

1 **A non-dimensional parametric approach for the design of PT tendons and mild** 2 **steel dissipaters in precast rocking walls**

3
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6 **Abstract**

7 In recent years, important benefits for the seismic design of precast reinforced concrete wall structures have
8 been obtained from the use of jointed wall-to-foundation connections, where gap openings are permitted,
9 thus resulting in a rocking motion. The lumped rotation at the wall base protects the panels from damage,
10 while gravity loads and unbounded post-tensioned (PT) tendons, designed to remain elastic, re-center the
11 structure after an earthquake, thus solving the problem of residual displacements. External dissipaters,
12 herein partially unbounded mild steel bars, limit the amplitude of lateral displacements providing the
13 required dissipating capacity. The resulting response is characterized by flag-shaped hysteretic loops.
14 The paper investigates the parameters that may influence the design of rocking walls with supplemental
15 energy dissipation devices in the form of mild reinforcing steel and aims to develop a parametric approach
16 for the design of such systems. In this research, an analytical system of non-dimensional equations is
17 developed for the design of PT tendons and dissipaters: location, area and prestressing force of the former,
18 and location, area and unbounded length of the latter. A parametric approach suitable for the design practice
19 is developed. This allows obtaining a simplified, quick, and accurate approach for the selection of PT
20 tendons and energy dissipaters following a performance-based design approach. The procedure has been
21 applied to a selected case study and validated by means of non-linear time history analyses.

22
23 **Keywords:** rocking wall; hybrid wall; post-tensioned tendons; mild steel dissipaters; displacement
24 based design.

25

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26 **Introduction**

27 Until the early nineties, the emulation of cast-in-place reinforced concrete (RC) buildings has been
28 considered the most proper way to design precast concrete structures in seismic regions in order
29 to provide communities with life-safe structures. The predominant idea was that a good structural
30 system should accommodate large seismic demands by developing a nonlinear response at flexural
31 plastic hinges distributed in pre-selected regions of the structure. Capacity design allowed to
32 maximize the amount of energy dissipation and to reach a ductile behavior of the system.

33 In addition to life safety, another aspect to be accounted for in the design of earthquake proof
34 buildings is resiliency (Cimellaro et al., 2010; REDI Rating system, 2013): the building should
35 undergo limited and repairable damage after an earthquake with limited disruption to occupants
36 while still being cost competitive compared to other solutions. One of the key differentiators of
37 resilience-based design is preparedness for post-earthquake recovery to ensure continued operation
38 and liveable conditions immediately after the earthquake. This led to the development of high-
39 performance and low damage seismic resisting systems, such as base isolation and replaceable
40 links lateral bracing (Mansour et al., 2008; Yin et al., 2019 among others), and to the consequent
41 advancements in design methodologies. The first attempt to limit the seismic damage in precast
42 prestressed concrete structures is represented by what proposed by Priestley and Tao (1993). They
43 developed the idea of concentrating the ductility at the beam-column connections (jointed
44 systems), in order to both control life-safety during strong ground motions and to reduce repair
45 costs. Such systems are characterized by the so called “rocking response”: all the rotation demand
46 is lumped at the connections, where gap-openings are allowed. For this reason, they are referred
47 to as “jointed rocking” systems. Unbounded post-tensioned (PT) tendons, designed to remain
48 elastic during the earthquake, allow for re-centering. Although rocking walls without energy
49 dissipaters present benefits in terms of reduction of residual displacements and protection of the
50 structural members against damage, a pure rocking mechanism subjected to strong earthquakes
51 may be characterized by high acceleration spikes as reported in shake table tests under realistic
52 boundary conditions (Belleri et al., 2014) and to higher dispersion of the results (Twigden et al.,
53 2019). An efficient solution to include energy dissipation in the main structural system was
54 provided by the “jointed hybrid” systems developed in the PRESSS project (Priestley, 1996;
55 Priestley et al., 1999), where mild steel bars or other additional external dissipative devices were
56 adopted. These systems are referred to as “hybrid” because they match the restoring-force
57 characteristics of jointed-rotating systems with the energy-dissipation characteristics of equivalent
58 monolithic systems. The result is a center-oriented hysteretic response, governed by flag-shaped

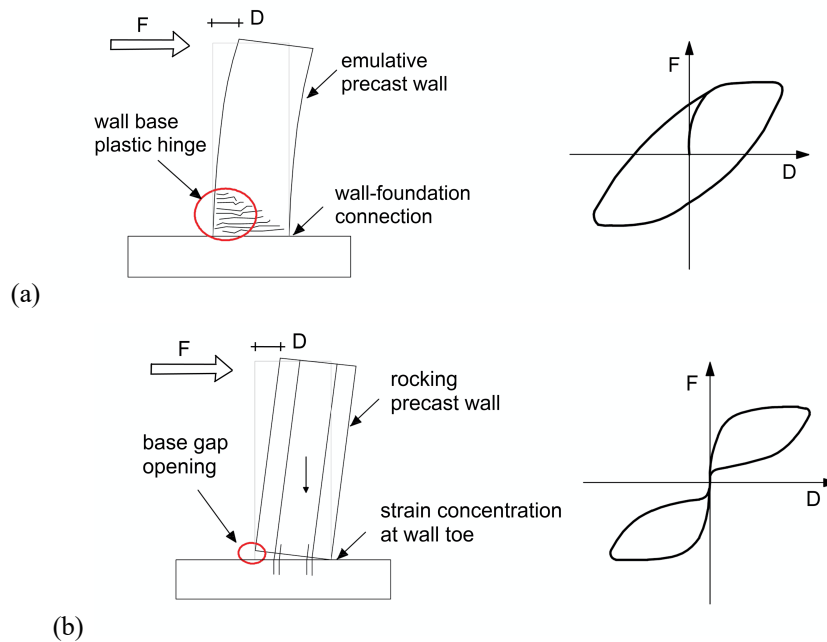
59 hysteretic loops (Restrepo and Rahman, 2007), where yielding of mild steel longitudinal
60 reinforcement in tension and compression provides the required amount of dissipated energy
61 (equivalent viscous damping ratios up to 28% according to Holden et al., 2003). This allows
62 controlling and limiting both internal forces and deformations at ultimate limit state. Thus,
63 according to this philosophy, the connections of the structural members rely on bonded mild steel
64 reinforcement for strength, ductility capacity, energy dissipation and protection against possible
65 brittle failures. Nonetheless, there are some issues with the possible failure of embedded dissipaters
66 in hybrid wall systems, therefore solutions with spare mild steel dissipaters (Belleri et al., 2014)
67 or external devices (Rodgers et al., 2007; Rodgers et al., 2008; Marriott et al., 2008; Marriott et
68 al., 2011; Twigden et al., 2017; Golzar et al., 2017; Golzar et al., 2018) have been investigated. To
69 further reduce the damage in the rocking wall system, it is possible to add steel plates/base channels
70 (Belleri et al. 2014, Nazari et al., 2017) to confine the concrete toe region and therefore limiting
71 the damage to the wall base. In addition, various researches have combined the use of a damage
72 avoidance design philosophy together with rocking structures (Mander and Cheng, 1997; Ajrab et
73 al., 2004; Hamid and Mander, 2010 and 2014).

74 In the case of cantilever precast walls detailed to behave as rocking/hybrid systems (Figure 1), the
75 wall-foundation connection is made discontinuous in respect to concrete, while PT tendons ensure
76 re-centering ability and provide the shear transfer mechanism, through shear friction or shear keys,
77 at the joint level. Mild steel rebars anchored in the foundation and in the wall provide the required
78 energy dissipation. The lateral displacements are accommodated by the development of a single
79 joint opening at the wall-foundation interface. Figure 1 shows a comparison between monolithic
80 and rocking/hybrid walls. In both cases, monolithic and rocking, the rotation demand is
81 accommodated in the portion of the wall just above the foundation, while the rest of the wall is
82 designed to avoid damage distribution along the wall height. For this scope, particular attention
83 must be paid to protect the wall from further undesired hinging due to the amplification of the
84 response caused by the higher mode effects. The concept of multi-rocking joints has also been
85 investigated (Wiebe and Christopoulos, 2009).

86 Following the PRESSS project (Priestley, 1996; Priestley et al., 1999), a significant amount of
87 analytical and numerical work was undertaken to better understand the behavior of unbounded
88 post-tensioned precast wall systems to be used in seismic regions (Kurama et al., 1998a; Kurama
89 et al., 1998b; Kurama et al., 1999; Kurama, 2000; Perez et al., 2003; Holden et al., 2003; Kurama
90 2005; Restrepo and Rahman, 2007; Marriott et al., 2008; Pennucci et al., 2009; Schoettler et al.,
91 2009; Toranzo et al., 2009; Belleri et al., 2013; Belleri et al., 2014; Buddika and Wijeyewickrema,
92 2016; Qureshi and Warnitchai, 2016; Twigden et al., 2017; Twigden and Henry, 2019; Nazari et

93 al., 2016). The response of hybrid rocking wall systems with externally mounted viscous dampers
94 was also investigated (Kurama, 2000, Mariott et al., 2009).

95 While a significant amount of analytical research has been carried out, the majority of experimental
96 tests on precast concrete rocking and hybrid walls have been conducted quasi-statically leading to
97 performance-based design recommendations (Kurama, 2005; Restrepo and Rahman, 2007; Pérez
98 et al., 2003; ACI ITG-5.1, 2008; ACI ITG-5.2, 2009). However, the performance of post-tensioned
99 wall systems under dynamic conditions can only be addressed and confirmed through dynamic
100 testing (Mariott et al., 2008, Schoettler et al., 2009, Toranzo et al., 2009, Nazari et al., 2016,
101 Twigden and Henry, 2019) and design recommendations derived accordingly.



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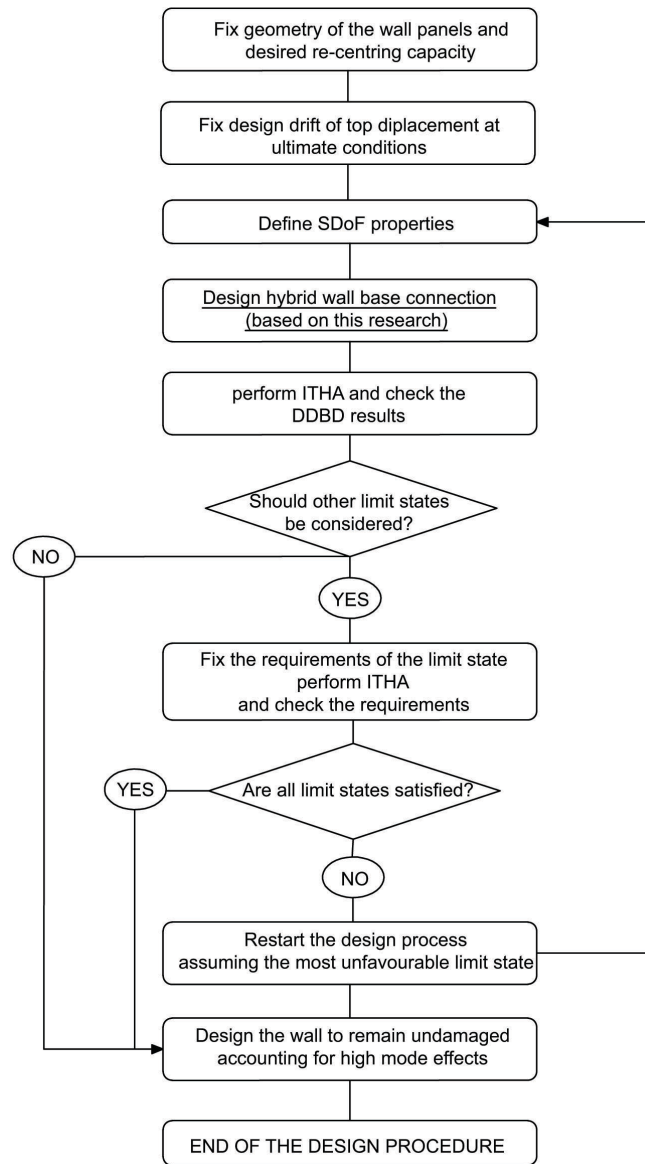
104 **Figure 1.** a) Equivalent monolithic response (RC emulative) of precast cantilever wall; b) jointed hybrid response

105

106 The present paper faces the need of practical guidelines for the design of hybrid wall systems, in
107 particular the selection and location of PT tendons and mild steel dissipaters once the bending
108 moment demand and displacement target are known. The principal target of this research is to
109 develop numerical, analytical, graphic and conceptual tools for detailing the hybrid wall panel
110 systems to satisfy the design requirements in terms of re-centering capability, bending moment
111 capacity and target displacement. Although extensive parametric analyses have been conducted
112 considering a wide variation of the dimensioning parameters, a comparison with the bounding
113 values reported in ACI ITG-5.1 and ACI ITG-5.2 is also included. Furthermore, the research aims
114 to follow a simplified approach for the design of such systems following a performance-based
115 design procedure. The proposed design procedure is validated by means of nonlinear static and
116 dynamic analysis on a reference case study showing its effectiveness.

117 **Research significance**

118 A non-dimensional parametric approach is herein adopted according to a performance-based
119 design methodology, as an alternative to other design approaches for precast structures, such as
120 the displacement-based design (Priestley et al., 2007; Belleri, 2017), or to other general
121 approaches, such as the damage avoidance design philosophy (Hamid and Mander, 2014). The
122 fundamental steps of the proposed approach are presented in Figure 2.



123
124 **Figure 2.** Fundamental step of the performance-based design procedure.

125 A description of each test is reported in the following.

- 126 i. Establish the maximum system drift angle under a seismic action corresponding to the design
127 basis earthquake. ACI ITG-5.2 defines the maximum expected drift ratio for these wall

- 128 systems equal to 3% and that the drift angle at the probable flexural capacity shall be equal
129 or exceeding 1.5 times the drift at the design displacement;
- 130 ii. Select the desired re-centering capacity. ACI ITG-5.2 defines a minimum prestress force of
131 PT tendons, $A_{PT}f_{se}+0.9\cdot D_c= A_d f_u$, and it states that the energy-dissipaters at the wall base
132 shall provide at least 25% of the nominal flexural strength of the wall. Where f_{se} and A_{PT} are
133 the effective stress and area in the PT tendons, respectively; f_u and A_d are the specified tensile
134 strength and area of energy-dissipating reinforcement crossing the wall-foundation interface,
135 respectively; D_c is the self-weight of wall plus any dead loads acting on it including the self-
136 weight of components directly attached to the wall.
- 137 iii. Define the properties of an equivalent single degree of freedom system (SDOF).
- 138 iv. Design the wall-foundation rocking connection according to the procedure herein proposed.
- 139 v. Select a suitable set of natural accelerograms and perform inelastic time-history analyses
140 (ITHA) to validate the consistency with the initial assumptions (design drift angle). The wall
141 panels are modeled as elastic elements, with effective stiffness equal to the gross stiffness,
142 since the rocking wall panels are designed to experience minor damage, even at ultimate
143 limit state.
- 144 vi. Repeat steps i.–v. for other limit states. If the requirements corresponding to each limit state
145 are satisfied, the design process is finished. It is worth mentioning that the amount and
146 location of the PT tendons, as well the amount and position of the mild steel dissipaters are
147 those determined at ultimate conditions.
- 148 vii. Finally, detail the wall panels to control damage (capacity design procedure) when subjected
149 to the moment and shear distributions assessed at the ultimate limit state, considering the
150 influence of higher modes as well.

151 Because the focus of the paper is the design of a hybrid system once the roof drift and the bending
152 moment acting at the wall base have been defined, the procedure to obtain the SDOF parameters
153 (i.e. the aforementioned point iii) is reported in the Appendix.

154 **Parameters affecting the design**

155 In hybrid wall systems, with partially unbounded mild steel dissipaters, the maximum
156 displacements and strains need to be controlled and within acceptable bounds. The residual
157 deformations are minimized by the re-centering contribution of PT tendons while the wall remains
158 elastic and the shear sliding failure at the interface prevented (or minimized). During the design
159 phase, the structure is detailed to reach the target lateral displacement before experiencing any

160 failure mechanism, when subjected to the seismic action corresponding to the design basis
161 earthquake and/or the maximum considered earthquake. This is a suitable method to control the
162 rocking behavior of such systems. The design procedure practically reduces to the design of PT
163 tendons and mild steel dissipaters at the wall-foundation rocking connection, which is the main
164 source of lateral displacements. The wall reinforcement is determined subsequently, following
165 capacity design, in order to provide enough resistance to limit the wall damage at ultimate
166 conditions and enough confinement at the wall toes to accommodate the high concrete compressive
167 strains arising at gap opening (Restrepo and Rahman, 2007; Belleri et al., 2014). Nevertheless, the
168 wall slenderness and stiffness affect the outcome of the design procedure, i.e. the amount of PT
169 tendons and dissipative devices. It emerges that the design of hybrid walls is much more complex
170 than the design of monolithic cantilever walls. Moreover, unlike monolithic cantilever walls,
171 hybrid systems allow controlling the amount of the hysteretic response through the calibration of
172 the re-centering and dissipative capacities of the system, i.e. selecting properly the amount of PT
173 tendons and dissipaters, respectively.

174 A series of design recommendations have been proposed in the past (among others: Kurama et al.,
175 1999; Restrepo and Rahman, 2007; ACI ITG-5.1, 2008; Pennucci et al., 2009; ACI ITG-5.2, 2009;
176 Belleri et al., 2014). In this research, in order to develop a more general and detailed discussion of
177 the subject, an analytical system of non-dimensional equations is proposed. Before presenting the
178 analytical equations, it is worth highlighting the governing parameters and unknowns. A complete
179 list of symbols is reported at the end of the paper.

180 *Design targets*

- 181 • Design roof drift ratio (θ_{top}).
- 182 • Normalized design base bending moment, $\mu_M = M_b / (A_c \cdot f_c \cdot B)$, estimated following the
183 equivalent SDOF substitute structure method developed by Shibata and Sozen (1976).

184 θ_{top} and M_b are known quantities during the design procedure.

185

186 *Concrete properties*

- 187 • Unconfined concrete ($E_c, f_c, \varepsilon_{co}, \varepsilon_{cu}$)
- 188 • Confined concrete (Mander et al., 1988) ($f_{cc}, \varepsilon_{cco}, \varepsilon_{ccu}$). An appropriate confinement level
189 must be assured to preserve the integrity of the concrete at wall toes (Restrepo and Rahman,
190 2007; Belleri et al., 2014.)

191 In general, the material properties are selected before performing the design process.

192

193 *Wall properties*

- 194 • Aspect ratio, H/B , generally determined through architectural considerations
- 195 • Ratio between the gap opening at wall base and the top drift ratio (θ_b / θ_{top}).
- 196 • Normalized neutral axis depth, $\zeta = c/B$, where c is the neutral axis depth.
- 197 • Normalized tributary axial load, $\nu = N/(A_c \cdot f_c)$.
- 198 • Ratio between the effective and the gross stiffness, γ_J . Twigden and Henry (2019), based on
- 199 experimental tests and numerical studies, defined a value of the effective stiffness
- 200 approximately equal to $0.6 \cdot I_g$, where I_g is the gross stiffness of the wall panel.

201 The variables θ_b / θ_{top} and ζ are the first two unknowns of the design problem;

202 *PT tendons properties*

- 203 • PT tendons steel properties (E_{PT} , f_{yPT} , ε_{PT} , $\gamma_{PT} \cdot f_{yPT}$). In particular, the PT tendons must
- 204 remain elastic to assure a re-centering capacity of the wall. Thus, the maximum allowed stress,
- 205 $f_{PT,max}$, must not be larger than the yielding stress, f_{yPT} . Actually, in order to improve the
- 206 safety of the structure, f_{PT} is recommended to be limited to a fraction of f_{yPT} ,
- 207 $f_{PT} \leq \gamma_{PT} \cdot f_{yPT}$ since the yielding of PT tendons may lead to an increase of the lateral
- 208 displacement and to a reduction of the re-centering action (Pérez et al., 2003; Restrepo et al,
- 209 2007). On the other hand, γ_{PT} should not be too small, as it would penalize excessively the
- 210 performance of the wall. $0.9 \leq \gamma_{PT} \leq 1.0$ is a suitable range resulting from the parametric analyses,
- 211 although, it is suggested to adopt γ_{PT} equal to 0.95 as recommended in ACI ITG-5.2.
- 212 • Normalized distance between the PT tendons ($D_{PTad} = D_{PT} / B$).
- 213 • Normalized length of the PT tendons ($L_{PTad} = L_{PT} / B$). Since the PT tendons are anchored at
- 214 the foundations and at the top of the building, L_{PT} is approximately equal to H , by neglecting
- 215 the embedded length of the tendons in the foundation. Using this approximation, we can adopt
- 216 the following normalized length $L_{PTad} = H / B$.
- 217 • Mechanical ratio of the PT tendons, $\omega_{PT} = (A_{PT} \cdot f_{yPT}) / (A_c \cdot f_c)$
- 218 • Ratio between the initial strain in the PT tendons and the yielding strain, $\varepsilon_{PTad} = \varepsilon_{PT} / \varepsilon_{yPT}$.
- 219 ε_{PTad} is theoretically bounded by 0 and 1. Nevertheless, ε_{PTad} close to 0 and ε_{PTad} close to 1

220 are both not efficient solutions, as the former assigns the entire re-centering capacity to the
 221 contribution of the tributary gravity loads (no additional compressive force is transmitted to
 222 the wall), while the latter will force inelastic strain in the tendon due to rocking, therefore
 223 compromising the re-centering capacity of the system. Hence $\varepsilon_{PTad} = 0.5$ is generally assumed
 224 in this research.

225 D_{PTad} and ω_{PT} are the third and fourth unknowns of the design problem.

226 *Dissipative devices properties*

- 227 • Mild steel for dissipaters ($E_d, f_{yd}, f_{ud}, \varepsilon_{yd}, \varepsilon_{ud}, \varepsilon_{d,max}$).
- 228 • Normalized distance between the dissipative devices ($D_{dad} = D_b / B$).
- 229 • Normalized unbounded length of the dissipative devices ($L_{dad} = L_b / B$).
- 230 • Mechanical ratio of the dissipative devices, $\omega_d = (A_d \cdot f_{yd}) / (A_c \cdot f_c)$.

231 D_{dad} , L_{dad} and ω_d are the fifth, sixth, and seventh unknowns of the design problem.

232 *Hysteretic shape*

- 233 • Ratio between the re-centering, i.e. provided by the PT tendons and gravity loads, and the
 234 dissipative bending moments, i.e. provided by the dissipative devices, at design conditions, λ
 235 . Mpampatsikos et al. (2009) showed that λ at design conditions is slightly larger than the
 236 value (λ_{min}) related to yielding of the dissipative devices. In particular, the ratio λ_{min} / λ varies
 237 from 1.04 ($\lambda = 1$) to 1.12 ($\lambda = 3$). Thus, in order to assure a re-centering capacity, $\lambda \geq 1.2$ is
 238 suggested, in agreement with Pampanin et al. (2001) who proposed $\lambda \geq 1.25$.

239 **Non-dimensional system of equations**

240 Six equations govern the design procedure. Three compatibility equations: Equation (1) for the
 241 wall compatibility deformation; Equation (2) for the PT tendons and Equation (3) for the
 242 dissipative devices (compatibility relations at jointed connection). Two equilibrium equations:
 243 Equation (4) for the vertical translational equilibrium and Equation (5) for the rotational
 244 equilibrium (or bending moment equilibrium). Equation (6) represents the ratio between the re-
 245 centering and the dissipative bending moments.

246 Assuming a bilinear shape of the mild steel $\sigma - \varepsilon$ curve, with hardening parameter
 247 $\mu_{Ed} = E_{pl,d} / E_{el,d}$, the following 6x6 system of equations is obtained. The interested reader is
 248 referred to Mpampatsikos (2009) for the derivation of such equations.

$$\theta_b = \theta_{top} \left[1 + \frac{4f_c}{\gamma_J E_c} \left(\frac{H}{B} \right)^2 \nu \right] - \frac{4f_c}{\gamma_J E_c} \frac{H}{B} \mu_M \quad (1)$$

$$D_{PTad} = \frac{2(\gamma_{PT} \varepsilon_{yPT} - \varepsilon_{PT}) L_{PTad}}{\theta_b} - 1 + 2\zeta \quad (2)$$

$$D_{dad} = \frac{2\varepsilon_{d,max} L_{dad}}{\theta_b} - 1 + 2\zeta \quad (3)$$

$$\frac{1}{4} \omega_{PT} \frac{\theta_b \cdot D_{PTad}^2}{\varepsilon_{yPT} \cdot L_{PTad}} + \frac{1}{4} \omega_d \mu_{Ed} \frac{\theta_b \cdot D_{dad}^2}{\varepsilon_{yd} \cdot L_{dad}} + \alpha \zeta (0.5 - \beta \zeta) = \mu_M \quad (4)$$

$$\omega_{PT} \left[\frac{\varepsilon_{PT} + \theta_b (0.5 - \zeta) / L_{PTad}}{\varepsilon_{yPT}} \right] + \omega_d \left[1 - \mu_{Ed} \left(1 - \frac{\theta_b (0.5 - \zeta) / L_{dad}}{\varepsilon_{yd}} \right) \right] + \nu - \alpha \zeta = 0 \quad (5)$$

$$\lambda = \frac{\omega_{PT} \left\{ (0.5 - \beta \zeta) \left[\frac{\varepsilon_{PT} + \theta_b (0.5 - \zeta) / L_{PTad}}{\varepsilon_{yPT}} \right] + \frac{1}{4} \frac{\theta_b \cdot D_{PTad}^2}{\varepsilon_{yPT} \cdot L_{PTad}} \right\} + \nu (0.5 - \beta \zeta)}{\omega_d \left\{ (0.5 - \beta \zeta) \left[1 - \mu_{Ed} \left(1 - \frac{\theta_b (0.5 - \zeta) / L_{dad}}{\varepsilon_{yd}} \right) \right] + \frac{1}{4} \mu_{Ed} \frac{\theta_b \cdot D_{dad}^2}{\varepsilon_{yd} \cdot L_{dad}} \right\}} \quad (6)$$

where α and β are the parameters needed to define the equivalent rectangular stress block for the compressed concrete. α and β account for: i) the confinement properties of the concrete core, ii) the unconfined properties of the concrete cover and iii) the actual $\sigma - \varepsilon$ curve of the concrete. Sensitivity analyses were conducted to establish appropriate α and β values, i.e. leading to neutral axis depths close to the real values for every allowed combination of the design parameters.

In particular, the compatibility equations at the controlled rocking section are not based on the well-known Navier-Bernoulli hypothesis of plane sections. In fact, such hypothesis is violated due to the presence of gap openings, unbounded PT tendons and partially unbounded mild steel dissipative bars.

Finally, since seven unknowns have been recognized (θ_b / θ_{top} , ζ , D_{PTad} , ω_{PT} , D_{dad} , L_{dad} and ω_d), one of them must be considered as an initial design choice. In this research, L_{dad} is generally assumed as a fixed parameter; nevertheless, any other choice would be permitted.

268 ***Suggested range of variability for the design parameters***

269 In order to solve the above-described 6x6 problem (Equations 1 through 6), the ranges of the
270 following quantities (θ_{top} , μ_M , ζ , λ , D_{PTad} , D_{dad} , H/B , ε_{PTad} and L_{dad}) need to be bounded by
271 means of engineering considerations, in order to get significant results.

272 The hybrid walls with controlled rocking response at the wall-foundation interface are able to
273 accommodate large displacements, with minor residual displacements. Small design
274 displacements do not allow activating the dissipative devices. Nevertheless, too large
275 displacements could be incompatible with wall-slab connections and integrity of non-structural
276 elements. Examples of slotted wall-slab connections assuring vertical tolerances between the wall
277 and the slab are reported in Schoettler et al. (2009) and in Belleri et al. (2014). For these reasons,
278 in this research, the top drift angle is considered in the range 1.5%-3.0%.

279 Considering spectral shapes according with EN 1998-1 and a wide range of seismic intensity,
280 tributary mass, wall slenderness and viscous damping ratios, Mpampatsikos (2009) showed that
281 the normalized design base bending moment (μ_M), is bounded in the range 0-0.15.

282 The neutral axis depth, ζ , needs to be limited, as very large ζ can compromise the stability of
283 the compressed chord of the wall. Restrepo and Rahman (2007) suggested that the neutral axis
284 depth of the walls at the life safety performance objective should be limited to ensure hysteretic
285 response stability and geometrical stability, at this regard a neutral axis ratio greater than 0.15 may
286 result in the loss of initial stiffness due to concrete residual strains at the wall toes after reaching
287 the design displacement. Perez et al. (2003) reported the sudden buckling and failure of a rocking
288 wall exhibiting a neutral axis ratio of 0.3. In order to avoid this problem, they suggested limiting
289 the neutral axis depth to 0.3. A value of 0.15 was also considered as the design objective of the
290 DSDM project for the maximum considered earthquake (Schoettler et al., 2009; Belleri et al.,
291 2014). Thus, for the proposed parametric procedure, an upper limit of 0.3 is recommended.

292 As already stated, a ratio between the re-centering and the dissipative bending moments at design
293 conditions (λ) greater than 1.2 is suggested to avoid residual displacements. On the other hand, in
294 order to assure enough dissipative capacity to maintain the maximum displacement below the
295 design target, $\lambda \leq 3$ could be considered.

296 The efficiency of the PT tendons is affected by their mutual distance, which must be not too large.
297 Moreover, a very large D_{PTad} reduces drastically the elastic strain, ε_{PT} , that can be applied. Thus,
298 $0 \leq D_{PTad} \leq 0.6$ is recommended. Similarly, in the case of dissipaters, $0 \leq D_{dad} \leq 0.8$ is
299 recommended to avoid an elastic response of the dissipater closer to the neutral axis.

300 In the following, it is shown that the normalized neutral axis depth (ζ) is almost independent
 301 from all the design variables but μ_M . In particular, for a first estimate of the system response
 302 $\mu_M \approx 0.5\zeta$ can be reasonably assumed.

303 Then, assuming $\theta_b \approx 0.95\theta_{top}$ (Mpampatsikos, 2009) and $\varepsilon_{PTad} = 0.5$, the compatibility condition
 304 of the PT tendons (Eq. 2) becomes:

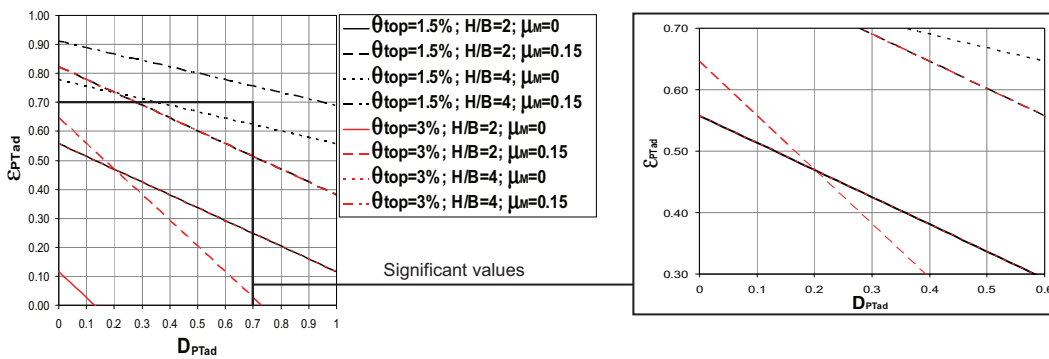
$$305 \quad D_{PTad} \approx 1.05 \frac{\varepsilon_{yPT} H/B}{\theta_{top}} - 1 + 4\mu_M \quad (7)$$

306 Eq. 7 can be used as a first rough criterion for properly selecting the design parameters (H/B ,
 307 θ_{top} , μ_M) in order to obtain significant D_{PTad} values. Eq. 7 is graphically represented in Figure 3
 308 assuming the following values of the design parameters $2 \leq H/B \leq 4$, $0 \leq \mu_M \leq 0.15$ and
 309 $1.5\% \leq \theta_{top} \leq 3\%$. Such parameters are design choices.

310 The wall aspect ratio, H/B , should be large enough to avoid sliding shear failure at the wall-
 311 foundation interface. Restrepo and Rahman (2007) suggested:

$$312 \quad \frac{H}{B} \geq \frac{\omega_d \cdot \omega_f}{2\mu_f} \quad (8)$$

313 Assuming $\mu_f = 0.7$ (Crisafulli et al., 2002), $\omega_d = 1.2$ (Fronteddu et al., 1998) and $\omega_f = 1.8$ (Paulay
 314 and Priestley, 1992), Eq. 8 results in $H/B \geq 1.55$. Additional considerations on the shear friction
 315 under dynamic conditions of rocking and hybrid walls are reported in Belleri et al. (2014).



316
 317 **Figure 3.** Significant values of D_{PTad} and ε_{PTad} where $0 \leq D_{PTad} \leq 0.6$ and $0.3 \leq \varepsilon_{PTad} \leq 0.7$ for different
 318 values of the selected design parameters, H/B , θ_{top} , and μ_M .

319 On the other hand, if H/B is too small, there will be not enough elastic strain, ε_{PT} , available at
 320 the design drift θ_{top} , therefore leading to premature yielding of PT tendons. In order to have
 321 $\varepsilon_{PT} > 0$ at the design drift θ_{top} , the following condition must be satisfied:

$$322 \quad \frac{H}{B} > 0.475 \frac{\theta_{top}}{\varepsilon_{yPT}} (1 + D_{PTad} - 2\zeta) \quad (9)$$

323 where for low-rise or medium-rise buildings θ_b has been reasonably approximated as $0.95\theta_{top}$
 324 (Mpampatsikos, 2009).

325 Considering the following variability of the parameters $0 \leq D_{PTad} \leq 0.6$, $1.5\% \leq \theta_{top} \leq 3\%$ and
 326 assuming $\zeta = 0$ (lower bound) and $\varepsilon_{yPT} = 1450/180000$ it results in $1.77 \leq H/B \leq 2.83$. The
 327 lower bound of H/B is the higher value between Eq. 8 and Eq. 9. In this paper, $H/B \geq 2$ is
 328 considered. Since this research is not directly addressed to high-rise and slender buildings, the
 329 upper bound of H/B is limited to 4. Therefore, in this research, H/B is considered in the range
 330 $2 \leq H/B \leq 4$.

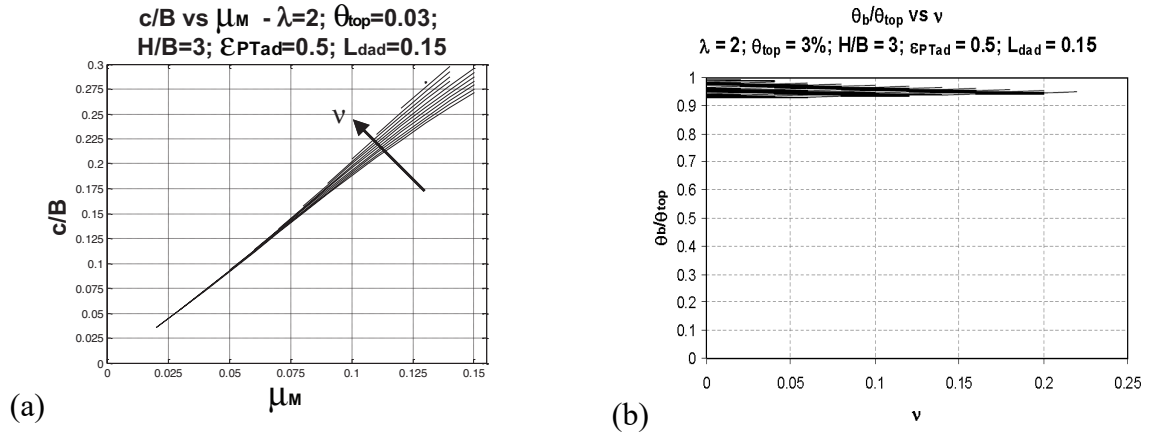
331 Theoretically, ε_{PTad} can vary between 0 and 1 as mentioned before and $\varepsilon_{PTad} = 0.5$ appears the best
 332 choice between the re-centering capacity and the available ε_{PT} . Nevertheless, both re-centering
 333 capacity and available ε_{PT} depend on D_{PTad} as well: the re-centering capacity increases if D_{PTad}
 334 increases, but the available ε_{PT} decreases, and vice-versa. Therefore $0.3 \leq \varepsilon_{PTad} \leq 0.7$ is
 335 considered in this research.

336 Considering the unbounded length of the mild steel dissipaters, L_{dad} , it is observed that in the case
 337 of a too large value the design gap opening will not be enough to force yielding in the mild steel
 338 dissipaters, therefore compromising the system energy dissipation. Assuming $\mu_M \approx 0.5\zeta$ and
 339 $\theta_b \approx 0.95\theta_{top}$, as obtained from the parametric analyses (Mpampatsikos, 2009) and shown in Figure
 340 4(a) and (b), Eq. 3 becomes:

$$341 \quad D_{dad} \approx 2.1 \frac{\varepsilon_{d,max} L_{dad}}{\theta_{top}} - 1 + 4\mu_M \quad (10)$$

342 or equivalently:

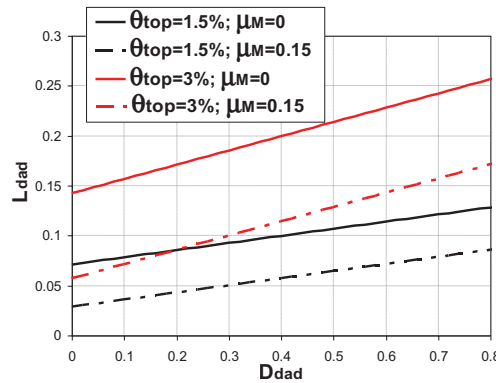
$$343 \quad L_{dad} \approx 0.475 \frac{\theta_{top}}{\varepsilon_{d,max}} (1 + D_{dad} - 4\mu_M) \quad (11)$$



344 **Figure 4.** Variation of $\zeta=c/B$ as a function of μ_M (a), variation of θ_b/θ_{top} as a function of v for different μ_M (b).

345

346 Eq. 10 is graphically represented in Figure 5. The following values of the design parameters are
 347 considered: $0 \leq \mu_M \leq 0.15$, $1.5\% \leq \theta_{top} \leq 3\%$ and $\varepsilon_{d,max} = 0.1$. The largest value of L_{dad} is
 348 obtained when D_{dad} and θ_{top} are both at maximum values ($D_{dad} = 0.8$ and $\theta_{top} = 3\%$) and μ_M
 349 tends to zero. Figure 5 shows that, in such conditions, L_{dad} is roughly equal to 0.26. The upper
 350 bound is a function of the maximum allowed mild steel strain, $\varepsilon_{d,max}$. In this research $\varepsilon_{d,max} = 0.1$
 351 is assumed. If $\varepsilon_{d,max} > 0.1$, the maximum L_{dad} will be smaller than 0.26 and vice versa. ACI ITG-
 352 5.2 suggests a development length in tension equal to 25 times the bar diameter.
 353 Table 1 contains a summary of the suggested range of parameters.



354

355 **Figure 5.** The normalized length of the dissipative devices vs. the normalized distance between the dissipative
 356 devices for different values of the selected design parameters θ_{top} and μ_M with $\varepsilon_{d,max} = 0.1$.

357

Table 1. Summary of the suggested range of parameters.

Parameters	H/B	θ_{top}	ζ	λ	v	μ_M	D_{PTad}	D_{Dad}
Range	2÷4	1.5÷3%	0÷0.3	1.2÷3	0÷0.16	0÷0.15	0÷0.6	0÷0.8

358 **Stress block parameters**

359 The equations describing the stress-block parameters, α and β , should be added to the system of
 360 the equations previously presented. Although, in this research α and β are considered as known
 361 values to simplify the design procedure.

362 An extensive sensitivity analysis has been carried out. The following iterative procedure was
 363 followed until convergence (generally two iterations are enough): tentative values of α and β are
 364 assumed, the systems of non-dimensional equations is solved and the obtained solution is checked
 365 through a nonlinear static analysis using a fiber model approach (Spacone et al., 1996) extensively
 366 checked in previous researches (Brunesi and Nascimbene, 2014; Casotto et al., 2015; Nascimbene,
 367 2015) using Opensees (2009) or Seismostruct (2015). This procedure was performed by varying
 368 the following design parameters one at a time: $0 \leq \nu = N/(A_c \cdot f_c) \leq 0.16$ in steps of 0.02,
 369 $0.01 \leq \mu_M \leq 0.15$ in steps of 0.01, $1.5\% \leq \theta_{top} \leq 3\%$ in steps of 0.0025, $2 \leq H/B \leq 4$ in steps of
 370 0.25, $0.05 \leq L_{dad} \leq 0.25$ in steps of 0.05, and $1.25 \leq \lambda \leq 3$ in steps of 0.25. The obtained results
 371 confirm that α and β are rather insensitive to the variation of all design parameters but μ_M . Table
 372 2 and Table 3 show the results and errors of each step of the iterative procedure, for $\mu_M = 0.05$
 373 and $\mu_M = 0.1$, respectively. Analogous results are obtained for each value of μ_M . Although both
 374 α and β increase monotonically with μ_M , it is herein suggested to consider their mean values,
 375 $\alpha_{mean} = 1.22$ and $\beta_{mean} = 0.48$. The sensitivity analysis shows that an error less than 3% is obtained
 376 in all the cases when a proper concrete confinement at the wall base is selected, thus validating the
 377 simplified approach.

378 **Table 2.** Iterative procedure for α and β (solution of the system with $\mu_M = 0.05$)

379 Note: errors percentage in brackets

VALUES	α	β	ζ	ω_d	ω_{PT}	D_{dad}	D_{PTad}
Starting Values	1.164	0.443	0.093	0.0277	0.0136	0.208	0.177
1 st Iteration	1.210 (-4.02)	0.467 (-5.37)	0.088 (3.98)	0.0277 (-0.03)	0.0137 (-0.59)	0.201 (3.55)	0.170 (4.19)
2 nd Iteration	1.213 (-0.24)	0.468 (-0.20)	0.089 (0.24)	0.0277 (0.01)	0.0137 (0.04)	0.200 (0.21)	0.170 (0.25)
Convergence reached	1.213 (-0.01)	0.468 (-0.01)	0.089 (0.00)	0.0277 (0.00)	0.0137 (0.00)	0.200 (0.00)	0.170 (0.00)

380
381

Table 3. Iterative procedure for α and β (solution of the system with $\mu_M=0.10$)

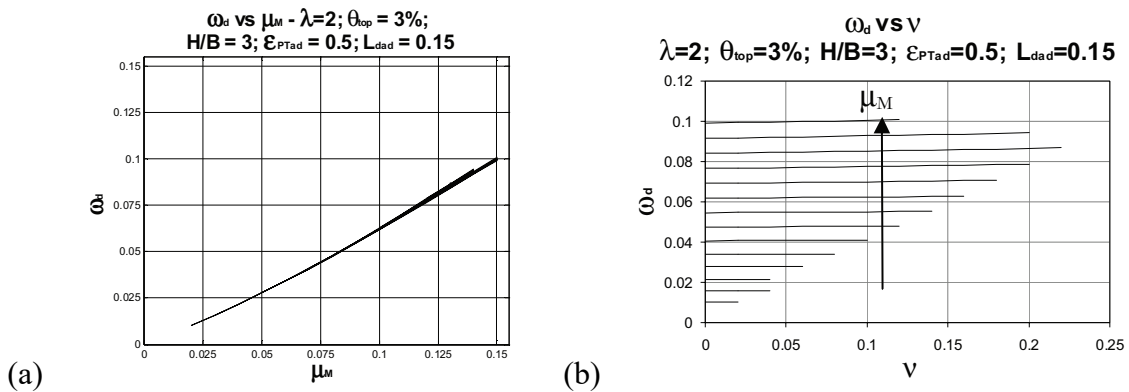
Note: errors percentage in brackets

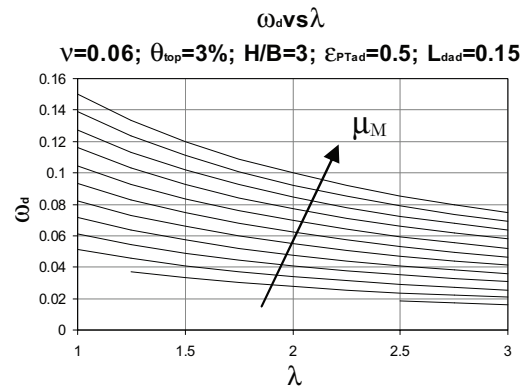
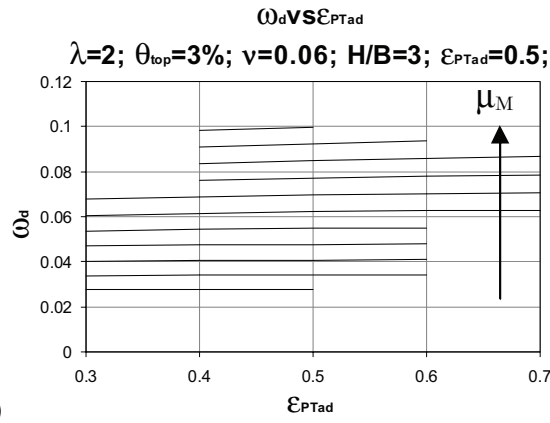
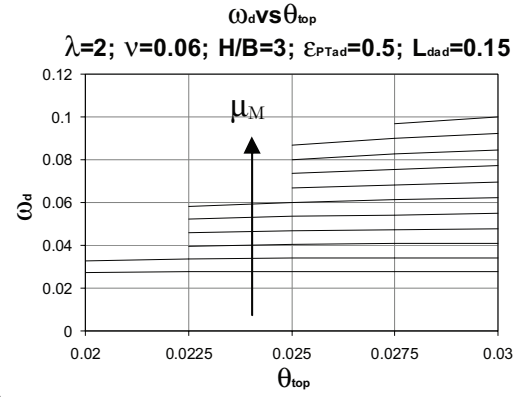
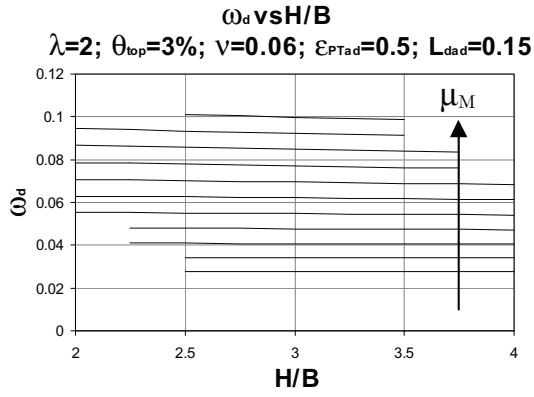
VALUES	α	β	ζ	ω_d	ω_{PT}	D_{dad}	D_{PTad}
Starting Values	1.164	0.443	0.194	0.0621	0.112	0.434	0.403
1 st Iteration	1.222 (-5.77)	0.491 (-10.64)	0.188 (4.02)	0.0631 (-1.47)	0.115 (-2.26)	0.423 (3.57)	0.391 (3.86)
2 nd Iteration	1.234 (-1.26)	0.495 (-1.05)	0.186 (0.87)	0.0631 (-0.02)	0.115 (-0.03)	0.419 (0.77)	0.388 (0.83)
Convergence reached	1.235 (-0.07)	0.496 (-0.09)	- (0.00)	- (0.00)	- (0.00)	- (0.00)	- (0.00)

382 **Numerical solution of the parametric design problem**

383 In this section, an attempt to decouple the non-dimensional equations (Eq. 1 through Eq. 6) is
 384 developed to simplify the design procedure. First, θ_b / θ_{top} can be computed directly from Eq. 1,
 385 since the right-hand-side does not include any unknown variable. D_{PTad} and D_{dad} (Eq. 2 and Eq.
 386 3, respectively) cannot be computed as long as ζ remains unknown. Unfortunately, ζ requires
 387 the solution of the complete system of equations. Such approach is not seen suitable for the design
 388 practice. An attempt to calibrate a numerical expression for ζ is provided in the following. Given
 389 ζ , Eq. 2 and Eq. 3 provides directly D_{PTad} and D_{dad} . ω_d and ω_{PT} are obtained solving the
 390 system of two equations chosen among Eq. 4, Eq. 5 and Eq. 6. Figure 6 shows the mechanical ratio
 391 of mild steel dissipative devices, ω_d , versus the normalized base bending moment capacity, μ_M .
 392

393





394

(c)

(d)

395

(e)

(f)

396

397

398 **Figure 6.** The mechanical ratio of mild steel dissipative devices vs. the normalized base bending moment capacity

399 (a) and vs. the normalized axial load due to gravity loads (b); by fixing the normalized design base bending moment

400 and the normalized axial load, no variation of ω_d (c) and θ_{top} (d) is appreciated by modifying the wall aspect ratio,

401 H/B .; ω_d is insensitive to the variability of the normalized imposed strain of the tendons (e) but increases sensibly

402

403 The influence of each parameter is investigated by changing one parameter at a time (ν , H/B ,

404 θ_{top} , ε_{PTad} , λ). It is evident that ω_d is a function only of μ_M and λ , while the other parameters

405 determine negligible variations. Therefore, in the next section a numerical expression for ω_d is

406 presented. Finally, ω_{PT} can be computed from either Eq. 4, Eq. 5 or Eq. 6. The results presented

407 herein allow substituting the unpractical system of non-dimensional equations into six uncoupled

408 equations, thus reducing drastically the complexity of the design process.

409

410 **Calibration of the numerical expression for the non-dimensional neutral axis depth**

411 Figures 7(a) illustrate ζ versus μ_M , for different ν , being all the other design parameters fixed.
 412 Both graphs show that ζ increases almost linearly with μ_M . Figures 7(b) and (c) show that, given
 413 μ_M and ν , a small increase of ζ is observed when H/B decreases and θ_{top} increases,
 414 respectively. Both trends are more pronounced for large μ_M , while ζ tends to be insensitive to
 415 H/B and θ_{top} as μ_M reduces. Figures 6(d) and (e) show that ζ is almost insensitive to the
 416 variability of λ and L_{dad} , respectively. In the light of these considerations, it can be concluded
 417 that ζ is mainly a function of μ_M ; ν , H/B and θ_{top} represent secondary parameters. In
 418 particular, the relationship $\zeta - \mu_M$ is fairly linear and passes through the origin. Varying all the
 419 parameters one at a time, the slope of $\zeta(\mu_M)$ changes, leading to a fan-shape set of curves. The
 420 variation of the slope is a function of the values of the other parameters. This is a proof that ζ
 421 cannot be expressed as the sum of different functions, but it should be calibrated through a single
 422 function of all the considered parameters:

$$423 \quad \zeta = f\left(\mu_M, \nu, \frac{H}{B}, \theta_{top}\right) \quad (14)$$

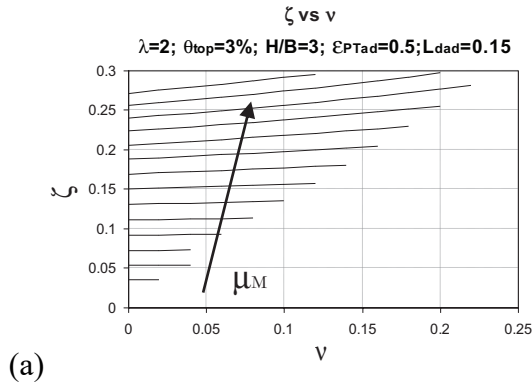
424 The following power function is investigated:

$$425 \quad \zeta = a \cdot \mu_M^b \quad (15)$$

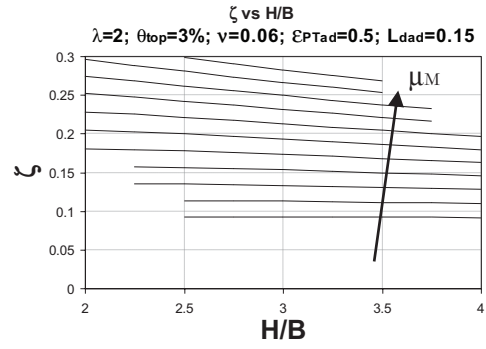
426 where $a = a(\nu, H/B, \theta_{top})$ and $b = b(\nu, H/B, \theta_{top})$. For each combination of $0 \leq \nu \leq 0.30$ in steps
 427 of 0.02, $1.5\% \leq \theta_{top} \leq 3\%$ in steps 0.0025, $2 \leq H/B \leq 4$ in steps of 0.25, the system of non-
 428 dimensional equations is solved varying μ_M from zero to 0.15, in steps of 0.01. Thus, 15 sets of
 429 data are obtained and fitted through the power function, using the least squares technique. In all
 430 cases the value of b is in the range $0.98 < b < 1.02$, indicating that a linear relationship can be
 431 assumed. Therefore, only the parameter a needs to be calibrated ($\zeta = a \cdot \mu_M$). The following
 432 formula is obtained:

$$433 \quad \zeta = \left(\left((4.726 - 133.1\theta_{top}) \frac{H}{B} + 269.2\theta_{top} - 8.957 \right) \nu + (0.3105\theta_{top} - 0.1992) \frac{H}{B} + 25.08\theta_{top} + 1.666 \right) \mu_M \quad (16)$$

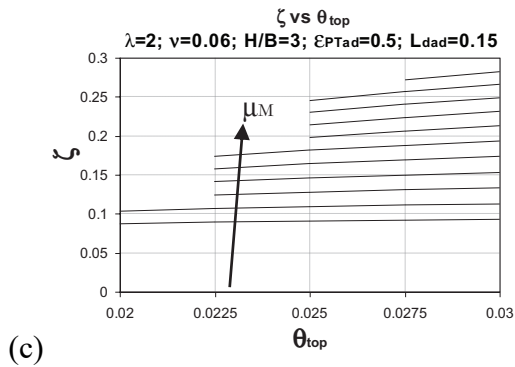
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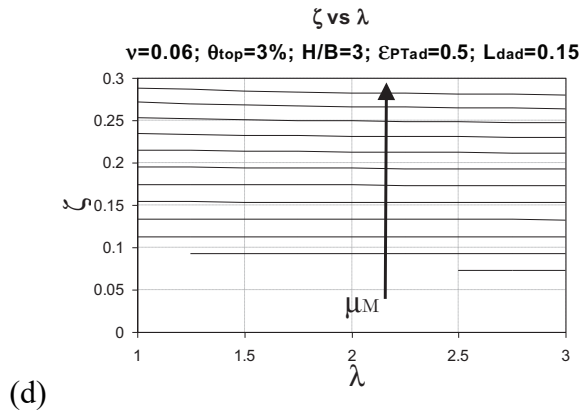
(a)



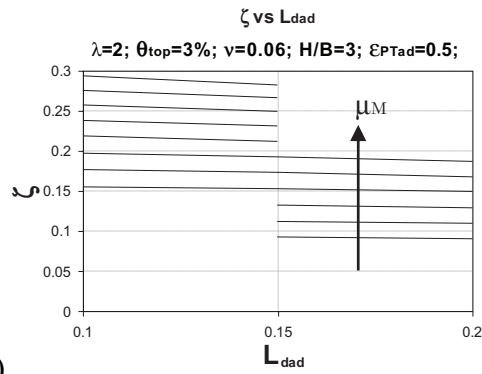
435



(c)



(d)



(e)

436

437 **Figure 7.** Variation of $\zeta = c/B$ as a function of: (a) μ_M ; (b) ν ; (c) aspect ratio, H/B ; (d) design top drift ratio;

438

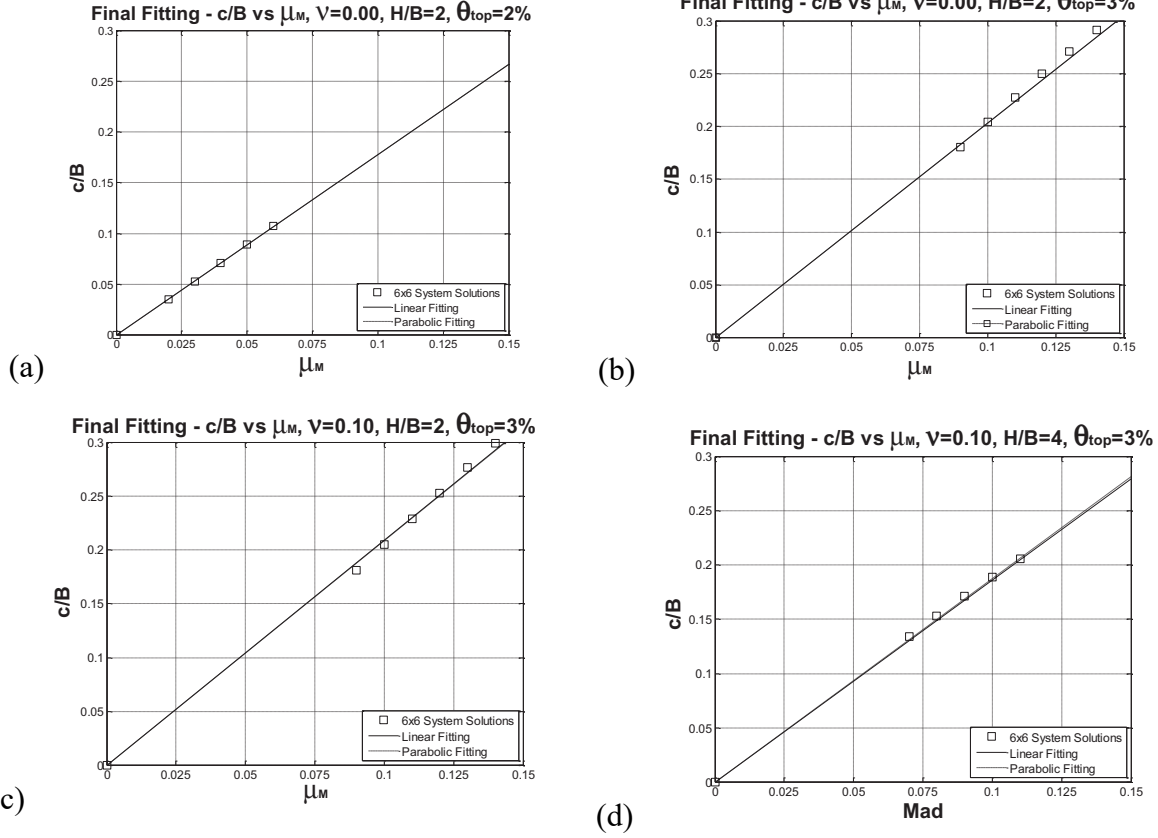
(e) λ ; (f) normalized length of the dissipative devices $L_{dad} = L_b/B$.

439 Figure 8 shows the comparison between ζ obtained from the system of equations (Eq. 1 through

440 Eq. 6) and Eq. 16. The graphs prove the reliability of the proposed simplified assessment. It is

441 worth mentioning that the error is smaller than 1% for every allowed combination of the design

442 parameters.



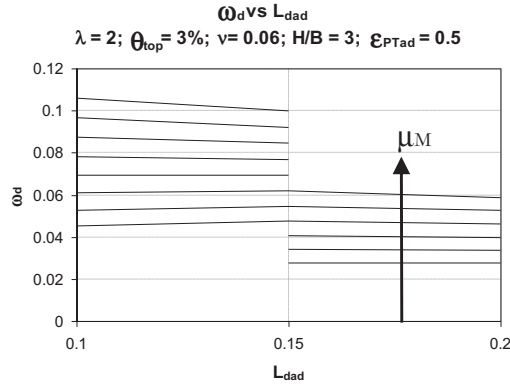
443 **Figure 8.** Comparison between the values of ζ obtained from solving the system of equations (Eq. 1 through Eq. 6)
 444 and the values obtained from Eq. 16 for different values of ν , H/B and θ_{top} .

445 ***Calibration of the numerical expression for the mechanical ratio of dissipaters***

446 Figure 6(b) shows ω_d versus μ_M for different ν , being all the other design parameters fixed. It
 447 emerges that ω_d increases more than linearly with μ_M , while it is almost insensitive to ν .
 448 Figure 6(f) shows that ω_d increases sensibly when λ decreases. The $\omega_d - \lambda$ relationship seems
 449 to be inversely proportional. Figures 6(c), 6(d) and Figure 9 show that ω_d is not affected by H/B
 450 , θ_{top} and L_{dad} respectively.

451 It can be concluded that ω_d is mainly a function of μ_M and secondarily of λ . Three different
 452 functions are proposed to fit the results of the system of equations: i) linear function, $\omega_d = a \cdot \mu_M$
 453 , with one coefficient to calibrate; ii) parabolic function, $\omega_d = a \cdot \mu_M^2 + b \cdot \mu_M$, with two coefficients
 454 to calibrate; iii) power function, $\omega_d = a \cdot \mu_M^b$, with two coefficients to calibrate. For all the
 455 functions $a = a(\lambda)$ and $b = b(\lambda)$ are dependent on λ . Firstly, estimates for ω_d are obtained from

456 the analytical system (Eq. 1 – Eq. 6), considering $0 \leq \mu_M \leq 0.15$ in steps of 0.01 and $1.25 \leq \lambda \leq 3$
 457 in steps of 0.25. Since ω_d is sensitive only to μ_M and λ , the other parameters are fixed arbitrarily.



458

459

Figure 9. Variation of ω_d as a function of L_{dad} .

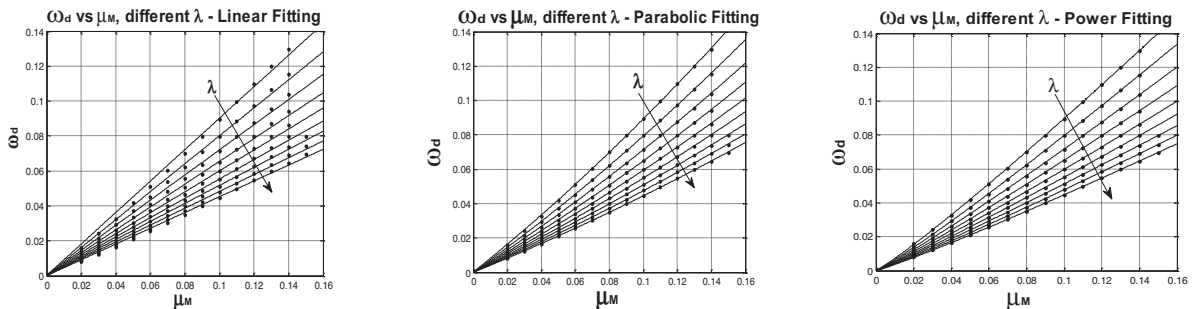
460 Then, the obtained values are compared to those found fitting the data through the linear, parabolic
 461 and power functions, using the least squares technique (Figure 10): a is assumed inversely
 462 proportional to λ in all the functions, while b is assumed inversely proportional to λ in the
 463 parabolic fitting and roughly constant in the power fitting. The resulting equations are:

464
$$\omega_d = \frac{1.806}{\lambda + 1.008} \mu_M \quad (17)$$

465
$$\omega_d = \frac{1.714}{\lambda + 0.6806} \mu_M^2 + \frac{1.604}{\lambda + 1.032} \mu_M \quad (18)$$

466
$$\omega_d = \frac{2.201}{\lambda + 0.9515} \mu_M^{(1.102 - 0.002267 \cdot \lambda)} \quad (19)$$

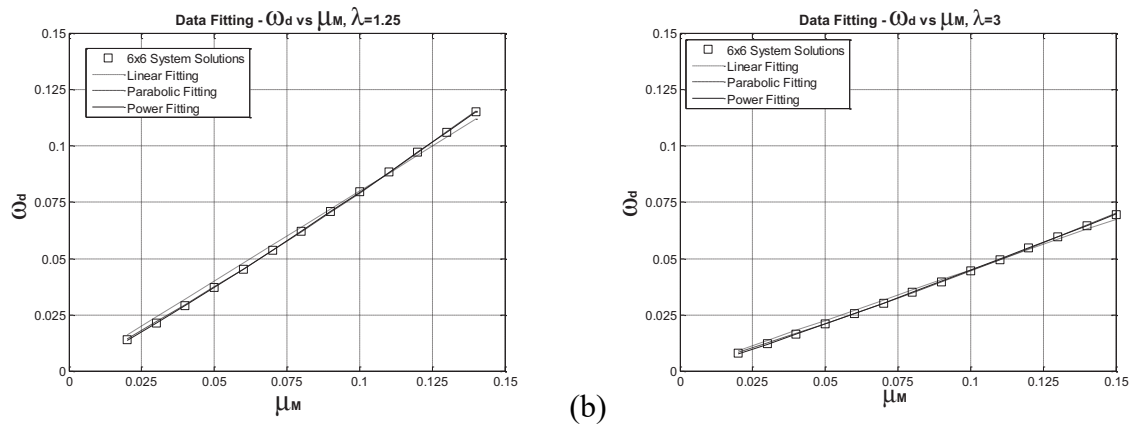
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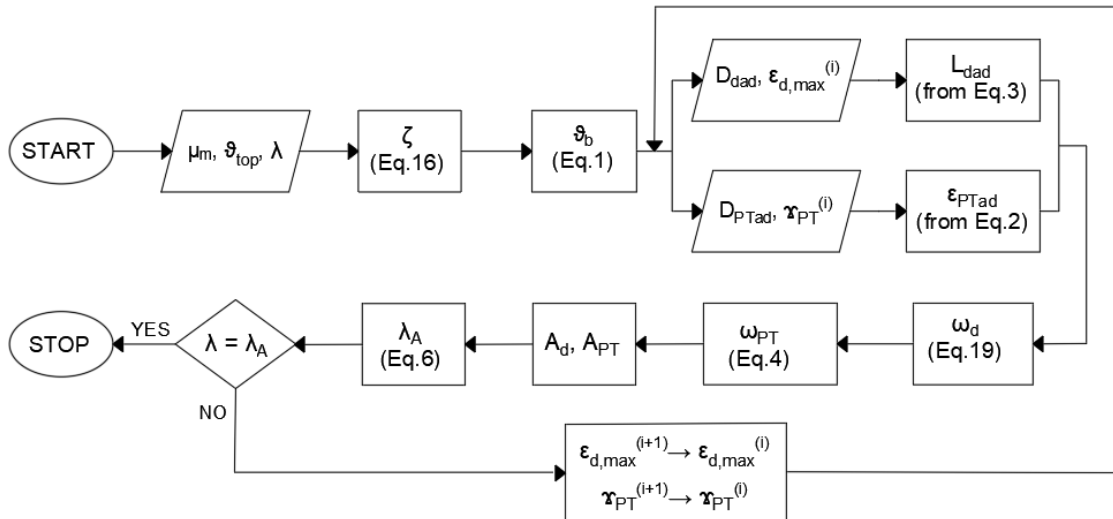
468 **Figure 10.** Comparison of values found by fitting the data through the linear, parabolic and power functions, using
 469 the least squares technique.

470 Figure 11 shows, for $\lambda = 1.25$ and 3, the comparisons between ω_d found from the analytical
 471 system of equations and the results of Eq. 17, Eq. 18, and Eq. 19. Graphically, it can be observed
 472 that both Eq. 18 and Eq. 19 furnish a very accurate estimate of the data obtained from the analytical

473 system. The linear fitting is less accurate but still reaches a quite good prediction of ω_d . The
 474 maximum differences, $\Delta\omega_{d,\max}$, between the approximated (Eq. 17, Eq. 18, and Eq. 19) and the
 475 analytical solution are respectively: $\Delta\omega_{d,\max} = 3.8 \cdot 10^{-3}$, $\Delta\omega_{d,\max} = 7.5 \cdot 10^{-4}$ and
 476 $\Delta\omega_{d,\max} = 5.1 \cdot 10^{-4}$. The linear fitting is characterized by one order of precision less than the other
 477 solutions. Considering that both parabolic and power functions are characterized by the same
 478 degree of complexity, the power function (Eq. 19) is suggested for the design process. Figure 12
 479 shows the simplified procedure formulas adopted for the design example.



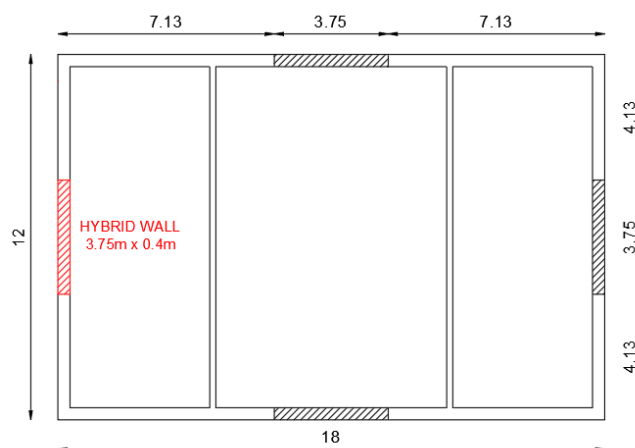
480 (a) (b)
 481 **Figure 11.** Comparisons between ω_d found from the analytical system of equations (1)-(6), the linear fitting (17),
 482 the parabolic fitting (18) and the power fitting (19).



483
 484 **Figure 12.** Simplified procedure adopted for design.

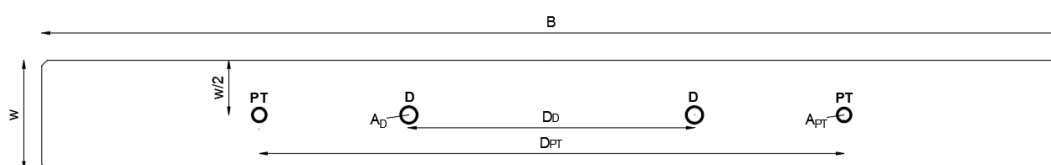
485 **Design example**

486 A case study is selected to validate the proposed procedure. The reference structure is a 5 storey
 487 building located in a European site with high seismicity: design spectrum corresponding to the life
 488 safety limit state in accordance to Eurocode 8 (CEN 2004) with soil class C and ground
 489 acceleration on rock a_g equal to 0.261g. The building height is 15m and the plan dimensions are
 490 18m x 12m. Precast hybrid walls with mild steel dissipaters provide the lateral force resisting
 491 system.



492 **Figure 13.** Schematic plan view of the structure. Note: dimensions in m.

494 For demonstration purposes, a single hybrid wall is analyzed in the following (Figure 13). The
 495 wall is 15m high and 3.75m wide, i.e. H/B equal to 4, and is characterized by concrete with
 496 cylindrical strength equal to 50MPa, steel reinforcement with yield strength equal to 455MPa and
 497 post tensioning (PT) tendons with nominal tensile strength equal to 1860MPa. The wall hysteretic
 498 form is defined as the relationship between re-centering capacity and design moment of the
 499 dissipative devices; at this regard a value of λ equal to 3 is selected. The longitudinal reinforcement
 500 ratio in the unconfined region is equal to $\rho_l=0.3\%$, corresponding to 20 16mm diameter bars
 501 ($A_s=40.21 \text{ cm}^2$), while in the transverse direction the reinforcement ratio is equal to $\rho_t=0.3\%$. In
 502 the confined region the longitudinal reinforcement ratio is equal to $\rho_l=1.3\%$, corresponding to 12
 503 20mm diameter bars ($A_s=37.70 \text{ cm}^2$). Figure 14 shows the main parameters of the wall cross-
 504 section involved in the design procedure.



505 **Figure 14.** Cross-section of precast hybrid wall with indicated the main parameters.

507 Once the main geometrical features of the hybrid wall and the target design parameter (i.e. the roof
 508 drift, θ_{top} , herein taken as 1.5%) have been defined, it is possible to obtain the equivalent elastic
 509 SDOF system from the procedure presented in the Appendix. The results are reported in the
 510 following Table 4. From θ_{top} equal to 1.5% and μ_M equal to 0.025, it is possible to apply the
 511 proposed wall design procedure following the iterative process schematically represented in
 512 Figure 12.

513 **Table 4.** Summary of the results of the simplified procedure.

Design Parameter	Description	Value
μ_M	Normalized base bending moment	0.0205
μ_D	Displacement ductility	9.4
ζ_e	Equivalent viscous damping ratio of the substitute SDOF system	12.7 %
T_e	Equivalent period of the substitute SDOF system	1.9 s
M_e	Equivalent mass of the substitute SDOF system	379964 kg
K_e	Equivalent stiffness of the substitute SDOF system	4354 kN/m
V_b	Design base shear	720 kN
M_b	Design base moment	7900 kNm

514

515 In the first step, the neutral axis depth ζ is calculated with Eq. 16 ($\zeta = 0.03$). At this point, it is
 516 possible to calculate the gap opening at the wall base with Eq. 1, considering θ_{top} equal to 1.5% as
 517 a design choice and $\gamma_f=0.6$ (Twigden and Henry, 2019). The normalized tributary axial load (ν) for
 518 the considered case study is 0.0065. This leads to a gap opening at the wall base g_b (Eq. 1) equal
 519 to 1.4%.

520 Now, choosing the value of D_{PTad} and D_{Dad} equal to 0.44 and 0.16 respectively, from Eq. 2 it is
 521 possible to derive the initial strain in the PT tendons:

$$522 \quad \varepsilon_{PT} = \gamma_{PT} \varepsilon_{yPT} - \left[\frac{\theta_b (1 + D_{PTad} - 2\zeta)}{2L_{PTad}} \right] = 0.514\% \quad (20)$$

523 Where $\varepsilon_{PT} = \varepsilon_{PTad} \times \varepsilon_{yPT}$, therefore ε_{PTad} is equal to 0.6, and γ_{PT} is 0.9. From Eq. 3, it is possible to
 524 derive the normalized unbounded length of the dissipative devices ($\varepsilon_{d,max}=0.1$):

$$525 \quad L_{dad} = \frac{\theta_b (1 + D_{Dad} - 2\zeta)}{2\varepsilon_{d,max}} = 0.08 \quad (21)$$

526 The distance D_{PT} of the PT tendons, the distance D_D of the dissipative devices and the length L_D
 527 of the dissipative devices are obtained from multiplying the previous dimensionless values times

528 the wall width ($B = 3750\text{mm}$), leading to 1650mm, 600mm and 290mm, respectively. The
 529 normalized length of the PT tendons, L_{PTad} , is approximately equal to $H_{wall}/B = 4$.

530 ω_d is calculated using the simplified formula (Eq. 19):

$$531 \quad \omega_d = \frac{2.201}{\lambda + 0.9515} \mu_M^{(1.102 - 0.002267\lambda)} = 0.0098 \quad (22)$$

532 ω_{PT} is obtained from Eq. 5:

$$533 \quad \omega_{PT} = \frac{\alpha\zeta - \nu - \omega_d \left[1 - \mu_{Ed} \left(1 - \frac{\theta_b (0.5 - \zeta) / L_{dad}}{\varepsilon_{yd}} \right) \right]}{\frac{\varepsilon_{PT} + \theta_b (0.5 - \zeta) / L_{PTad}}{\varepsilon_{yPT}}} = 0.024 \quad (23)$$

534 Subsequently, the re-centering capacity is validated by means of Eq. 6:

$$535 \quad \lambda_A = \frac{\omega_{PT} \left\{ (0.5 - \beta\zeta) \left[\frac{\varepsilon_{PT} + \theta_b (0.5 - \zeta) / L_{PTad}}{\varepsilon_{yPT}} \right] + \frac{1}{4} \frac{\theta_b \cdot D_{PTad}^2}{\varepsilon_{yPT} \cdot L_{PTad}} \right\} + \nu (0.5 - \beta\zeta)}{\omega_d \left\{ (0.5 - \beta\zeta) \left[1 - \mu_{Ed} \left(1 - \frac{\theta_b (0.5 - \zeta) / L_{dad}}{\varepsilon_{yd}} \right) \right] + \frac{1}{4} \mu_{Ed} \frac{\theta_b \cdot D_{dad}^2}{\varepsilon_{yd} \cdot L_{dad}} \right\}} = 3.3 \quad (24)$$

536 In the case λ_A is close enough to the initially assumed λ value it is possible to continue the
 537 procedure otherwise iterations are required.

538 The required area of PT steel tendons and dissipative devices are respectively:

$$539 \quad \omega_{PT} = \frac{A_{PT} f_{yPT}}{A_c f_c} \rightarrow A_{PT-i} = \left(\frac{\omega_{PT} (A_c f_c)}{f_{yPT}} \right) / 2 = 650 \text{ mm}^2 \quad (25)$$

$$540 \quad \omega_d = \frac{A_d f_{yd}}{A_c f_c} \rightarrow A_{d-i} = \left(\frac{\omega_d (A_c f_c)}{f_{yd}} \right) / 2 = 990 \text{ mm}^2 \quad (26)$$

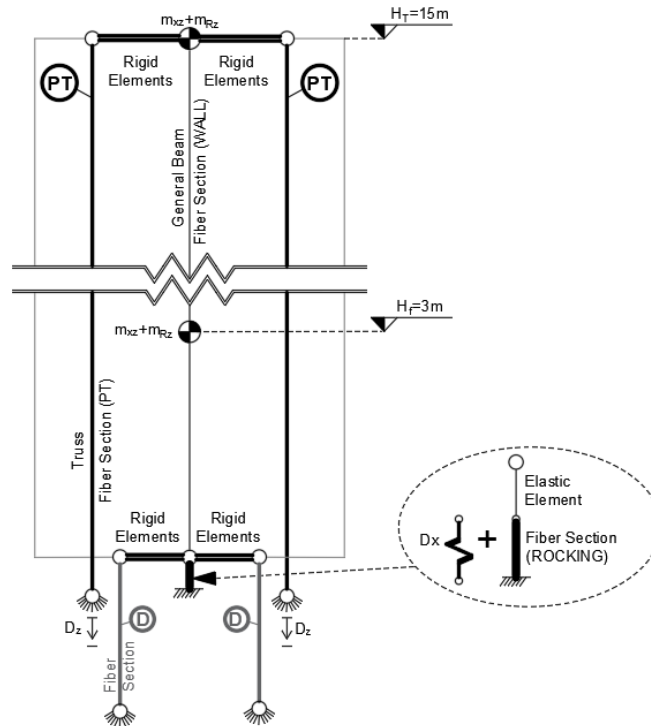
541 Where A_c is the wall cross-section area. Therefore, a total of 7 tendons with 12.5mm diameter (A_{PT-}
 542 $i\text{-eff}=651\text{mm}^2$) and 2 mild steel dissipaters with 26mm diameter ($A_{d-i\text{-eff}}=1060\text{mm}^2$) per side are
 543 selected. The final wall properties are reported in Table 5.

544

Table 5. Summary of the wall properties.

Design Parameter	Description	Value
B	Base of the wall	3750 mm
w	Width of the wall	400 mm
H_f	Interstory height	3000 mm
H_T	Total height of the wall	15000 mm
θ_{top}	Design top drift	1.5 %
f_{cm}	Mean compressive strength of the concrete	58 MPa
E_c	Elastic modulus of the concrete	37278 MPa
ν	Normalized tributary axial load	0.0065
ψ	Normalized equivalent mass	0.0428
f_{yPT}	Yielding stress of the PT tendons	1634 MPa
f_{PTmax}	Maximum allowed stress of the PT tendons	1471 MPa
E_{PT}	Elastic modulus of the PT tendons	195000 MPa
ε_{yPT}	Yielding strain of the PT tendons	0.84%
ε_{PT}	Initial strain of the PT tendons	0.50%
L_{PT}	Length of the PT tendons	15000 mm
D_{PT}	Distance between the PT tendons	1650 mm
ε_{yd}	Yielding deformation of the dissipaters	0.22%
ε_{d-max}	Maximum strain of the dissipaters	0.1
f_{yd}	Yielding stress of the dissipaters	430 MPa
L_d	Length of the dissipaters	290 mm
D_d	Distance between the dissipaters	600 mm
μ_{ED}	Hardening parameter of the dissipaters	0.01

548 In order to evaluate the wall performance under seismic conditions, a finite element model has
549 been defined with the professional software Midas Gen (2017) following the modeling suggestions
550 contained in Belleri et al. (2013). Figure 15 shows the scheme of the considered finite element
551 model. The wall is modeled with fiber elements. At the base, a short fiber element (4cm long) with
552 a cross section characterized by a no-tension concrete material is placed to model the base gap
553 opening. The PT tendons and the dissipaters are modeled with truss elements with non-linear
554 properties, in particular fiber elements with Park hysteresis (Kent and Park, 1971) are considered.
555 The pretension of the PT cables is applied by imposing a vertical displacement at each PT cable
556 base corresponding to the pretension strain ($D_z = \varepsilon_{PT} \cdot L_{PT} = 75.45 \text{ mm}$). The connections
557 between the wall and the tendons and between the wall and the dissipaters are made by rigid
558 elements. The tributary floor mass is lumped at the wall at each floor level ($m_x=94700\text{kg}$ for
559 intermediate floors and $m_x=89400\text{kg}$ for the top floor).



560

561

562

Figure 15. Schematic view of the finite element model of hybrid precast wall.

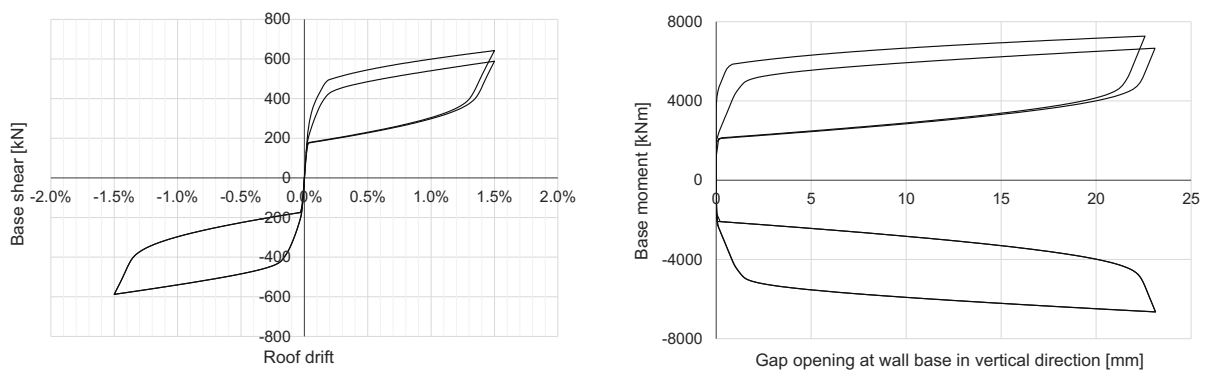
Note: PT represents the post-tensioning tendons; D represents the dissipaters

563

Figure 16 shows the results of a non-linear static analysis both in terms of base shear versus roof drift and in terms of base moment versus gap opening at the base. The results highlight the dissipative and re-centering behavior of the precast hybrid wall.

564

565



566

Figure 16. Results of non-linear static analyses in terms of base shear versus roof drift (left side) and base moment versus gap opening at the base (right side).

567

568

Non-linear time history analyses are conducted for various limit states: immediate occupancy limit state (IO) with $a_g=0.079g$, serviceability limit state, (S) with $a_g=0.104g$, life safety limit state (LS) with $a_g=0.261g$, and collapse prevention (CP) with $a_g=0.334g$. Three spectrum-compatible ground motions are defined for each limit state by means of the SIMQKE algorithm (Venmarcke and Gasparini 1976) with a stationary part of 15s and an overall duration of 25s. Mass and tangent

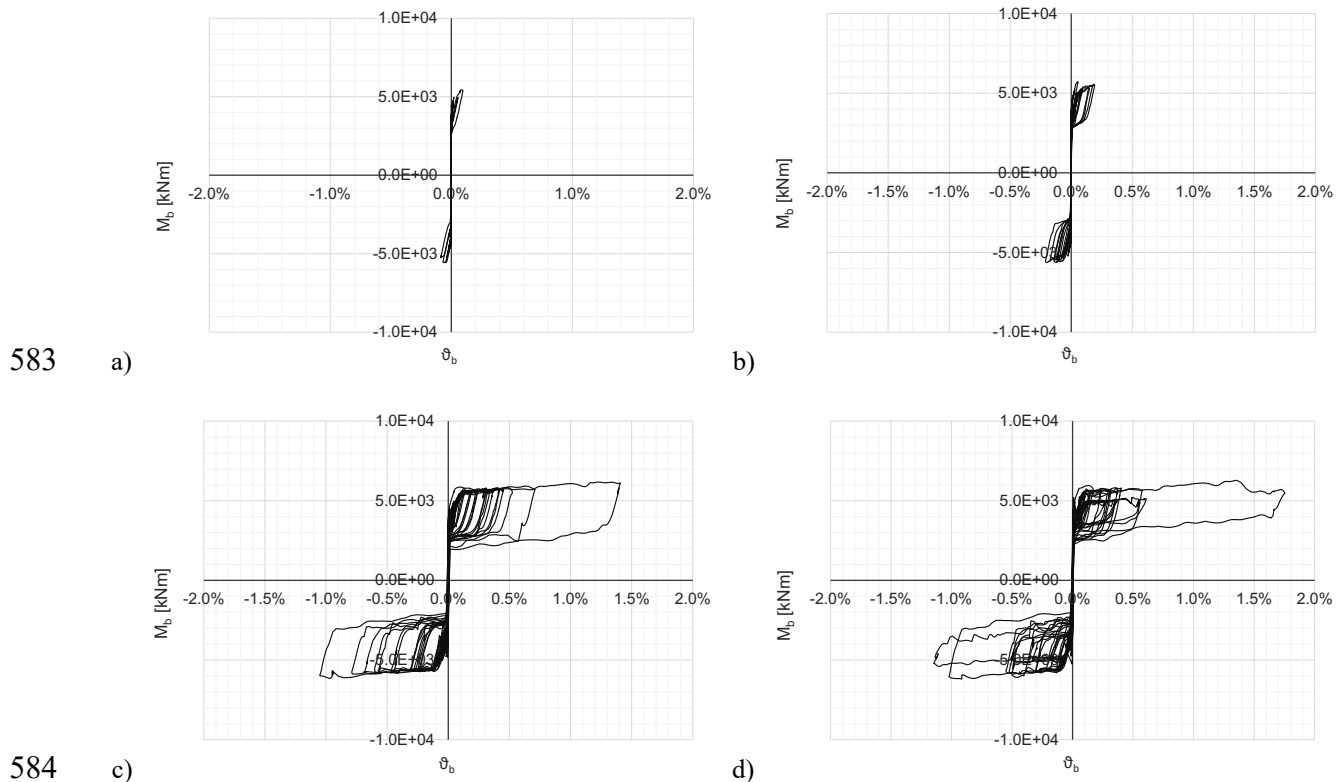
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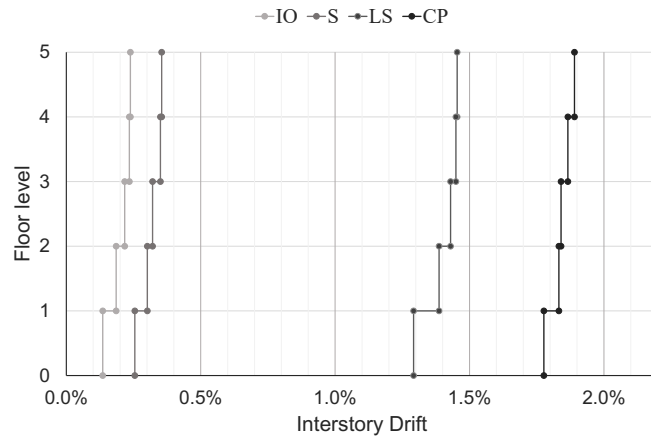
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572

573 stiffness proportional Rayleigh damping is applied for all the analyses with relative damping equal
 574 to 3% (Kurama, 2000; Kurama, 2002; Twigden and Henry, 2019) for the periods 0.3s and 2.0s.
 575 The results of the most demanding ground motion per limit states are reported in the following.
 576 Figure 17 shows the results of the analysis in terms of base moment versus base rotation for the
 577 various limit states, while Figure 18 shows the maximum inter-story drift ratio at each floor. It is
 578 worth noting that there are no residual displacements and that the design procedure succeeded in
 579 controlling the inter-story drift for the life safety limit state as required: generally, the maximum
 580 drift at the life safety level is lower than the design value of 1.5% leading to conservative mean
 581 results, as also reported in Twigden and Henry (2019). Figure 19 shows the bending moment
 582 envelope at each floor.

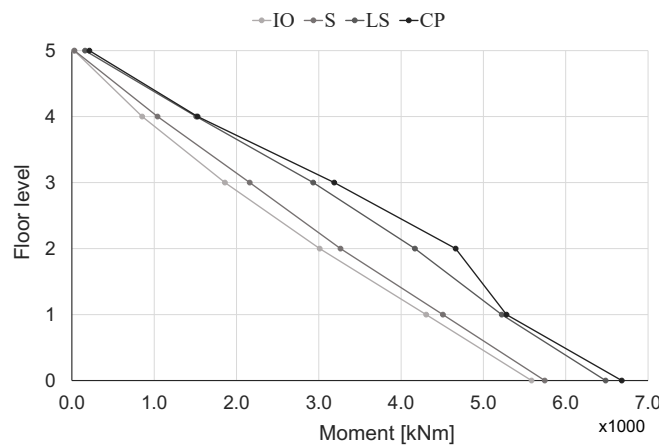


585 **Figure 17.** Results of time history analysis in terms of base moment M_b versus base rotation θ_b for immediate
 586 occupancy limit state (a), serviceability limit state (b), life safety limit state (c) and collapse prevention (d).



587
588

Figure 18. Interstory drift for each limit state.



589
590

Figure 19. Distribution of bending moment for the hybrid wall for each floor level.

591 Conclusions

592 This research provides general indications about the parameters that may influence the design of
 593 hybrid walls with unbounded PT walls and supplemental energy dissipation devices in the form of
 594 mild reinforcing steel. In particular, a nonlinear system of non-dimensional parametric equations
 595 is developed to highlight a rational way to design the PT tendons (area and position) and the mild
 596 steel dissipaters (area, position and unbounded length). Recognizing that the proposed system of
 597 equations is too complex for design purposes, an extensive sensitivity analysis is conducted to test
 598 the dependence of the wall performance on various design parameters: wall aspect ratio, re-
 599 centering ability, design drift ratio, non-dimensional axial load and design bending moment.

600 Starting from the results of the sensitivity analysis, non-dimensional numerical formulas are
 601 calibrated for both normalized neutral axis depth and mechanical ratio of the dissipative bars. This
 602 allows obtaining a simplified, quick, but still accurate approach for solving the design problem,
 603 for each possible combination of the design parameters, in particular, driving the selection and

604 location of PT tendons and mild steel dissipaters once the bending moment demand and
 605 displacement target are known (at this regard, a displacement based design procedure is reported
 606 in the appendix). Although extensive parametric analyses have been conducted considering a wide
 607 variation of the dimensioning parameters, a comparison with the bounding values reported in ACI
 608 ITG-5.1 and ACI ITG-5.2 has been also provided.

609 The validation of the proposed procedure has been carried out by means of non-linear time history
 610 analyses on a selected case study. The procedure provided conservative results and allowed to
 611 control the design drift for the life safety limit state. It is recommended to evaluate the performance
 612 of the designed wall at the collapse prevention limit state to ensure the suitability of the hybrid
 613 system.

614 **Supplemental data**

615 A spreadsheet with the implementation of the proposed procedure is included.

616 **Appendix**

617 This appendix reports a displacement-based design procedure for hybrid walls according to
 618 Mpampatsikos (2009). The interested reader is referred to Mpampatsikos (2009) for insights on
 619 the derivation of the proposed formulas.

620 Once the main geometrical features of the hybrid wall and the target design parameter (i.e. the roof
 621 drift θ_{top}) have been defined, it is possible to obtain the equivalent elastic single degree of freedom
 622 (SDOF) system. This equivalent system represents the nonlinear first mode response of the actual
 623 multi degrees of freedom (MDOF) system and it is characterized by the same base shear and work
 624 done between SDOF and MDOF. In the first step, the displacement ductility is evaluated as a
 625 function of the parameters ν , ζ_u , H_e/B , f_{cm} , μ_M and L_{dad} .

$$626 \quad \mu_{\Delta} = \frac{\theta_{top} (0.02257 + L_{dad}) [0.09122 + \nu (0.04483 + L_{dad})]}{0.123 (0.04483 + L_{dad}) \left[\frac{0.01463 \cdot \theta_{top}}{0.2227 + L_{dad}} + \frac{f_c^{0.5} (0.5327 \cdot \mu_M + 0.1427 \cdot \nu) H_e}{1250 B} \right]} \quad (A1)$$

627 where the effective height H_e is $(1+2n)/(3n)$ times the wall height, whit n the number of floors, and
 628 the normalized axial load ν is:

$$629 \quad \nu = \frac{N}{A_c \cdot f_{cm}} \quad (A2)$$

630 It is also useful to define the normalized equivalent mass ψ :

631
$$\psi = \frac{M_e \cdot g}{B \cdot w \cdot f_{cm}} \quad (\text{A3})$$

632 Subsequently it is possible to calculate the equivalent viscous damping ratio ξ_{eq} :

633
$$\xi_{eq} = 0.05 + \frac{2.348}{\lambda + 3.901} \frac{\mu_\Delta - 1}{\mu_\Delta \cdot \pi} \quad (\text{A4})$$

634 Where λ , i.e. re-centering capacity, is a value greater than 1.2. It is worth mentioning that the
635 variability of this factor does not significantly influence the procedure.

636 Since the proposed procedure is not for tall and slender buildings, it is likely that the equivalent
637 period of the substitute SDOF system (T_e), assessed at design conditions, falls in the region where
638 the displacement response spectrum can be considered to grow linearly with the period. It is
639 possible to calculate the effective period of the substitute SDOF structure $T_{e(A)}$ as:

640
$$T_{e(A)} = \frac{4\pi^2 \Delta_e \sqrt{0.02 + \xi_{eq}}}{2.5 \cdot a_g \cdot g \cdot S \cdot T_C \sqrt{0.07}} \quad (\text{A5})$$

641 Where a_g is the peak ground acceleration on rock, T_C is the spectral period corresponding to the
642 end of the constant acceleration region (CEN 2004), S is the site amplification factor and Δ_e is the
643 SDOF design displacement. Δ_e is equal to the total displacement of the MDOF system, Δ_T , times a
644 reduction factor α equal to $(1+2n)/(3n)$.

645 It is possible to calculate the effective period of the substitute SDOF structure with another
646 formulation as a function of the normalized base bending moment μ_M (equal to the ratio between
647 the design base moment and the mechanical properties of the section) and the normalized
648 equivalent mass ψ :

649
$$\mu_M = \frac{M_b}{A_c \cdot f_c \cdot B} \quad (\text{A6})$$

650
$$T_{e(B)} = 2\pi \sqrt{\frac{\psi \cdot H_f (1+2n) \cdot \theta_{top} H_e}{3g \cdot \mu_M B}} \quad (\text{A7})$$

651 Where H_f is the inter-story height (or the average inter-story height). An iterative procedure in
652 terms of μ_M is required to minimize the difference between the results of Eq. A4 and Eq. A5.

653 Subsequently, starting from T_e (taken as an average between $T_{e(A)}$ and $T_{e(B)}$) and the equivalent
654 damping, it is possible to determine the equivalent mass, stiffness, shear and moment of the
655 equivalent SDOF system:

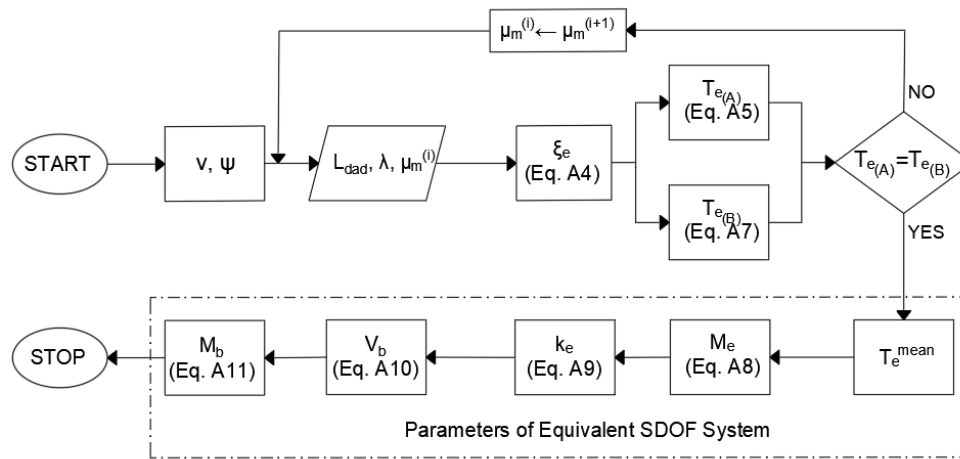
656
$$M_e = \frac{\psi \cdot B \cdot w \cdot f_c}{g} \quad (\text{A8})$$

$$k_e = (2\pi)^2 \cdot \frac{M_e}{(T_e)^2} \quad (A9)$$

$$V_b = \Delta_e \cdot k_e \quad (A10)$$

$$M_b = V_b \cdot H_e \quad (A11)$$

660 The described procedure is represented in Figure A1.



661
662 **Figure A1.** Flow chart for the definition of the equivalent SDOF system.

663 **List of symbols**

θ_{top}	Design roof drift
M_b	Design base moment
V_b	Design base shear
H	Total height of the wall
H_f	Inter-story height
B	Base of the wall
w	Width of the wall
H/B	Wall aspect ratio
A_c	Cross-section area of the wall
N	Axial load in the wall
ν	Normalized axial load in the wall
ε_{ccu}	Ultimate strain for confined concrete
ε_{cc0}	Confined concrete strain at “yielding”
f_{cc}	Confined concrete strength
ε_{cu}	Ultimate strain for unconfined concrete
ε_{c0}	Unconfined concrete strain
A_{PT}	Area of the PT tendons
f_c	Unconfined concrete strength

E_C	Elastic modulus of the concrete
E_{PT}	Elastic modulus of the PT tendons
f_{yPT}	Yielding stress of the PT tendons
D_{PT}	Diameter of the PT tendons
L_{PT}	Length of the PT tendons
L_{PTad}	Normalized length of the PT tendons
f_{PT}	Maximum allowed stress for the PT tendons
γ_{PT}	Safety factor for PT tendons
ε_{PT}	Strain in the PT tendons corresponding to pretension
ε_{yPT}	Yielding strain of the PT tendons
ε_{PTad}	Normalized strain of the PT tendons
A_d	Area of the dissipaters
E_d	Elastic modulus of the dissipaters
f_{yd}	Yielding stress of the dissipaters
f_{ud}	Maximum stress of the dissipaters
ε_{yd}	Yielding strain of the dissipaters
ε_{ud}	Ultimate strain of the dissipaters
$\varepsilon_{d,max}$	Maximum design strain of the dissipaters
L_{dad}	Normalized unbounded length of the dissipaters
D_d	Diameter of the dissipaters
μ_M	Normalized base bending moment
μ_D	Displacement ductility
ζ	Normalized neutral axis depth
α, β	Stress block factors
H_T	Total height of the MDOF system
H_e	Equivalent height of the substitute SDOF system
Δ_T	Roof displacement of the MDOF system
Δ_e	Equivalent displacement of the substitute SDOF system
λ	Re-centering capacity
T_C	Period corresponding to the end of the constant acceleration region
S	Soil factor
PGA	Peak ground acceleration with soil amplification
a_g	Peak ground acceleration on rock
η	Stiffness reduction factor
ψ	Normalized equivalent mass
M_T	Total mass of the MDOF system

T_e	Equivalent period of the substitute SDOF system
ξ_{eq}	Equivalent viscous damping ratio of the substitute SDOF system
M_e	Equivalent mass of the substitute SDOF system
K_e	Equivalent stiffness of the substitute SDOF system

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