sup>st</sup> and 2<sup>nd</sup> instability  
 269 regions, the lateral deflection demand in the case of elastic material is much larger than the  
 270 displacement associated with yielding in the case of plastic material, i.e. far beyond 0.009m. This  
 271 comparison is shown in **Figure 9** in terms of time history for the blue circle depicted in **Figure 8**  
 272 for series B4 and C1 for both elastic and plastic material. Considering the reduction of unstable  
 273 points in the 2<sup>nd</sup> region (**Figure 8**), it is worth noting that such behaviour is not related to the time of  
 274 analysis, but to a peculiar combination of plasticity and loading frequency which needs to be further  
 275 investigated.



276 Similar results for models A, B, and C are obtained from considering 3% of relative damping as  
 277 reported in **Appendix C** along with a close up of the results in the plane  $(\mu; \vartheta/2\Omega)$  between  $(0; 0)$   
 278 and  $(0.5; 0.6)$ . In that case the designer could directly enter the provided graphs with the  $(\mu; \vartheta/2\Omega)$   
 279 point corresponding to a specific element and loading conditions and determine the possibility of  
 280 dynamic instability.



281  
 282 **Figure 8:** Results of model C: investigation of the influence of the slenderness ratio (series C1)  
 283 for different durations of the analysis time (1s, 3s, and 10s from left to right).  
 284 Note: the empty and filled circles are the unstable points of series C1 and B4 respectively

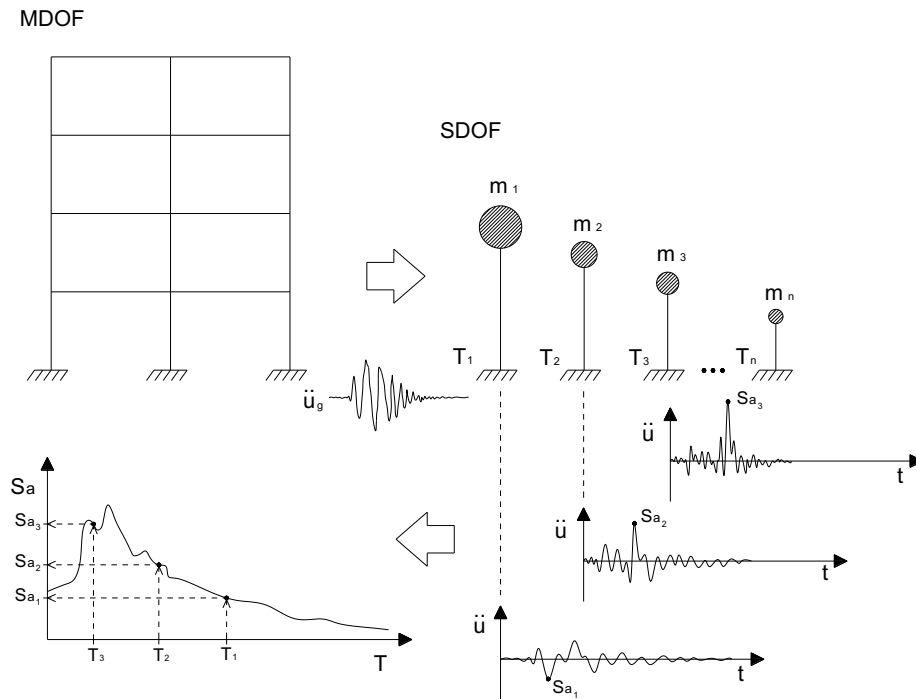


285  
 286 **Figure 9:** Time series of a reference point (blue circle) of **Figure 8** in the case of **a)** series C1 and **b)** series B4.

#### 287 4. DYNAMIC INSTABILITY DUE TO SEISMIC LOADING

288 Possible onset of dynamic instability in building type structures due to seismic loading could be  
 289 directly evaluated in the design phase by means of response spectrum analysis, which is a linear  
 290 dynamic approach based on the decoupling of the fundamental modes of vibration in classically  
 291 damped systems. Given a building, and in general a multi degree of freedom (MDOF) system, the  
 292 elastic response, for instance in terms of displacements and internal actions, may be directly  
 293 obtained from adding the response of single degree of freedom (SDOF) systems which represent the  
 294 fundamental modes of vibration of the original structure (**Figure 10**). Each of these SDOF systems  
 295 is characterized by a modal participation factor which acts as a weight for the total response. More  
 296 details can be found for instance in [26].

297 Given these premises, it is possible to introduce the response spectrum analysis, which measures the  
 298 contribution of each natural mode of vibration to the seismic response of a MDOF system. A  
 299 response spectrum collects the maximum response of SDOF systems with different periods of  
 300 vibration (**Figure 10**), for instance in terms of acceleration or displacement, when they are  
 301 subjected to the same ground motion. Considering a building and its fundamental modes of  
 302 vibration, it is possible to evaluate the maximum acceleration of each of them in the response  
 303 spectrum and to combine them to find the overall likely maximum response of the MDOF system.  
 304 Various combination techniques maybe adopted to account for the non-contemporaneity of the  
 305 maximum values of the SDOF systems, such as the square-root of the sum of the square rule [26].  
 306 Finally, to account for the inelastic behaviour of the system, the building codes introduce a  
 307 behaviour factor, which is a function of the structural typology, to reduce the ordinate values of the  
 308 response spectrum.



309  
 310 **Figure 10:** Scheme of response spectrum analysis.

311 Note:  $T_i$  and  $m_i$  are the period of vibration and participation mass of the  $i^{\text{th}}$  SDOF system, respectively;  $S_{a_i}$  is the  
 312 maximum acceleration of the  $i^{\text{th}}$  SDOF system under a ground acceleration  $\ddot{u}_g$ .

313 In the present study, the contribution of the various vibration modes is accounted for by considering  
 314 single modes at a time in the analysis: for instance, carrying out a response spectrum analysis taking  
 315 into account the sole 1<sup>st</sup> mode of vibration allows obtaining the variation of the axial load in the  
 316 elements (i.e.  $P_t$  according to the previous formulation) associated with the 1<sup>st</sup> mode of vibration of  
 317 the system, which is characterized by a specific angular frequency (i.e.  $\vartheta$  according to the previous  
 318 formulation, therefore the frequency of load). Once  $P_0$ ,  $P_t$ , and  $\vartheta$  have been defined, it is possible to

319 evaluate the dynamic instability of each axially loaded beam, which is characterized by a transverse  
320 angular frequency  $\Omega$ , following the formulation presented in the previous sections. Similar  
321 considerations apply for the evaluation of possible dynamic instability in the case of higher order  
322 modes of vibration. It is worth noting that the use of a response spectrum analysis provides results  
323 on the safe side because the values of such analysis are associated with the maximum values  
324 experienced by the system during the earthquake.

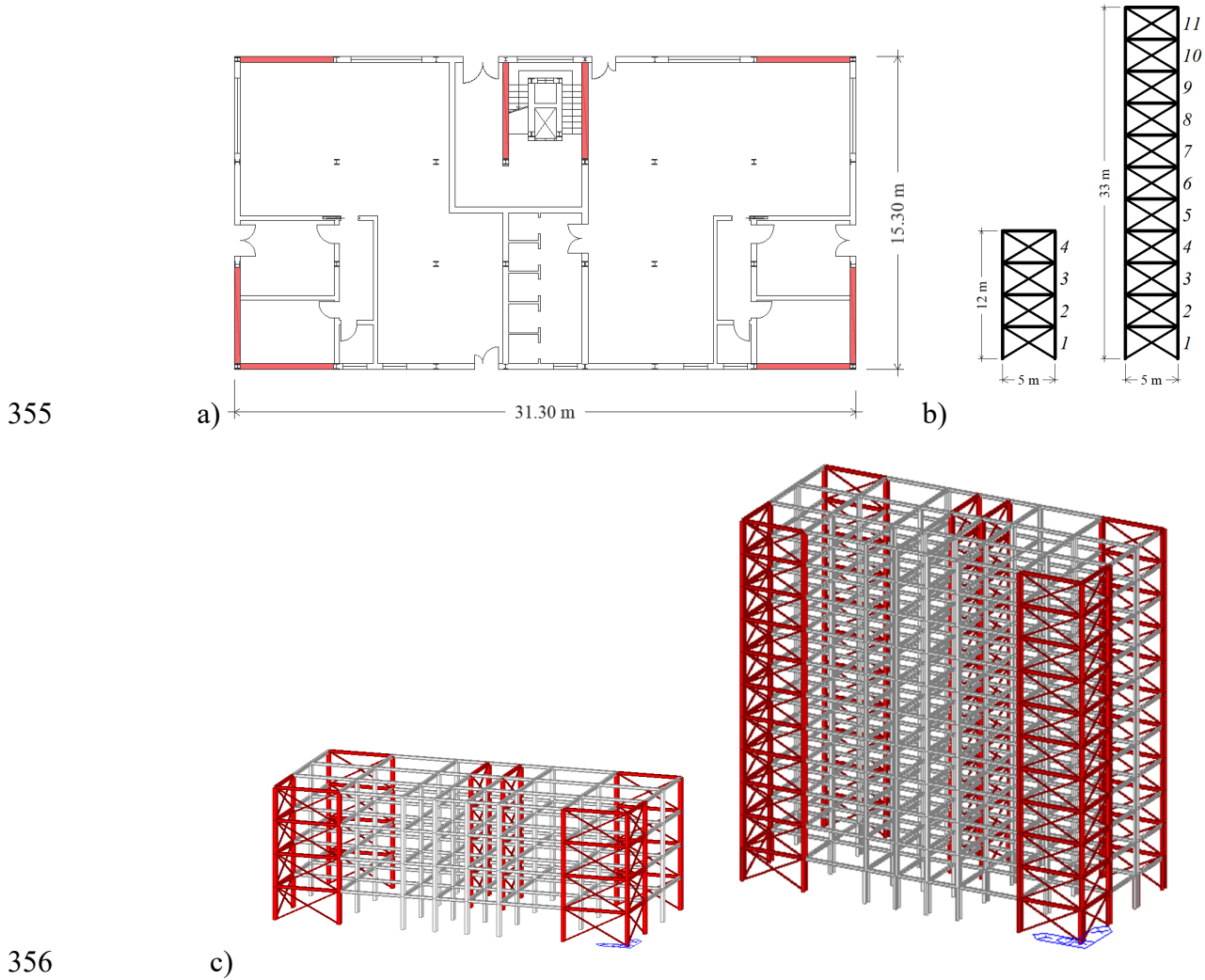
325 At this regard two case studies have been considered: a cross-braced multi-storey building (4 and 11  
326 storeys) designed for seismic actions and an existing single-storey industrial building not designed  
327 for seismic actions. Each case study was subjected to response spectrum analyses, with the software  
328 MidasGEN [24], considering a design spectrum corresponding to the life safety limit state in  
329 accordance to Eurocode 8 [25] with soil class B, ground acceleration on rock  $a_g$  equal to 0.270g,  
330 relative damping equal to 5%. The analyses were conducted considering a behaviour factor ( $q$ )  
331 equal to 4, i.e. in accordance with Eurocode 8 [25] for concentrically braced frames, in the first case  
332 study, and equal to 1.5, i.e. referring to existing industrial buildings not specifically designed for  
333 seismic loading, in the second case study.

#### 334 **4.1. Case study 1: multi-storey building**

335 The case study shown in **Figure 11** was selected as representative of cross-braced buildings  
336 designed for seismic actions. The response spectrum analysis of the whole building was considered  
337 and the effects of dynamic instability in the elements of the bracing system (columns and diagonals)  
338 were evaluated. For both the 4 and 11 storeys cases, the columns and the beams are made by  
339 HEM400 and HEA300 profiles respectively. Moreover, the columns of the ground floor of the 11  
340 storeys building are made by HEM600. Pinned connections are considered between the elements.  
341 The steel grade is S355 and the floor tributary mass is 71800kg. The diagonal elements, 5.83m  
342 long, are considered unloaded due to gravity (i.e.  $P_0 = 0$ ) and were designed based on the tension  
343 load resulting from a response spectrum analysis.

344 Considering that the Eurocode 8 [25] prescribes that the non-dimensional slenderness,  $\bar{\lambda}$ , must be  
345 limited between 1.3 and 2.0, a parametric analysis was carried out to evaluate the influence of  $\bar{\lambda}$  on  
346 the distribution of points in the  $\mu - \vartheta/2\Omega$  plane. At this regard, multiple analyses were carried out by  
347 varying the steel profile of the diagonal elements in each analysis, therefore varying the values of  $\bar{\lambda}$   
348 and the working rates (**Table 3**). Among these, the profiles allowed by Eurocode 8 [25] are  
349 HEA140, HEA120, HEA100 and 2L90x90x6. The excitation coefficient ( $\mu$ ) (Eq. (7)) and the  
350 frequency ratio ( $\vartheta/2\Omega$ ) were calculated after obtaining the load due to the earthquake ( $P_i$ ) from the  
351 response spectrum analyses. The resulting points for the diagonal elements of each floor level are

352 represented in **Figure 12**: the increase of the non-dimensional slenderness leads to an increase of  
 353 both the frequency ratio and of the excitation coefficient. The latter is associated with the decrease  
 354 of the Eulerian critical load ( $P_e$ ).



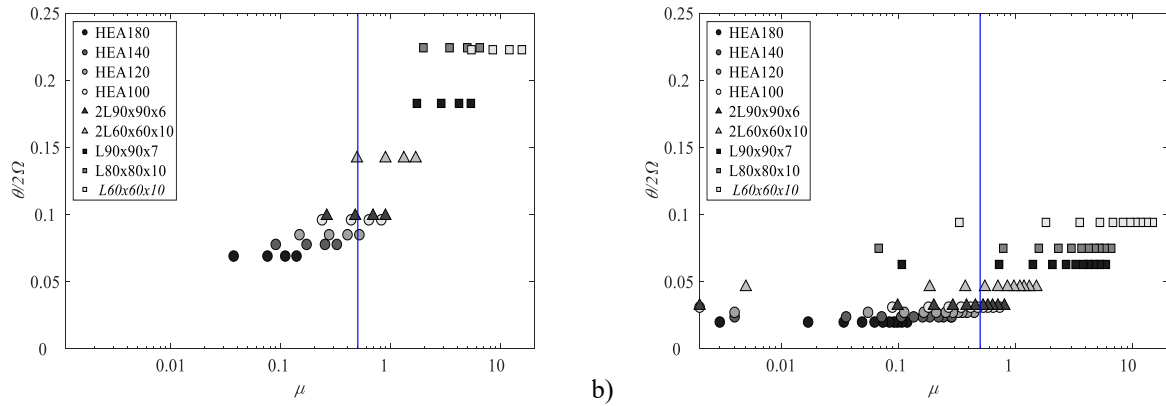
**Figure 11:** Case study 1: a) building plan with bracing position highlighted in red; b) bracing system for 4 and 11 storeys; c) finite element models for the two buildings.

**Table 3:** Diagonal elements types considered in the parametric analysis.

359 Note:  $\Omega$  is the frequency of the 1<sup>st</sup> transversal mode of vibration of the diagonals considered as pinned elements;  $\vartheta$  is the  
 360 fundamental frequency of the building, which is supposed to be the frequency of load; WR is the working rate.  
 361

4 storeys building					11 storeys building				
Profile	$\bar{\lambda}$	$\Omega$ (Hz)	$\vartheta$ (Hz)	WR	Profile	$\bar{\lambda}$	$\Omega$ (Hz)	$\vartheta$ (Hz)	WR
HEA180	1.02	17.68	2.347	31.8%	HEA180	1.02	17.68	0.671	32.8%
HEA140	1.33	13.69	2.009	44.2%	HEA140	1.33	13.69	0.608	42.3%
HEA120	1.56	11.69	1.831	49.3%	HEA120	1.56	11.69	0.571	49.7%
HEA100	1.88	9.68	1.697	53.7%	HEA100	1.88	9.68	0.541	57.7%
2L90x90x6	1.76	9.41	1.686	53.4%	2L90x90x6	1.76	9.41	0.538	57.6%
2L60x60x10	2.74	6.66	1.725	52.4%	2L60x60x10	2.74	6.66	0.547	55.5%

L90x90x7	3.82	4.23	1.345	69.3%	L90x90x7	3.82	4.23	0.450	92.0%
L80x80x10	4.92	3.70	1.470	63.2%	L80x80x10	4.92	3.70	0.484	77.0%
L60x60x5	6.23	2.81	1.006	94.1%	L60x60x10	6.60	2.76	0.436	99.9%



**Figure 12:** Evaluation of the influence of the profile of the diagonal elements in the  $\mu - \vartheta/2\Omega$  plane:

a) 4 storeys building; b) 11 storeys building.

Note: abscissa in logarithmic scale for sake of clarity.

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In all the considered cases, the points are associated with low frequency ratios, where no stability regions (**Figure 6**) are present beyond the elastic case ( $\mu = 0.5$ ), therefore an axial overload in such diagonal elements is not expected and the design is not affected. It is worth noting that, according to the results presented in the previous section, the design could be affected only for  $\vartheta/2\Omega$  greater than 0.18, i.e. only above such value it is possible to find stable regions beyond the static critical load, therefore possibly providing an overload in the compressed elements.

A complete analysis of the bracing systems of the two case studies was carried out considering the HEA100 profile as diagonal elements, because such profile satisfied the Eurocode 8 slenderness requirements. **Table 4** shows the frequency and the modal participation mass of the first two modes of vibrations, obtained from an eigenvalue analysis. **Table 5** reports the values of  $P_t$ , i.e. the seismic load, for the first two fundamental modes obtained from the response spectrum analyses and the corresponding point in the  $\mu - \vartheta/2\Omega$  plane (**Figure 13**) for the bracing system with the highest loading rate. Even the columns of the bracing system are not affected by dynamic instability because they present very low  $\vartheta/2\Omega$  ratios, about 0.004, and therefore static instability governs.

**Table 4:** 1<sup>st</sup> and 2<sup>nd</sup> mode of vibration frequency and modal mass.

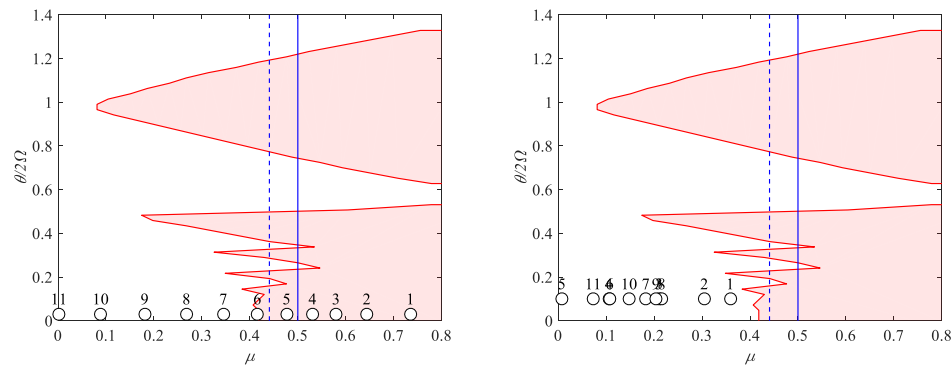
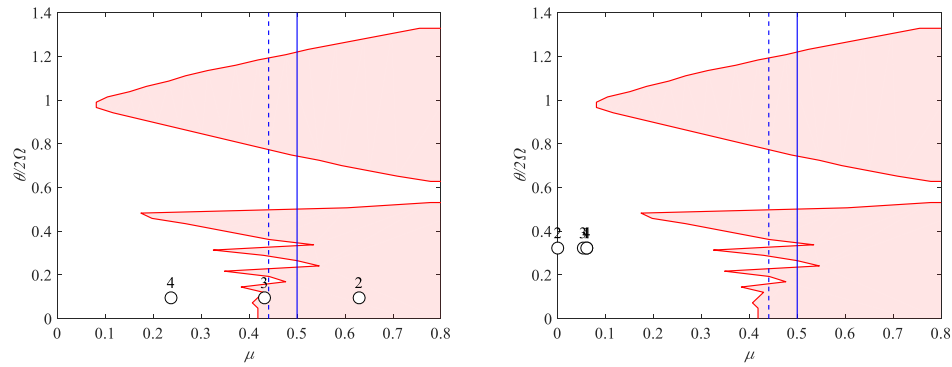
4 storeys building			11 storeys building		
Mode	Frequency (Hz)	Modal mass	Mode	Frequency (Hz)	Modal mass
1 <sup>st</sup>	1.866	89.0%	1 <sup>st</sup>	0.602	79.8%
3 <sup>rd</sup>	6.275	8.7%	3 <sup>rd</sup>	1.936	13.5%

381

382

**Table 5:** Load ( $P_i$ ) on the bracing elements and coordinates of the stability plane.

4 storeys			1 <sup>st</sup> mode			2 <sup>nd</sup> mode		
Level	$P_i$ (kN)	$\mu$	$\vartheta/2\Omega$	$P_i$ (kN)	$\mu$	$\vartheta/2\Omega$		
1	347.0	0.815	0.096	26.0	0.061	0.324		
2	268.0	0.629	0.096	0.3	0.001	0.324		
3	184.0	0.432	0.096	23.0	0.054	0.324		
4	101.0	0.237	0.096	26.0	0.061	0.324		
11 storeys			1 <sup>st</sup> mode			2 <sup>nd</sup> mode		
Level	$P_i$ (kN)	$\mu$	$\vartheta/2\Omega$	$P_i$ (kN)	$\mu$	$\vartheta/2\Omega$		
1	313.0	0.735	0.031	153.0	0.359	0.100		
2	274.0	0.644	0.031	130.0	0.305	0.100		
3	247.0	0.580	0.031	90.0	0.211	0.100		
4	226.0	0.531	0.031	45.0	0.106	0.100		
5	203.0	0.477	0.031	3.3	0.008	0.100		
6	177.0	0.416	0.031	46.0	0.108	0.100		
7	147.0	0.345	0.031	78.0	0.183	0.100		
8	114.0	0.268	0.031	92.0	0.216	0.100		
9	77.0	0.181	0.031	87.0	0.204	0.100		
10	38.0	0.089	0.031	63.0	0.148	0.100		
11	1.0	0.002	0.031	31.0	0.073	0.100		

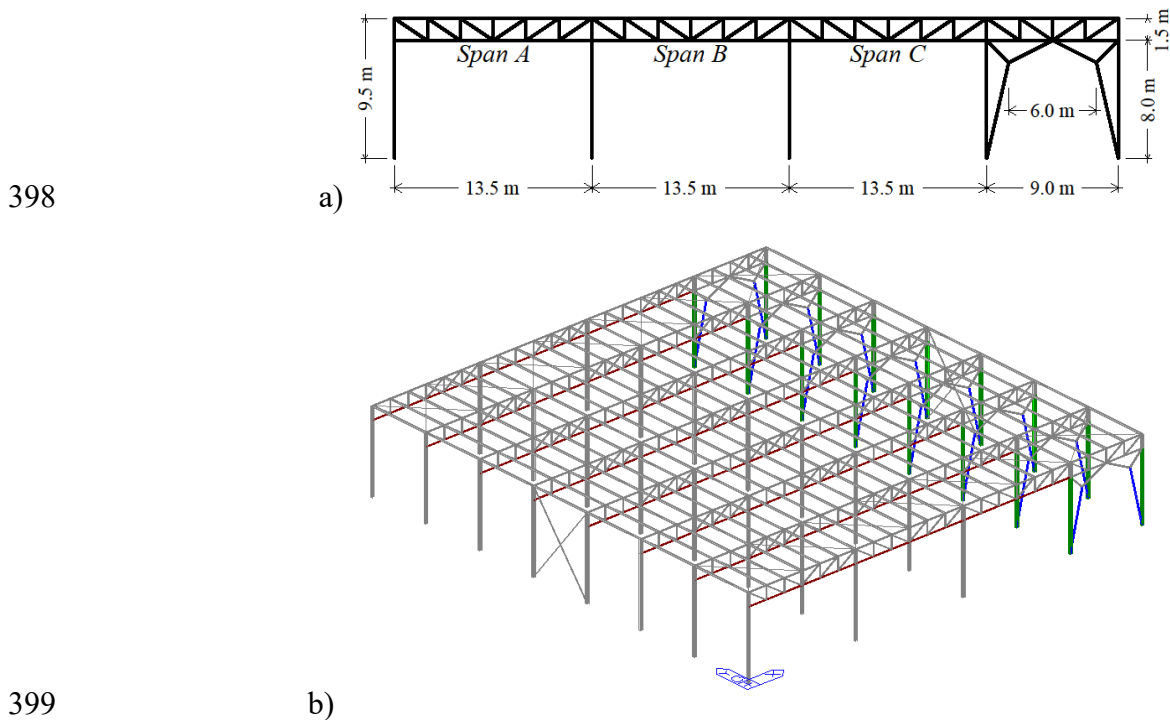


**Figure 13:** Stability plane for the diagonal elements of Case study 1: a) 4 storeys building; b) 11 storeys building.

Note: the number corresponds to the floor level; the shaded region corresponds to the envelope of the instability regions (series B1 and  $\xi = 1\%$ ); 1<sup>st</sup> and 2<sup>nd</sup> fundamental mode of vibration on the left and right side, respectively.

390 **4.2. Case study 2: industrial building**

391 The case study shown in **Figure 14** was selected as representative of existing industrial buildings  
392 not specifically designed for seismic loading. The building is analysed to highlight possible  
393 dynamic instability particularly for the bottom chord of the truss elements in the out-of-plane  
394 direction. The columns are made by HEA260 elements, the truss is made by 2 L65x100x11  
395 elements for the top chord, 2 L80x80x8 elements for the bottom chord, and 2 L80x120x10 elements  
396 for the diagonal members, the lateral bracing system is made by 2 L80x120x10 elements. The steel  
397 grade is S235, the purlin length is 7.5 m and the roof unit mass is 55.8 kg/m<sup>2</sup>.



400 **Figure 14:** Case study 2: a) building bracing dimensions; b) finite element model.

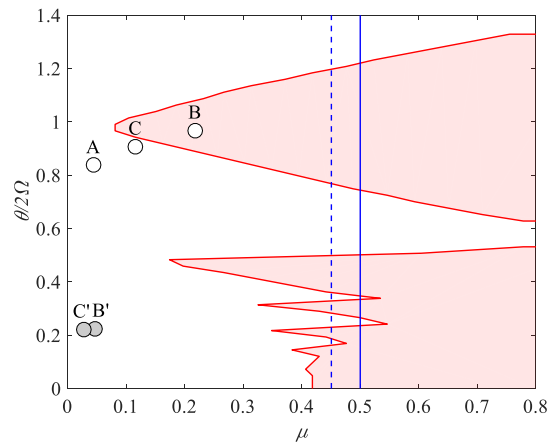
401 The frequency of the fundamental mode of vibration, obtained from an eigenvalue analysis, is  
402 3.01Hz. The out-of-plane frequency of vibration of the truss bottom chord is  $\omega = 1.70\text{Hz}$  and the  
403 loads obtained from the response spectrum analyses are reported in **Table 6** along with the  
404 corresponding points in the stability plot, which are graphically represented in **Figure 15**. Since the  
405 chord is composed by various elements, the average axial load is considered herein. From  
406 **Figure 15** it is possible to note that the bottom chord of span B and possibly of span C might be  
407 affected by out-of-plane dynamic instability. To counteract this phenomenon, it is possible to add  
408 additional bracing elements connecting the bottom chords of subsequent frames as shown in  
409 **Figure 16**. The resulting points in the stability plots are shown in **Figure 15** with grey circles.  
410 Regarding the diagonal elements and the columns of the vertical bracing system, the corresponding

411 points in the instability plot associated with the maximum loaded elements are (0.387; 0.243) and  
 412 (0.034; 0.183), respectively, which lay outside the region of dynamic instability.

413 **Table 6:** Loads acting on the truss bottom chord, frequency ( $\Omega$ ) of the loaded beam,  
 414 and coordinates of the points in the stability plane

Span	$P_0$ (kN)	$\Omega$ (Hz)	$P_t$ (kN)	$\mu$	$\vartheta/2\Omega$
A	-4.07	1.78	3.9	0.044	0.838
B	6.97	1.54	14.4	0.218	0.968
C	2.43	1.65	8.7	0.116	0.908

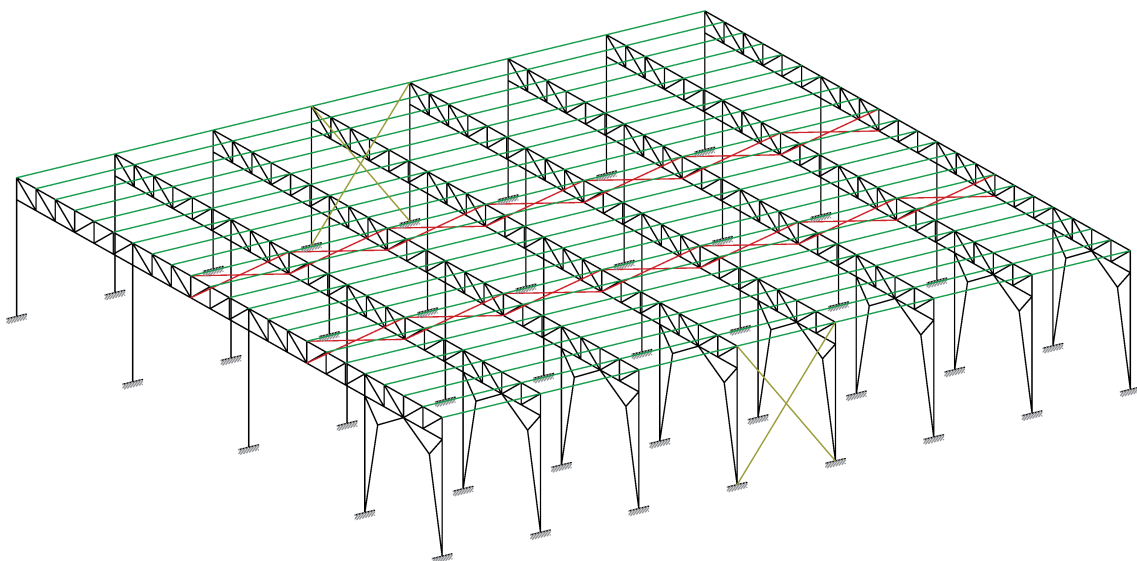
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417 **Figure 15:** Representation of the points of the lower chords of the truss members in Case study 2 for the 1<sup>st</sup> mode of  
 418 vibration in the as-is conditions (in white circles) and after placing additional braces (in grey circles).

419 Note: the letter corresponds to the span. The shaded region corresponds to the envelope of the instability regions  
 420 obtained from the analyses (series B1 and  $\xi = 1\%$ ).



421

422 **Figure 16:** Additional bracing elements (in red) to counteract dynamic instability



## 423 5. CONCLUSIONS

424 This paper investigated the phenomenon of dynamic instability of beams subjected to axial  
425 harmonic loading. Starting from the theoretical formulation proposed by Bolotin, a more refined  
426 definition of the instability regions was derived. This allowed highlighting conditions under which  
427 the beam could become unstable for loading values well below the Euler critical load and  
428 conditions under which the beam could be stable for loading values beyond the Euler critical load.

429 The influence of the geometric and material non-linearity was specifically addressed by performing  
430 series of finite element analyses. The results showed that an increase of the initial imperfection  
431 leads to an increase of the unstable cases, while for an imperfection amplitude equal to 1/1000 of  
432 the beam length, the theoretical results are practically superimposed by the numerical solutions.  
433 When the plastic behaviour of the material was introduced, a small reduction of the unstable cases  
434 was observed for the 1<sup>st</sup> instability region, while for the higher order regions an increase of the  
435 unstable points was recorded. Such increase leads to a shift to the left of the instability regions (i.e.  
436 lower value of the axial load) and it is related to the plastic behaviour of the material, particularly  
437 when the lateral deflection demand in the case of elastic material is beyond the displacement  
438 associated with yielding in the case of plastic material. It is observed that particularly between the  
439 1<sup>st</sup> and 2<sup>nd</sup> instability regions, stable solutions are possible for axial loads higher than the static  
440 critical load.

441 Finally, the possibility of dynamic instability in building type structures due to seismic loading was  
442 evaluated. It is possible to account for such phenomenon by means of response spectrum analyses:  
443 the variation of the axial load in the elements due to the earthquake is evaluated by considering  
444 single modes at the time. This load is considered corresponding to a harmonic excitation with  
445 angular frequency equal to the vibration mode. This allows defining a point in the stability plane for  
446 each element and verifying its possible instability. It is worth noting that adopting a response  
447 spectrum analysis provides results on the safe side because the values of such analysis represent the  
448 maximum values experienced by the system during the earthquake.

449 At this regard two case studies were considered: a cross-braced multi-storey building (with 4 and 11  
450 storeys) designed for seismic actions and an existing single-storey industrial building not designed  
451 for seismic actions. The results showed that in the former case the dynamic instability does not  
452 occur. In the cross-bracing elements there are no cases where the axial load exceeds the static  
453 instability load therefore capacity design is not affected: all the diagonal elements would buckle for  
454 loads equal to the static critical loads, therefore without providing an overload in the compressed  
455 elements. In addition, in the columns of the bracing system there are no cases where dynamic  
456 instability occurs for lower than expected axial loads. This is due to the high frequency of vibration

457 of the single elements compared to the frequency of the fundamental mode of vibrations of the  
458 whole building. It is worth noting that only the elements whose points in the stability plot have an  
459 ordinate greater than 0.18 could reach loads greater than the static critical loads.

460 In the latter case, existing industrial buildings not designed to sustain seismic actions, some slender  
461 elements, with frequency of vibration compatible with the fundamental frequencies of the building,  
462 may experience dynamic instability with possible detrimental effects in the whole building  
463 response. For such conditions a retrofit solution based on the introduction of additional bracing  
464 elements was proposed. This solution allowed moving the unstable points in the stability plots  
465 towards stable regions.

466

467 **APPENDIX A**

468 The governing equation of the damped case is

$$f'' + 2\varepsilon f' + \Omega^2(1 - 2\mu \cos \vartheta t)f = 0 \quad (\text{A1})$$

469 This equation could be related to a Mathieu-Hill equation. In fact, performing the substitution

$$f(t) = u(t) e^{-\varepsilon t}, \quad (\text{A2})$$

470 Deriving once and twice in respect to time, we obtain

$$\begin{aligned} f'(t) &= u'(t) e^{-\varepsilon t} - \varepsilon u(t) e^{-\varepsilon t} \\ f''(t) &= u''(t) e^{-\varepsilon t} - 2\varepsilon u'(t) e^{-\varepsilon t} + \varepsilon^2 u(t) e^{-\varepsilon t} \end{aligned} \quad (\text{A3})$$

471 Substituting such equations into Eq. (A1):

$$\begin{aligned} u''(t) e^{-\varepsilon t} - 2\varepsilon u'(t) e^{-\varepsilon t} + \varepsilon^2 u(t) e^{-\varepsilon t} + 2\varepsilon u'(t) e^{-\varepsilon t} \\ - \varepsilon u(t) e^{-\varepsilon t} + \Omega^2(1 - 2\mu \cos \vartheta t) u(t) e^{-\varepsilon t} = 0 \end{aligned} \quad (\text{A4})$$

474 and simplifying

$$u''(t) e^{-\varepsilon t} + (-\varepsilon^2 + \Omega^2 - 2\mu \Omega^2 \cos \vartheta t) u(t) e^{-\varepsilon t} = 0 \quad (\text{A5})$$

475 Multiplying by  $e^{\varepsilon t}$  and collecting the term  $\Omega^2$ :

$$u''(t) + \Omega^2 \left( 1 - \frac{\varepsilon^2}{\Omega^2} - 2\mu \cos \vartheta t \right) u(t) = 0 \quad (\text{A6})$$

476 To obtain the general form of the Mathieu-Hill equation, we substitute

$$u(t) = y\left(\frac{\vartheta t}{2}\right) \quad (\text{A7})$$

478 By deriving twice, we obtain

$$u''(t) = \frac{\vartheta^2}{4} y''\left(\frac{\vartheta t}{2}\right) \quad (\text{A8})$$

479 Substituting Eq. (A7) and Eq. (A8) in Eq. (A6), we obtain

$$\frac{\vartheta^2}{4} y''\left(\frac{\vartheta t}{2}\right) + \Omega^2 \left( 1 - \frac{\varepsilon^2}{\Omega^2} - 2\mu \cos \vartheta t \right) y\left(\frac{\vartheta t}{2}\right) = 0 \quad (\text{A9})$$

480 Finally, replacing the independent variable  $x = \vartheta t/2$  and multiplying by  $4/\vartheta^2$ , we obtain the  
481 general form of the Mathieu-Hill equation:

$$y''(x) + \frac{4\Omega^2}{\vartheta^2} \left( 1 - \frac{\varepsilon^2}{\Omega^2} - 2\mu \cos(2x) \right) y(x) = 0 \quad (\text{A10})$$

482 Since this equation is related to a Mathieu-Hill equation, the solution follows the mathematical  
483 properties of such type of equations [20]. The boundaries of the odd and even instability regions are  
484 obtained from searching periodic solutions of period  $2T$  and  $T$ , respectively:

$$f(t) = \sum_{k=1,3,5}^{\infty} \left( a_k \sin \frac{k\vartheta t}{2} + b_k \cos \frac{k\vartheta t}{2} \right) \quad (\text{A11})$$

$$f(t) = b_0 + \sum_{k=2,4,6}^{\infty} \left( a_k \sin \frac{k\vartheta t}{2} + b_k \cos \frac{k\vartheta t}{2} \right) \quad (\text{A12})$$

487 By replacing one at a time Eq. (A11) and Eq. (A12) into Eq. (A10), the following systems of  
 488 equations is obtained, respectively:

$$489 \quad \left\{ \begin{array}{l} \left(1 + \mu - \frac{\vartheta^2}{4\Omega^2}\right) a_1 - \mu a_3 - \frac{\Delta}{\pi} \frac{\vartheta}{2\Omega} b_1 = 0 \\ \left(1 - \mu - \frac{\vartheta^2}{4\Omega^2}\right) b_1 - \mu b_3 + \frac{\Delta}{\pi} \frac{\vartheta}{2\Omega} a_1 = 0 \\ \dots \\ \left(1 - \frac{k^2\vartheta^2}{4\Omega^2}\right) a_k - \mu(a_{k-2} + a_{k+2}) - \frac{\Delta}{\pi} \frac{k\vartheta}{2\Omega} b_k = 0 \quad (k = 3, 5, 7, \dots) \\ \left(1 - \frac{k^2\vartheta^2}{4\Omega^2}\right) b_k - \mu(b_{k-2} + b_{k+2}) + \frac{\Delta}{\pi} \frac{k\vartheta}{2\Omega} a_k = 0 \quad (k = 3, 5, 7, \dots) \end{array} \right. \quad (A13)$$

$$490 \quad \left\{ \begin{array}{l} b_0 - \mu b_2 = 0 \\ \left(1 - \frac{\vartheta^2}{\Omega^2}\right) a_2 - \mu a_4 - \frac{\Delta}{\pi} \frac{\vartheta}{\Omega} b_2 = 0 \\ \left(1 - \frac{\vartheta^2}{\Omega^2}\right) b_2 - \mu(2b_0 + b_4) + \frac{\Delta}{\pi} \frac{\vartheta}{\Omega} a_2 = 0 \\ \dots \\ \left(1 - \frac{k^2\vartheta^2}{4\Omega^2}\right) a_k - \mu(a_{k-2} + a_{k+2}) - \frac{\Delta}{\pi} \frac{k\vartheta}{2\Omega} b_k = 0 \quad (k = 4, 6, 8, \dots) \\ \left(1 - \frac{k^2\vartheta^2}{4\Omega^2}\right) b_k - \mu(b_{k-2} + b_{k+2}) + \frac{\Delta}{\pi} \frac{k\vartheta}{2\Omega} a_k = 0 \quad (k = 4, 6, 8, \dots) \end{array} \right. \quad (A14)$$

491 where  $\Delta$  is defined as:

$$492 \quad \Delta = 2\pi\xi \quad (A15)$$

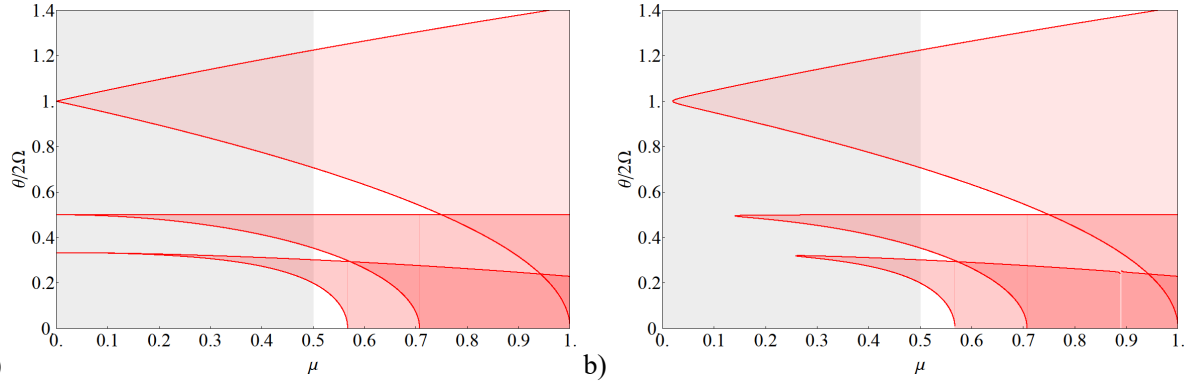
493 In order to obtain the non-trivial solution of such systems, the determinant of the matrix constructed  
 494 with the coefficients of the terms  $a_k$  and  $b_k$  must be zero. In this way and through some  
 495 simplifications (i.e. by considering only 2x2 systems of equations), Bolotin [13] determined three  
 496 regions of dynamic instability, as represented in **Figure A1**, which are included between the  
 497 boundaries defined by the following equations:

$$498 \quad \frac{\vartheta}{2\Omega} = \sqrt{1 - 0.5(2\xi)^2 \pm \sqrt{\mu^2 - (2\xi)^2 + 0.25(2\xi)^4}} \quad (A16)$$

$$499 \quad \frac{\vartheta}{2\Omega} = \frac{1}{2} \sqrt{1 - \mu^2 \pm \sqrt{\mu^4 - (2\xi)^2(1 - \mu^2)}} \quad (A17)$$

$$500 \quad \frac{\vartheta}{2\Omega} = \frac{1}{3} \sqrt{1 - \frac{8/9\mu^2 \pm \sqrt{\mu^6 - (2\xi)^2(64/81 - 2/3\mu^2)}}{64/81 - \mu^2}} \quad (A18)$$

501 It is worth noting that stability regions for  $\mu > 0.5$  define conditions in which stability is guaranteed  
 502 for axial loads exceeding the Euler critical load.



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**Figure A1:** Regions of dynamic instability determined by Bolotin [13]: a) non-damped case; b) damped case ( $\zeta = 1\%$ ).

Note: the original region investigated by Bolotin corresponds to the shaded area.

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To refine the results obtained by Bolotin [13], the systems in Eq. (A13) and Eq. (A14) were extended to reach a matrix size 8x8 and 7x7 respectively; in this way, 7 instability regions were derived. It is worth noting that the greater the number of terms considered in Eq. (A11) and Eq. (A12), the greater the size of the matrix to be solved and the greater the number of instability regions and the accuracy of their boundaries. The matrix obtained from the system in Eq. (A13) and Eq. (A14) become respectively:

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$$\begin{bmatrix}
 1 - \frac{49\vartheta^2}{4\Omega^2} & -\mu & 0 & 0 & 0 & 0 & 0 & -\frac{\Delta 7\vartheta}{\pi 2\Omega} \\
 -\mu & 1 - \frac{25\vartheta^2}{4\Omega^2} & -\mu & 0 & 0 & 0 & -\frac{\Delta 5\vartheta}{\pi 2\Omega} & 0 \\
 0 & -\mu & 1 - \frac{9\vartheta^2}{4\Omega^2} & -\mu & 0 & -\frac{\Delta 3\vartheta}{\pi 2\Omega} & 0 & 0 \\
 0 & 0 & -\mu & 1 + \mu - \frac{\vartheta^2}{4\Omega^2} & -\frac{\Delta \vartheta}{\pi 2\Omega} & 0 & 0 & 0 \\
 0 & 0 & 0 & \frac{\Delta \vartheta}{\pi 2\Omega} & 1 - \mu - \frac{\vartheta^2}{4\Omega^2} & -\mu & 0 & 0 \\
 0 & 0 & \frac{\Delta 3\vartheta}{\pi 2\Omega} & 0 & -\mu & 1 - \frac{9\vartheta^2}{4\Omega^2} & -\mu & 0 \\
 0 & \frac{\Delta 5\vartheta}{\pi 2\Omega} & 0 & 0 & 0 & -\mu & 1 - \frac{25\vartheta^2}{4\Omega^2} & -\mu \\
 \frac{\Delta 7\vartheta}{\pi 2\Omega} & 0 & 0 & 0 & 0 & 0 & -\mu & 1 - \frac{49\vartheta^2}{4\Omega^2}
 \end{bmatrix} \quad (\text{A19})$$

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$$\begin{bmatrix}
 1 - \frac{36\vartheta^2}{4\Omega^2} & -\mu & 0 & 0 & 0 & 0 & -\frac{\Delta 6\vartheta}{\pi 2\Omega} \\
 -\mu & 1 - \frac{16\vartheta^2}{4\Omega^2} & -\mu & 0 & 0 & -\frac{\Delta 4\vartheta}{\pi 2\Omega} & 0 \\
 0 & -\mu & 1 - \frac{4\vartheta^2}{4\Omega^2} & 0 & -\frac{\Delta 2\vartheta}{\pi 2\Omega} & 0 & 0 \\
 0 & 0 & 0 & 1 & -\mu & 0 & 0 \\
 0 & 0 & \frac{\Delta 2\vartheta}{\pi 2\Omega} & -2\mu & 1 - \frac{4\vartheta^2}{4\Omega^2} & -\mu & 0 \\
 0 & \frac{\Delta 4\vartheta}{\pi 2\Omega} & 0 & 0 & -\mu & 1 - \frac{16\vartheta^2}{4\Omega^2} & -\mu \\
 \frac{\Delta 6\vartheta}{\pi 2\Omega} & 0 & 0 & 0 & 0 & -\mu & 1 - \frac{36\vartheta^2}{4\Omega^2}
 \end{bmatrix} \quad (\text{A20})$$

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515 **APPENDIX B**

516 After evaluating the regions of dynamic instability, a conservative “no-instability region” for the  
 517 elastic case was identified. In this region, the behaviour of the axially loaded beam is stable. This  
 518 region is herein approximately defined by piecewise linear functions between known reference  
 519 points. The first 2 points (abscissa and ordinate) are taken from Bolotin [13]:

$$\mu = \sqrt[k]{\frac{\Delta}{\pi}} = \sqrt[k]{2\xi}; \quad \frac{\vartheta}{2\Omega} = \frac{1}{k} \tag{B1}$$

520 where  $k$  is the number of the instability region, herein 1 and 2.

521 Three possible conditions are distinguished as a function of the damping factor.

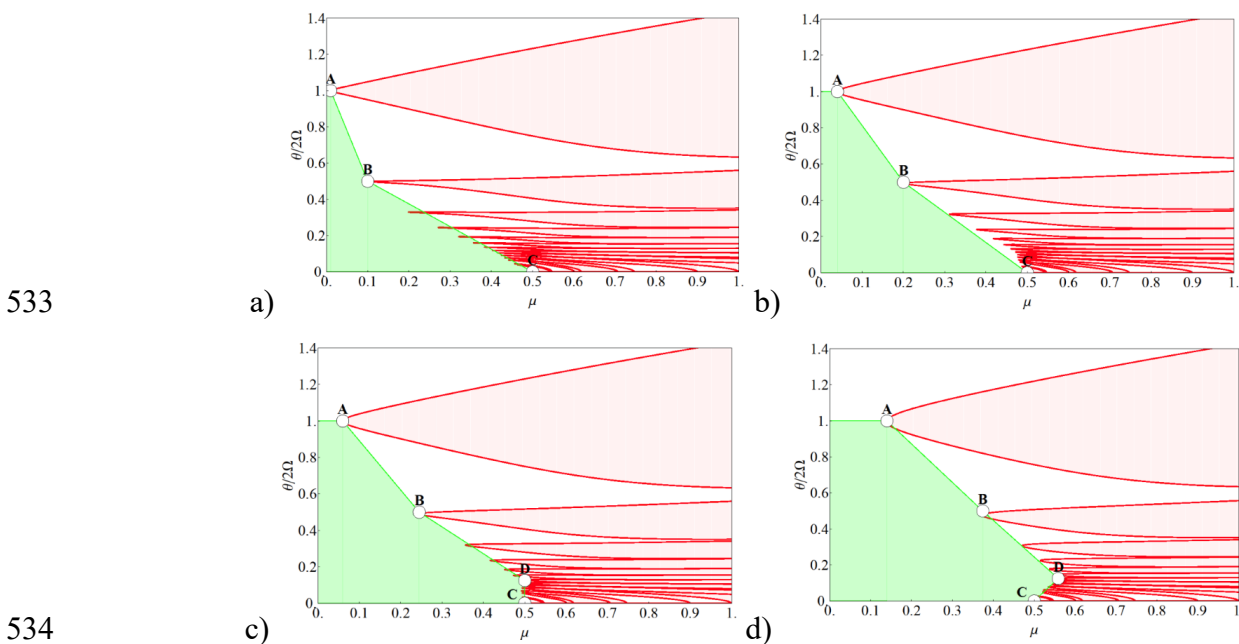
522 Case 1,  $\zeta < 3\%$ . The no-instability region is bounded by a broken line passing through points A, B  
 523 and C (**Figure B1a, b**). Where points A and B correspond to the origin points of the first 2  
 524 instability regions, Eq. (21), and point C, of coordinates (0.5; 0), corresponds to the achievement of  
 525 the Euler critical load in the static case.

526 Case 2,  $\zeta = 3\%$ . An additional point D, of coordinates (0.5; 0.125), is introduced in addition to the  
 527 aforementioned points A, B and C, since the value of the abscissa of the regions higher than the 7<sup>th</sup>  
 528 is approximately equal to 0.5. Consequently, the DC segment will be a vertical segment  
 529 (**Figure B1c**).

530 Case 3,  $\zeta > 3\%$ . The point A, B, and C are the same as in the previous cases. The point D has an  
 531 ordinate equal to 0.125, while the abscissa is determined by a linear regression relating  $\mu$  to  $\zeta$ :

$$\mu = 0.45 + 1.59 \zeta \tag{B2}$$

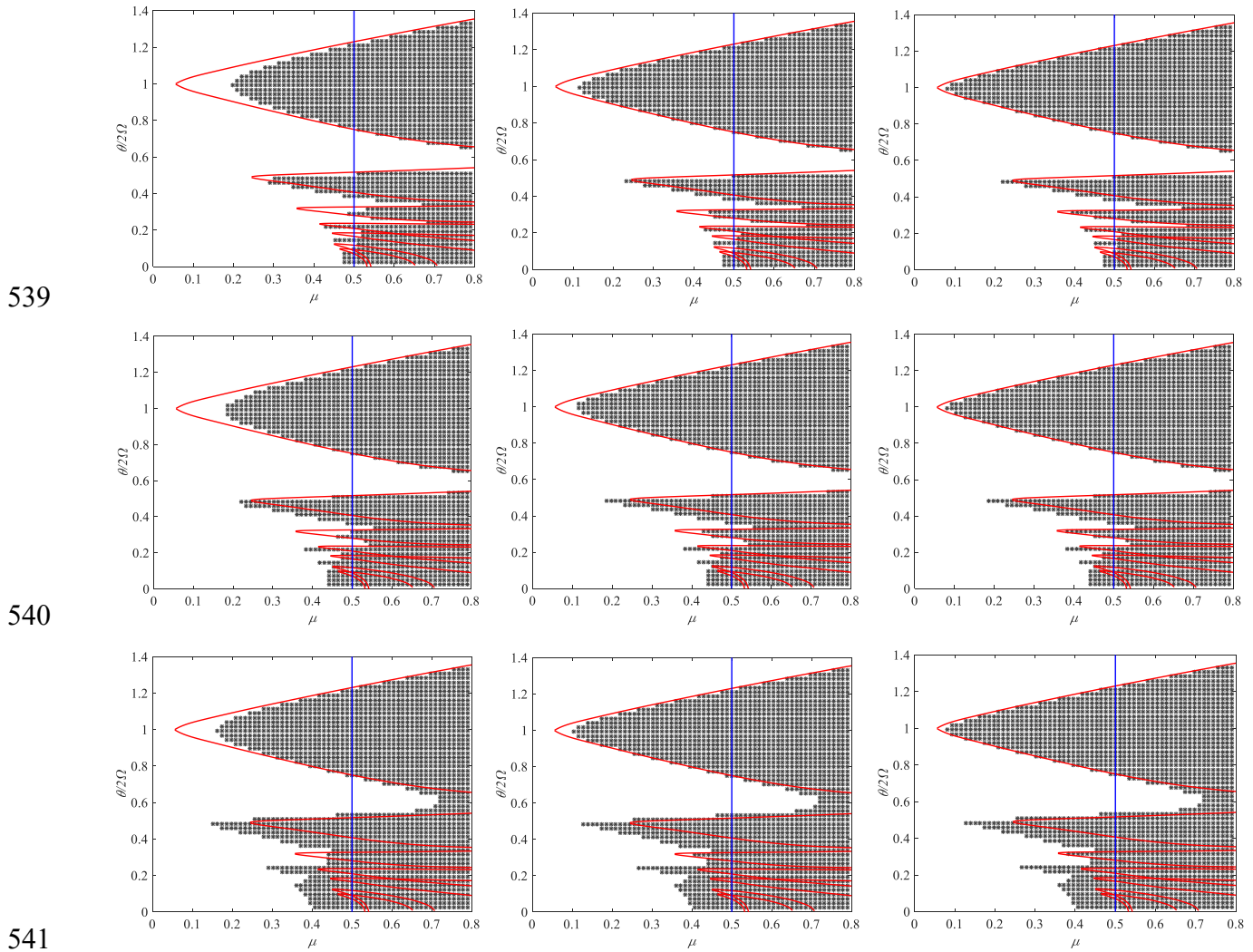
532 The corresponding no-instability region is shown in **Figure B1d**.



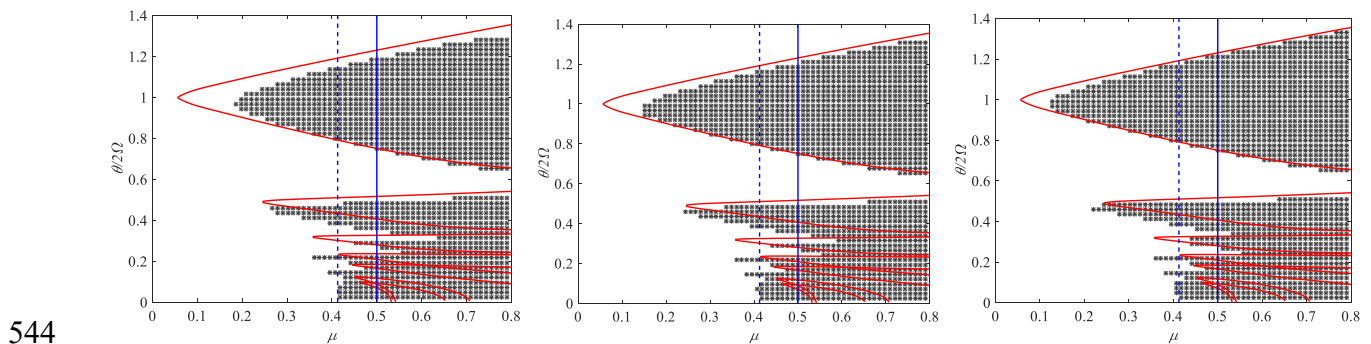
**Figure B1:** No-instability regions: a)  $\zeta = 0.5\%$ ; b)  $\zeta = 2.0\%$ ; c)  $\zeta = 3.0\%$ ; d)  $\zeta = 7.0\%$ .

536 **APPENDIX C**

537 The results of the analyses of model A, model B and model C with relative damping equal to 3%  
 538 are reported in **Figure C1**, **Figure C2** and **Figure C3** respectively.

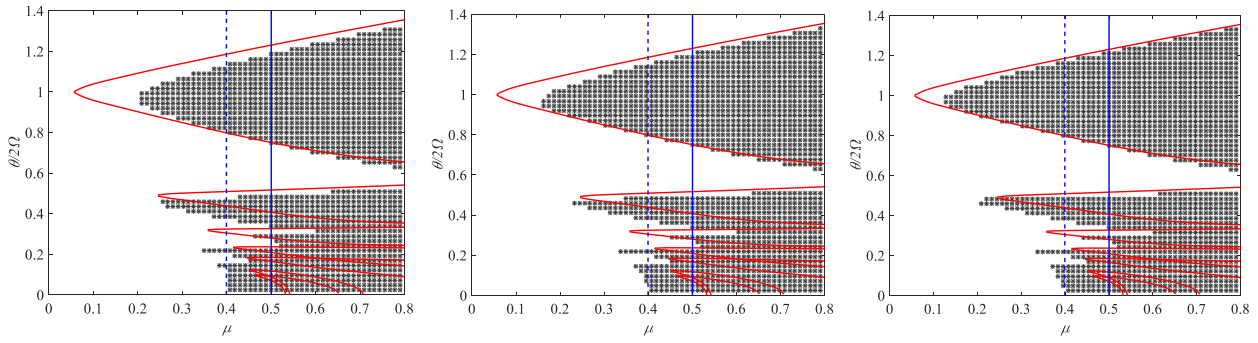


542 **Figure C1:** Results of model A with damping equal to 3%: investigation of geometric non-linearity (series A1, A2, and  
 543 A3 from top to bottom) for different durations of the analysis time (1s, 3s, and 10s from left to right).

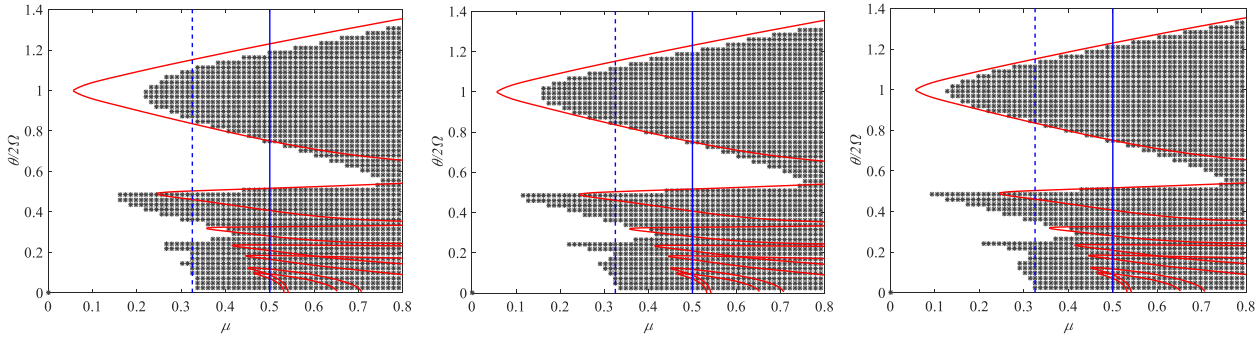




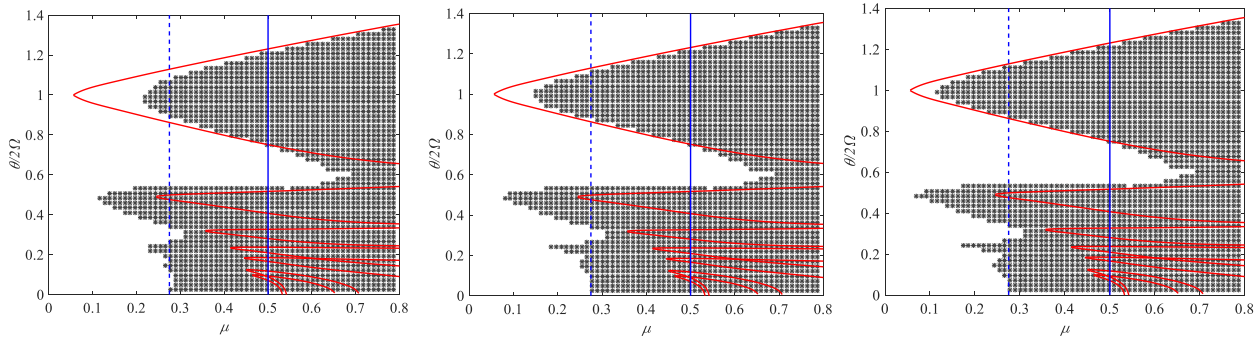
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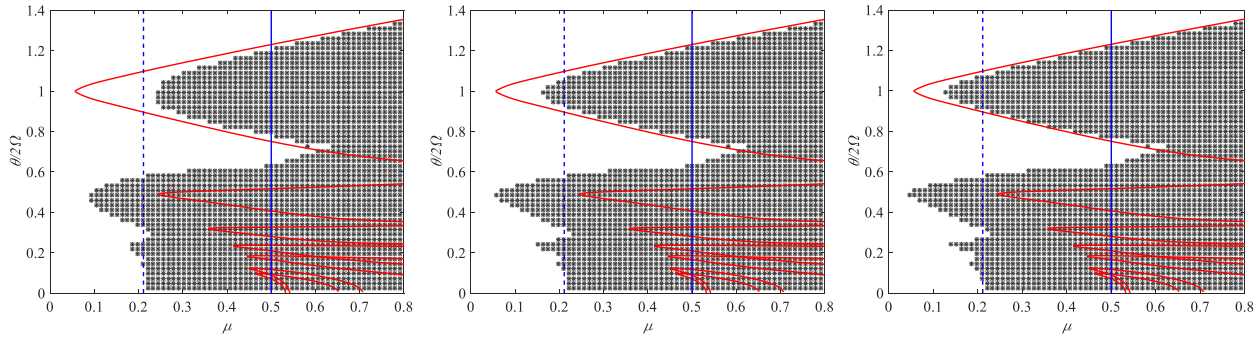
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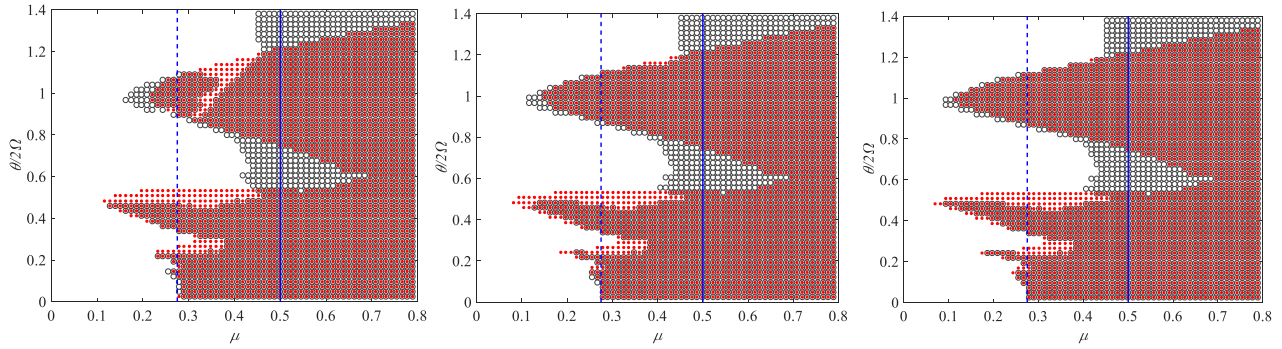


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**Figure C2:** Results of model B with damping equal to 3%: investigation of material non-linearity (series B1, B2, B3, B4, and B5 from top to bottom) for different durations of the analysis time (1s, 3s, and 10s from left to right).





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**Figure C3:** Results of model C with damping equal to 3%: investigation of the influence of the slenderness ratio (series C1) for different durations of the analysis time (1s, 3s, and 10s from left to right).

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Note: the empty and filled circles are the unstable points of series C1 and B4 respectively.

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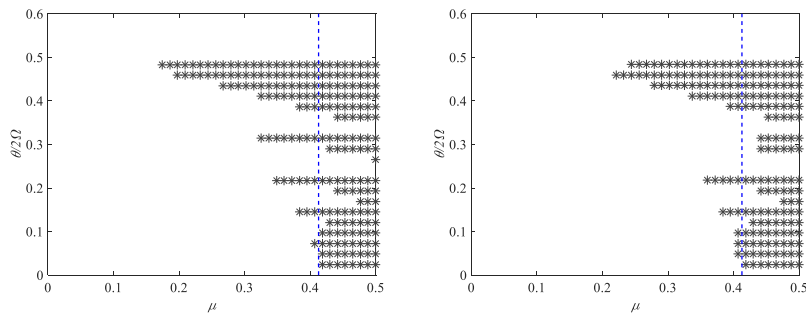
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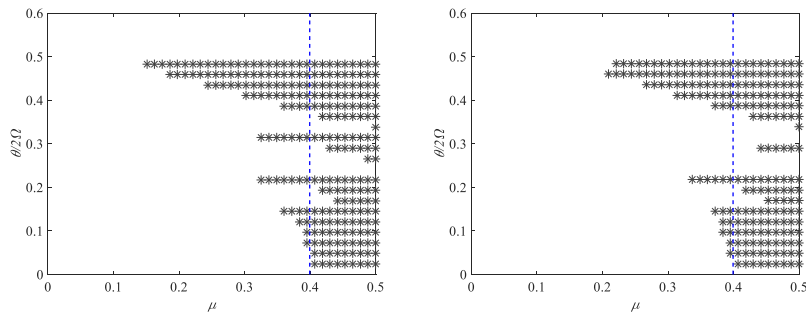
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**Figure C4** shows a close up of the results in the plane  $(\mu; \vartheta/2\Omega)$  between  $(0; 0)$  and  $(0.5; 0.6)$ . In that case the designer could directly enter the provided graphs with the  $(\mu; \vartheta/2\Omega)$  point corresponding to a specific element and loading conditions and determine the possibility of dynamic instability. The refinement is associated with an initial imperfection with amplitude  $A_{imp} = L/500$  and both for a relative damping  $\xi = 1\%$  and for  $\xi = 3\%$ . These results can be seen as an extension of what presented in Appendix B for the elastic case.

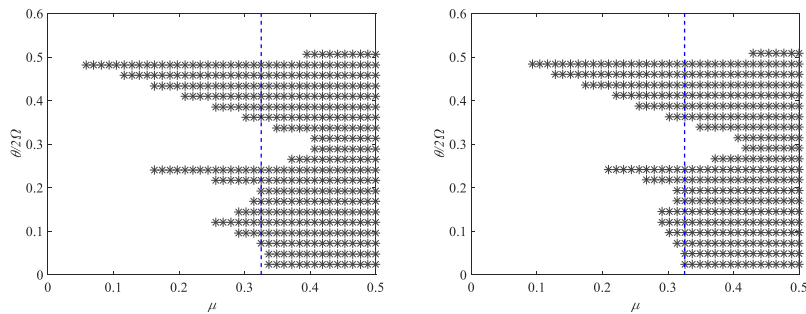
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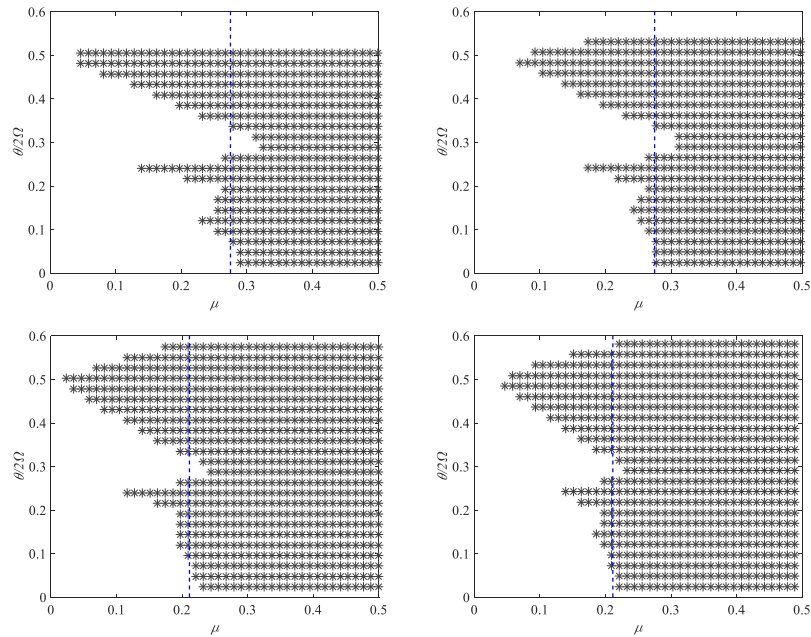
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566 **Figure C4:** Investigation of the influence of geometry and material non-linearity on the no-instability region  
567 varying the  $P_0 / P_{pl}$  ratio (0.0%, 16.3%, 60.6%, 74.2% and 84.5% from top to bottom)  
568 for different values of relative damping (1% on the left and 3% on the right).

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571 equations is gratefully acknowledged.

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