

1 2D-3D computations of a vertical axis wind turbine
2 flow field: modeling issues and physical interpretations

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8 **Abstract**

This paper presents the results of a numerical investigation on the flow field past a Vertical Axis Wind Turbine at different operating conditions. Several numerical issues are considered, including the extension of the domain, the class of boundary conditions assigned, the space and time resolution, and the numerical accuracy in the resolution of the equations. The inlet boundary condition and the physical position where it is assigned, as well as appropriate far field conditions, are shown to be crucial for the reliability of the computed turbine performance. Notice that using proper boundary conditions and numerical settings allows to employ not too large computational grids. The conclusions obtained are strengthened by a detailed comparison with a large data-base of experiments available for the turbine under consideration, that include both performance and time-resolved velocity measurements in the wake. The resulting flow model is then used to run time-accurate two-dimensional (2D) and three-dimensional (3D) simulations of the flow around the turbine. This set of simulations is exploited in combination to dedicated studies on the unsteady profile aerodynamics as well as detailed three-dimensional measurements in the wake to provide consistent physical interpretation of the computed flow fields.

9 *Keywords:*

10 VAWT, RANS modeling, 2D-3D VAWT performance prediction

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11 **Nomenclature**

12	<i>c</i>	blade chord [<i>m</i>]
13	<i>f</i>	reduced frequency [-]
14	<i>k</i>	turbulent kinetic energy [m^2/s^2]
15	<i>n</i>	rotational speed [<i>rad/s</i>]
16	<i>A</i>	swept area $A=HD$ [<i>m</i>]
17	C_D, C_L	drag and lift coefficient $C_{D,L}=D,L/(1/2 \rho_\infty V_\infty^2 A)$ [-]
18	C_M	moment coefficient $C_M=M/(1/2\rho_\infty V_\infty^2 AR)$ [-]
19	C_P	power coefficient $C_P = C_M \lambda$ [-]
20	<i>D</i>	turbine diameter [<i>m</i>], drag [<i>N</i>]
21	<i>L</i>	lift [<i>N</i>]
22	<i>H</i>	turbine span [<i>m</i>]
23	<i>M</i>	moment [<i>Nm</i>]
24	<i>N</i>	blade number [-]
25	<i>P</i>	power [<i>W</i>]
26	<i>R</i>	turbine radius [<i>m</i>]
27	RANS	Reynolds Averaged Navier-Stokes
28	<i>Re</i>	Reynolds number [-]
29	SST	Shear Stress Transport
30	TSR	Tip Speed Ratio
31	<i>Tu</i>	turbulence intensity $Tu= \sqrt{2k/3}/V_\infty$ [-]
32	<i>U</i>	peripheral velocity [<i>m/s</i>], uncertainty [-]

33	V	absolute velocity [m/s]
34	VAWT	vertical-axis wind turbine
35	W	relative velocity [m/s]
36	α	incidence angle [deg]
37	λ	tip speed ratio [-]
38	ρ	air mass density [kg/m^3]
39	σ	solidity $\sigma=Nc/D$ [-]
40	ω	vorticity [$1/s$]
41	θ	azimuthal angle [deg]
42	Δt	time step [s]
43	Δx	spatial discretization parameter [m]

44 **Subscripts**

45	T	tangential
46	∞	freestream
47	1	$\lambda = 3.3$
48	2	$\lambda = 2.4$

49 **1. Introduction**

50 Wind energy is nowadays one of the most relevant renewable energy sources
51 and, as such, it has been object of several experimental and numerical studies
52 in recent years, oriented both to investigate the wind turbine aerodynamics and
53 to develop more reliable and effective design techniques, with the ultimate goal
54 of enhancing the performance of single rotors and wind farms.

55 Several technical configurations were proposed to exploit the wind energy,
56 and among them, the horizontal axis wind turbine (HAWT) dominates the
57 market in the range of medium-to-large power installations. For small power
58 capacity, the vertical axis wind turbine (VAWT) may represent an interesting
59 alternative, as it does not require a yaw orientation system, it is characterized by
60 lower costs of installation and maintenance, and it produces lower acoustic pol-
61 lution, thus resulting better suited for urban installation. On the opposite side
62 of very large-scale, VAWTs are also considered for deep-sea off-shore application
63 with floating foundations, mainly due to structural reasons [1].

64 Despite the aforementioned constructive and operation advantages, the VAWT
65 rotors are characterized by a very complex aerodynamics, which are inherently
66 unsteady, fully three-dimensional, typically transitional in small-scale applica-
67 tions ($Re_c < 10^5$), and prone to flow-separation on the blades (see *e.g.* [2] for
68 a description). During a blade revolution the angle of attack and the relative
69 velocity perceived by the profile change continuously, resulting in an instanta-
70 neous fluctuation of forces and torque acting on the turbine. A comprehensive
71 review of the basic aerodynamics as well as the simplified design and analysis
72 models available for VAWTs is reported by Parachivoiu [3].

73 In recent years, the significant increase of available computational power has
74 opened the way to approach the calculation of VAWTs by means of advanced
75 Computational Fluid Dynamics (CFD), that is rapidly becoming a common
76 analysis tool for this class of machines. However, such simulations require a
77 very large computational cost which makes these models still prohibitive for
78 design purposes and has historically led the researchers to employ simplified
79 actuator-line or 2D flow models, which involve a much reduced computational
80 requirement.

81 Simplified 2D models were used to investigate dynamic stall at low Reynolds
82 number for a single oscillating blade in comparison to experiments [4], showing
83 the effectiveness of SST $k - \omega$ models for a reasonable prediction of complex
84 vortical structures developing during pitching motion. 2D computations on a
85 three bladed VAWT were also performed to investigate complex transitional ef-

86 fects [5], highlighting how the stall onset is predicted earlier when transitional
87 models are adopted with the $k - \omega$ model. From the technical perspective,
88 2D models allow to capture relevant physical effects such as dynamic stall and
89 turbulence modeling, but fail in producing reliable estimates of power coeffi-
90 cients, due to struts, trailing vortices, tip losses, spanwise flow divergence due
91 to blockage effect, *etc.*. These issues have been studied in [6], which focuses on
92 different turbulence modeling and on the feasibility of 2D and 2.5D simulations,
93 by virtue of both URANS and LES. The authors indicate that overprediction
94 of C_P of 2D URANS is mainly due to the inability in reproducing the airfoils
95 aerodynamic performance at high angle of attack, and they also show that 2.5D
96 LES can give good agreement with experiments at relatively low TSR. A recent
97 study [7] has shown that proper correction terms for strut and tip losses can
98 upgrade significantly the reliability of 2D models in predicting H-shape VAWT
99 performance.

100 However, with the aim of constructing a high-fidelity simulation tool for
101 VAWTs, the formulation of a 3D CFD model which requires a technically-
102 acceptable computational cost still remains a relevant challenge. Moreover,
103 if complex VAWT architectures are considered (such as troposkien or swirling
104 layouts), only a fully 3D model can provide reliable performance estimates.

105 To the authors' best knowledge, only a few 3D studies have been published on
106 VAWT simulations. 3D URANS results of VAWT in skewed flows are presented
107 in [8], 2D and 3D models are applied in [9] for aerodynamic modeling and
108 in [10] to investigate self-starting capability of VAWT. It is to be noted that 3D
109 computational grids employed must often appear as rather coarse with respect
110 to the 2D counterparts, to meet the constraints in computational cost ($y^+ \simeq 5$,
111 $2 - 10$ millions cells, see for example [11]). The most advanced attempt of
112 a fully 3D simulation of a VAWT is reported in [12] in which a single-blade
113 configuration was considered due to restrictions in computational cost.

114 The challenge of constructing a feasible but reliable 3D flow model of VAWTs
115 requires to consider several numerical parameters that are of great importance
116 to achieve feasible results. The space discretization, the extension of the discrete

117 domain, the boundary conditions, the turbulence modeling are among the most
118 important issues. Time step size is another crucial parameter in unsteady flow
119 and is of particular relevance for VAWT analysis, due to their inherent unsteady
120 aerodynamics. Several investigations have already been presented in literature
121 for the assessment of mesh and step size requirements in CFD for the simulation
122 of VAWT. The two parameters are strongly connected, and furthermore are not
123 independent of the device operating conditions.

124 In [13] a thorough investigation on numerical settings, time step size, domain
125 definition is presented. In particular, they have found that the angular time-
126 step ranges between $1/15^\circ$ and 2° . [14] investigated, through 2D computations,
127 two operating VAWT conditions for which they suggested to employ a time step
128 equal to $1/30^\circ$. From these studies, consistent and coherent guidelines can be
129 deduced on the space/time discretization.

130 Turbulence models have also a significant impact on the quality of the nu-
131 merical solution, due to the large angle of attack and the subsequent potential
132 onset of stall on the profile. Even though these features would justify LES or
133 DNS approaches, their prohibitive computational cost and the immediate in-
134 dustrial interest of VAWT has led most researchers to focus on the capabilities
135 of RANS model, that is the framework in which also the present computational
136 study is performed. A review of the open Literature (see *e.g.*, [4], [9], [14], [13],
137 [15]), provides a very clear indication on the necessity of using two-equation tur-
138 bulence models with proper near-wall treatment for time-varying aerodynamic
139 problems in presence of large incidence fluctuations. In particular, the simula-
140 tions reported in [7] showed indeed that the SST $k - \omega$ model performs well in
141 VAWT flow computations against experiments.

142 Less established guidelines are, instead, available, for other key issues of
143 these simulations, such as the dimension of the computational domain and the
144 set of boundary conditions. 2D simulations often employ very large domains,
145 up to 40 and 100 turbine diameters upstream and downstream of the turbine
146 respectively, and a width up to 60 diameters (*e.g.* [14, 16, 13]). Such domains,
147 however, may lead to prohibitively large extensions in three dimensions. In

148 fact, the few available 3D studies employ smaller domain (*e.g.* 5-10 diameters
149 upstream and on the sides, 10-15 diameters downstream, see [17, 9, 11]); it is
150 evident that, in these cases, detailed experimental comparisons are required for
151 assessment and the set of conditions assigned on the external boundary of the
152 domain need a reconsideration.

153 In light of the present State of the Art of VAWT simulation models, the
154 present paper discusses the reliability of standard second-order accurate 2D and
155 3D computations, performed using two commercial codes, by means of system-
156 atic comparison with the experimental data coming from a wide test campaign
157 performed in a large-scale wind tunnel. Relevant computational parameters
158 are considered to investigate the sensitivity of the flow model to the compu-
159 tational settings and to investigate if high quality results can be obtained at
160 a reasonable (namely, industrially relevant) computational cost. To this end a
161 key issue of the present study is the extent of the simulation domain, which
162 must be large enough to avoid artificial blockage effects but as small as possi-
163 ble to allow reducing the computational cost. In particular we investigate the
164 boundary placement with respect to the blockage effect and its influence on the
165 performance prediction through comparison with experimental measurements
166 of the rotor wake. Proper boundary conditions must be adopted according to
167 the physical aspects. Unsteady RANS computations are then here presented to
168 both investigate the reliability of 2D and 3D computations and to study complex
169 flow features characterizing the flow around a vertical axis wind turbine.

170 2. Case study

171 The turbine considered for the present study is a real-scale model of a VAWT
172 for micro-generation ($P_{max}=200\text{W}$). The rotor features a straight H-shape, it
173 is composed by three unstaggered NACA0021 blades, with a chord of 0.0086 m
174 and length equal to 1.46 m; the turbine diameter is 1.03 m (resulting in a swept
175 area of about 1.5 m²). Figure 1 displays the turbine configuration including the
176 supporting structure given by two flat radial elements. The main geometrical

characteristics of the turbine considered are reported in Table 1. Full details on

Blade height	1.457 m
Rotor diameter	1.030 m
Solidity	0.25
Chord	0.086 m
Blade airfoil	NACA0021

Table 1: VAWT geometric parameters

177

178 the turbine geometrical features as well as on the turbine operating and per-
 179 formance parameters can be found in [18]. In the present study, two operating
 180 configurations are considered, one close to the peak C_p condition and one at
 181 high-load operation, whose details are reported in Table 2.

182 2.1. Experiments

183 The VAWT model was object of a wide experimental campaign carried out
 184 in the large-scale wind tunnel of the Politecnico di Milano (Italy). The wind
 185 tunnel features a 6-meter long test section of square cross area of about 16
 186 m^2 , where relatively high speed flows (up to 50 m/s) are generated with very
 187 low turbulence (below 1%). In order to minimize the blockage induced by the
 188 wind tunnel walls, the tests considered for the present study were performed in
 189 unconfined configuration, removing the test room so that a free-jet is released to
 190 the rotor, which is placed in the center of the jet. The application of dedicated
 191 correlations for free-jet blockage [19] indicates that the blockage effect, for the

V[m/s]	TSR	C_P	$U_{C_P[95\%]}$
6.54	3.3	0.154	0.017
9.00	2.4	0.277	0.034

Table 2: Working conditions

192 present case, is below 1.5%, and hence it was neglected for the subsequent
193 discussion.

194 As documented in full detail in [18], several measurement techniques were
195 applied within the test campaign. Performance predictions were achieved by
196 combining angular speed with torque measurements, respectively obtained with
197 an absolute encoder and a precision torque meter. Different levels of uncertainty
198 resulted for different TSR, and are given in Table 2 for the conditions of interest
199 of this work.

200 Velocity and turbulence measurements were performed in the wake by travers-
201 ing multiple hot wires downstream of the rotor. The set of hot wire probes pro-
202 vided time-resolved measurements of both streamwise and cross-stream velocity
203 components with uncertainty of about 2%. A proper data-processing technique,
204 reported extensively in [20], was performed to extract from the time-resolved
205 hot-wire signals the time-averaged, phase-resolved, and turbulent components
206 of the velocity; this latter was used to determine the streamwise turbulence
207 intensity.

208 A pneumatic five-hole probe was also traversed in the turbine wake to mea-
209 sure the pressure level and the 3D flow direction, with related uncertainties
210 within 10 Pa in pressure and ± 0.2 deg in the flow angles. Measured pressure
211 values confirmed the absence of blockage-induced overspeed outside the wake
212 region; as shown in [21], flow angle measurements indicate the fully 3D charac-
213 ter of the velocity field in the wake of this turbine, especially in the tip region
214 where large-scale trailing vortices are released downstream. This motivates the
215 relevance of fully 3D computations for this class of machines.

216 2.2. Computational models

217 The flow field characterizing a VAWT is unsteady and incompressible (the
218 relative Mach number is always lower than 0.1), and the regime is turbulent. In
219 this work we consider a modeling based on RANS equations, *i.e.* the equation
220 of mass and momentum conservation along with the two-equation model $k - \omega$
221 SST developed by Menter [22] which is the most used two equation turbulence

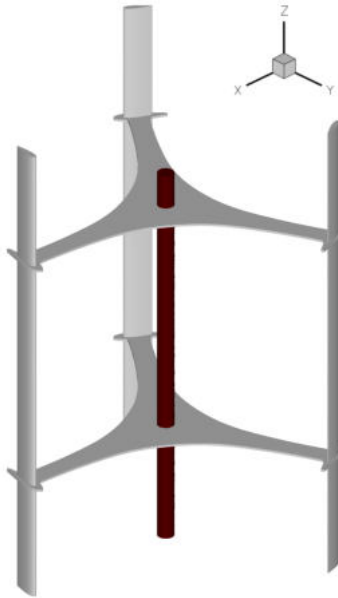


Figure 1: Wind turbine geometry

222 model in turbomachinery applications. In fact, the SST $k-\omega$ model as reported
 223 in the open Literature (see, for example, [4, 16, 14, 15]) provides a very clear
 224 indication on the necessity of using two-equation turbulence models with proper
 225 near-wall treatment for time-varying fluid dynamic problems in presence of large
 226 incidence fluctuations. Moreover, the 2D simulations of Bianchini and collab-
 227 orators [7] showed indeed that the SST $k-\omega$ model performs well in VAWT
 228 flow computations against experiments. This is because large separation regions
 229 and severe adverse pressure gradients take place on the blades depending on the
 230 working configuration and during the blade revolution.

231 In this work, computations have been performed using the commercial codes
 232 Fluent[®]-Ansys v.17 [23] and STAR-CCM+[®] [24] with the same discretization
 233 and modeling settings. The investigation is not intended as a comparison be-
 234 tween codes, instead the codes have been used as predictive tools to assess the
 235 feasibility of our observations. The discretization employed is second-order ac-
 236 curate both in space and time (on a regular enough mesh) and the solution of

237 the unsteady RANS equations has been carried out using a constant time step
238 equal to half a degree of revolution. The solution of the discrete problem has
239 been performed using the pressure-based coupled algorithm in which the system
240 of the momentum equations and the pressure correction equation is solved in a
241 coupled manner, while the turbulence model equations are still solved in a de-
242 coupled way. Notice that the coupled algorithm is characterized by an improved
243 convergence rate but involves an increase memory requirement.

244 The nonlinear system arising at each time step is solved using AMG solver
245 up to an accuracy level of 10^{-5} measured by the norm of scaled residuals.
246 Convergence of the computations is instead evaluated by monitoring the time
247 variation of relevant physical values, such as the power coefficient.

248 The computational domain is composed of two parts, an inner one, which
249 defines the discretization of the moving part (the turbine with the shaft and the
250 airfoil sections), and an outer one, which defines the steady far field region. The
251 sliding mesh technique is used to deal with the rotation of the turbine; thus an
252 interface boundary condition is used to transfer the informations between the
253 fixed and rotating regions.

254 The wind turbine rotating regime is 400 rpm and two operating conditions
255 are studied, namely $\lambda = 3.3$ and 2.4 , corresponding respectively to the wind
256 freestream velocity of $V_{\infty,1}=6.54$ m/s and $V_{\infty,2}=9$ m/s. The Reynolds number
257 based on the peripheral velocity and the airfoil chord is $1.2 \cdot 10^5$. At the inlet
258 boundary are imposed the velocity, a 1% turbulence intensity (the experimental
259 value) and a viscosity ratio ν_t/ν equal to one, which is a typical value adopted
260 with this turbulence model. At the outlet boundary, pressure is prescribed, and
261 in case of backflow, fluid is entrained at ambient total pressure and temperature.
262 At solid wall the dissipation rate is imposed using the wall boundary condition
263 of Wilcox [25]. Remind that the $k - \omega$ models were designed to be applied
264 throughout the boundary layer, provided that the near-wall mesh resolution is
265 sufficient $y^+ \approx 1$. Both in Fluent and STAR-CCM+, for fine enough meshes,
266 the appropriate low-Reynolds number boundary conditions are applied, with ω

267 at the wall computed as

$$\omega_w = \frac{\rho(u^*)^2}{\mu} \omega^+$$

268 where the asymptotic value in the laminar sublayer is computed as described
269 by Wilcox, prescribing the specific dissipation as a function of wall roughness.
270 STAR-CCM+ imposes this condition in the cases of low Reynolds number and
271 of the so called “ all y^+ ” wall treatment.

272 **3. Two-dimensional computations**

273 *3.1. Numerical issues*

274 In recent times there has been a lot of interest in the literature about var-
275 ious aspects of VAWT simulations, as e.g. the accuracy of 2D computations,
276 the use of low Reynolds number turbulence models, the extent and finesses of
277 the numerical grid, both in time and space, the inlet and outlet (far) bound-
278 ary conditions to impose. The debate is still open and different authors often
279 came to different conclusions; moreover the correct answers may, and indeed do,
280 change according to the TSR under investigation. As a typical example let us
281 consider the first item, i.e. the expected variation between a 2D simulation and
282 the actual turbine behaviour, in the simplifying case of uniform velocity at inlet
283 and flow symmetry about the midspan plane, as in our wind tunnel experiments
284 where the flow was steady and there was no wind boundary layer. With simple
285 physical reasoning, it is evident that the discrepancy in C_M will increase with
286 the TSR as the losses related to strut friction and to the tip blade effects (in
287 this case the main causes of difference with a 3D flow) roughly increase with the
288 square of the peripheral speed whilst the torque is made non-dimensional with
289 the square of the approaching velocity. Claiming satisfaction when at tip speed
290 ratios around four (standard for a VAWT) the computed two-dimensional C_P
291 is very close to the experimental value is nonsense. Concerning the turbulence
292 model which is most advisable to employ with the computation of separated
293 flows around stalling airfoils and/or with the effect of strong wake impingement

294 on blades (both frequently occurring in a VAWT), experience and open litera-
 295 ture suggest to use the SST $k - \omega$ model, coupled with the *low - Re* option,
 296 available in both the commercial codes used in this work. By the way, it is the
 297 same recommendation emerging from [4]. We are aware that, using a differ-
 298 ent and/or more sophisticated (transitional) turbulence model, outcomes can
 299 change but a deep investigation of this item alone perhaps deserve a book and
 300 is not the aim of our work.

301 Unless otherwise stated, every 2D simulation carried out in the course of our
 302 deep investigation about the above mentioned numerical issues was obtained
 303 by means of the Fluent code. When performing 3D computation we however
 304 turned to STAR-CCM+, owing to the availability of the 3D software and our
 305 better acquaintance with the use of polygonal grids, suited to save computa-
 306 tional resources. Of course, all 2D tests selected for the comparison 2D-3D (and
 307 quite some other) were done again with the STAR-CCM+ code.

308 Most tests performed and illustrated in this section were carried out for
 309 TSR=3.3 in order to minimize uncertainties arising from the airfoil performance
 310 under large variation in the angle of attack, as those experienced at TSR=2.4. In
 311 the ideal case of no induced velocity, each profile experiences a periodic variation
 312 of the angle of attack α , given by

$$\alpha(t) = \tan^{-1} \frac{\sin[\theta(t)]}{\cos[\theta(t)] + \lambda}, \quad (1)$$

313 see Figure 2.

314 The reduced frequency of this phenomenon,

$$f = \frac{nc}{60W} \simeq \frac{c}{2\pi R}, \quad (2)$$

315 with chord and relative velocity (no induction) selected as reference length and
 316 velocity, respectively, is roughly 0.027 and almost independent from TSR. How-
 317 ever it can not be inferred that the flow field undergoes quasi-steady changes
 318 as, even for λ_1 , α varies between $\pm 17.6^\circ$, well beyond the (steady) stall angle
 319 of attack, which is about 10 degrees. In this case the crucial frequency becomes
 320 the (higher) one associated with stall fluid dynamic perturbations. Moreover,

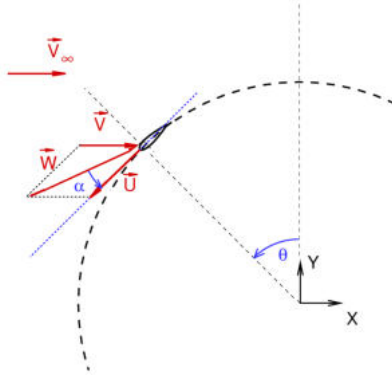


Figure 2: Azimuthal angle θ and flow angle of attack α

321 in the leeward half revolution, when the flow separates and large vortices are
 322 detached from the preceding airfoils, the unsteadiness is strengthened by the
 323 incoming wakes and both amplitude and frequency of the disturbances greatly
 324 increase.

325 Since the turbulence model employed computes the flow behavior till the
 326 wall, the near-wall space discretization must fulfill the well known requirements
 327 on the y^+ value (≈ 1), as well as suitable growing factors within the boundary
 328 layer. The “Base” grid chosen is shown in Fig. 3 with a blow-up near the airfoil
 329 surface. It consists of 253.800 triangular and quadrilateral cells (213.800 in the
 330 inner rotating part and 40.000 in the outer fixed one) with a minimum distance
 331 from solid walls of $2 \cdot 10^{-2}$ mm (corresponding to about $2 \cdot 10^{-4}$ chord) and
 332 a nearby layer of twelve cells with local widening ratio of 1.2. It extends in
 333 all directions three diameters from the VAWT centre of rotation. The good
 334 quality of the meshes employed in this work has been checked through the
 335 available indicators within the codes such as point distribution, smoothness, and
 336 skewness, in particular, maximum aspect ratio is 16 and minimum orthogonality
 337 0.24.

338 To test the influence of global mesh coarseness, tests were run with finer
 339 meshes made of up to 500.000 elements, with the same domain extension of the
 340 “Base” grid and similar clustering of points in the boundary layers; the results

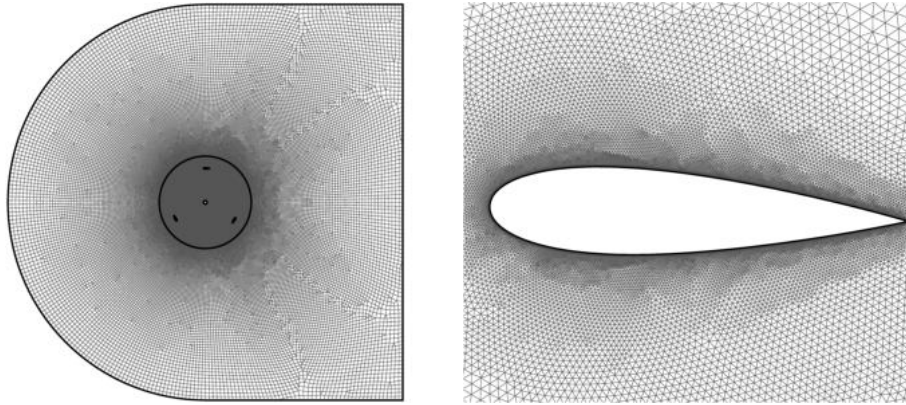


Figure 3: “Base” grid: full domain and airfoil detail

341 obtained showed no meaningful differences.

342 Moreover another grid, named “Bl”, was assembled to ascertain the impor-
 343 tance of near wall resolution; in this case the minimum distance at walls is
 344 reduced to 10^{-5} mm while keeping the same widening law and general charac-
 345 teristic dimensions. Thereby any potential diversity employing the “Base” and
 346 “Bl” meshes is only due to first (and following) cell distance from the solid sur-
 347 faces, and consequent y^+ value. It must be considered that in order to perform
 348 a meaningful comparison with 3D computations the number of mesh elements
 349 must be kept at a reasonable level, otherwise the request of computational re-
 350 sources blows out of proportion or the comparison lose relevance as the 3D grid
 351 finesses is substantially reduced comparing to the 2D one.

352 Another topic of debate, linked to the previous one, is how far the mesh
 353 should extend in all directions to correctly impose the appropriate boundary
 354 conditions. The inlet boundary condition (BC) is of course magnitude and
 355 direction of the incoming velocity, but different choices are currently adopted
 356 regarding downstream and lateral boundaries. We believe that when performing
 357 computation simulating an open environment the correct procedure is to impose
 358 the value of the static pressure, since the flow field is not at all symmetric nor
 359 laterally periodic. We examined the influence of the boundary location building
 360 two more grids of the same shape, extending respectively six and nine turbine

361 diameters; let us call them “Med” and “Far” (the latter is shown in Fig 4).
 362 It is important to say that each outer grid starts from exactly the inner one;
 363 therefore only a strip of cells is added around it without any modification of the
 364 previous smaller mesh.

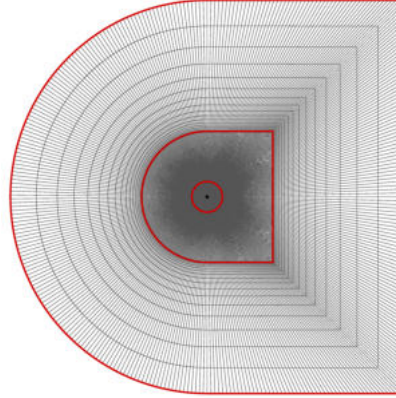


Figure 4: “Far” grid: full domain (inner red line indicates the boundary of the “Base” grid)

365 One point often underrated when discussing time step size impact on accu-
 366 racy is that within each time step one has to solve a non-linear algebraic system
 367 and the result obtained depends also on the accuracy of this solution. Solver
 368 parameters such as “maximum number of iterations per time step” are therefore
 369 important and must be varied inversely to the time step size in order to keep the
 370 solution of the algebraic system at the same desired level of accuracy specified
 371 as “(scaled) residual convergence”. We fixed this parameter at 10^{-5} . Obeying
 372 this provision, refinement of the time step beyond 720 steps per revolution (we
 373 pushed our investigation down to 7200), i.e. 0.5° , led to negligible difference in
 374 the results, as also found by Rezaheya et al. [11].

375 Table 3 summarizes the average and root mean square value of the computed
 376 power coefficient in the last ten revolutions of the turbine, for the four grids
 377 considered. As one can notice, a statistically steady periodic solution is finally
 378 established in each test case ($C_{P,rms} \leq 10^{-2}\overline{C_P}$) and the “BI” solution does
 379 not substantially differ from the “Base” one. On the contrary, the extent of the
 380 computational domain shows its influence, lowering $\overline{C_P}$ when moving to greater

Grid	h_1	$\#D$	$\overline{C_P}$	$C_{P,rms} \cdot 10^2$
“Base”	2	3	0.385	0.213
“Bl”	1	3	0.375	0.289
“Med”	2	6	0.334	0.261
“Far”	2	9	0.291	0.086

Table 3: VAWT λ_1 : power coefficient (average and root mean square) for different cases ($h_1/(10^{-4}c)$ first cell off solid wall height, $\#D$ number of rotor diameters off rotor center)

381 meshes. A local analysis of the static pressure profile along the X coordinate
 382 three diameters away from the center in the Y direction, both for “Med” and
 383 “Far” grids, pointed out only very small differences with respect to the ambient
 384 pressure, there imposed when using the “Base” grid; therefore it can be argued
 385 that the responsible for the variation in $\overline{C_P}$ is the inlet boundary condition.
 386 Figure 5 shows the non-dimensional velocity magnitude in the half upstream
 387 circumference of radius equal to $3D$, whilst Figure 6 displays the same variable
 388 in a midspan lateral traverse at $X/D = -0.75$, for the three different meshes.

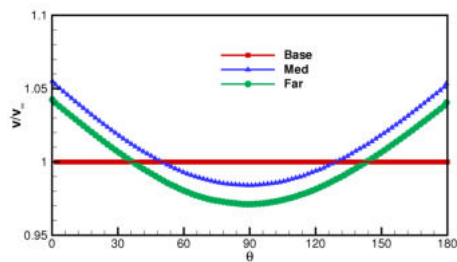


Figure 5: Velocity magnitude distribution along the “Base” grid inlet

389 It is evident that the position where inlet BCs are assigned influences the
 390 flow field and how moving the inlet freestream condition farther upstream there
 391 is more room for the deceleration due to the turbine induction effect. In other
 392 terms the computations seem to overestimate this effect, even for the “Base”
 393 grid, whose inlet (at $Y = 0$) is about at the same upstream distance of wind

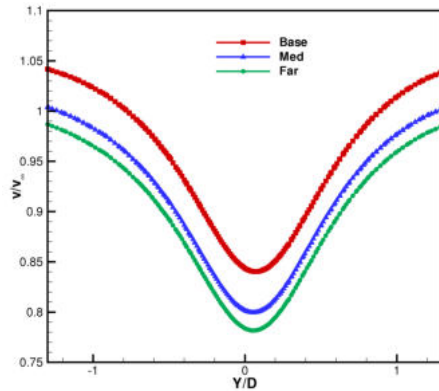


Figure 6: Velocity magnitude distribution at $X/D=-0.75$

394 tunnel freestream measurements. In order to limit as much as possible the grid
 395 extension, a complete and detailed traverse of (possibly non uniform) measured
 396 data should be available at a certain distance; in that case the problem is then
 397 trivially solved imposing exactly the experimental data. Proper selection of
 398 domain boundaries clearly results in a saving of memory requirements and CPU
 399 time.

400 It must be reminded that, at this wind speed, a 10^{-5} relative error in pres-
 401 sure translates into a 20% error in velocity; therefore any numerical inaccuracy
 402 arising from either precision (round-off) errors or approximate knowledge of
 403 actual boundary conditions has a dramatic importance.

404 Turning now the attention to the downstream wake, see Figure 7, one notice
 405 that all three numerical traverses lie very close each other and fairly well re-
 406 produce the measured profile. In the simulations the time average is performed
 407 over one revolution, in the experiments over about thirteen revolutions. The
 408 same behaviour takes place at $X/D = 1.50$. However it is still recognizable
 409 a certain level of overspeed, both in the wake and in the outer flow field, not
 410 vanishing even for an inlet placed nine diameters far from the centre of rotation.
 411 We believe this fact might be due to the peculiar circular shape adopted that
 412 forces laterally the flow (in the far field up to $X = 0$). What seems to emerge is
 413 that is not at all mandatory to extend downstream and sideways the computa-

414 tional mesh in an excessive manner, but simply to let the flow freely exiting the
 415 domain sideways whenever necessary. This can of course be done only provided
 416 that neither “slip wall” nor “symmetry” or “periodic” boundary conditions are
 417 used. The (upstream) “velocity inlet” should instead be fixed where the actual
 418 data are known or reasonably estimated.

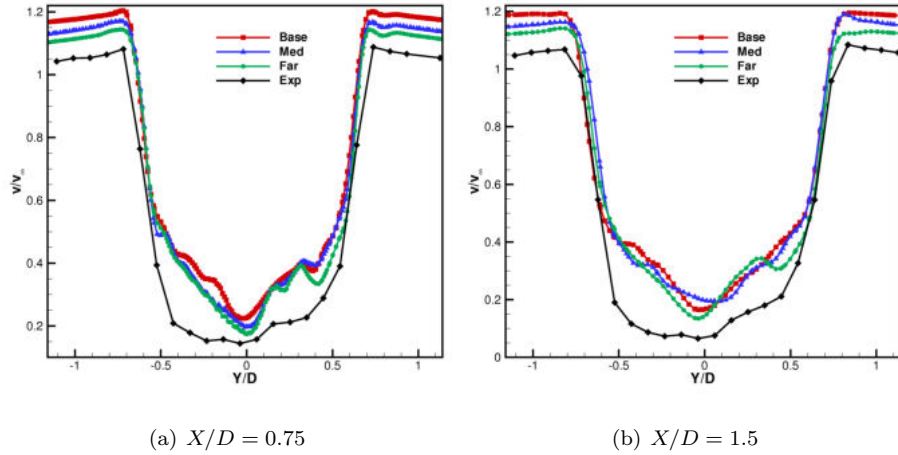


Figure 7: VAWT λ_1 : velocity magnitude distribution

419 More tests are needed to prove with no doubt our assertion and this is at
 420 present a work in progress.

421 The novelty of the above conclusions helps to shed some light on an sub-
 422 ject often vaguely and confusingly dealt with and implicitly affirm that full 3D
 423 computational simulations of a VAWT are feasible without having at disposal
 424 hyper-computing facilities.

425 From a quick glance at Figure 7, the careless reader could have been drawn to
 426 the wrong conclusion that C_P increases with grid extension in virtue of a lower
 427 downstream velocity profile. This is not at all true and the reverse exactly
 428 happens here, even in comparison with the experiments. The reason is twofold.
 429 Firstly C_P measures the shaft power and not the mechanical power left by
 430 the fluid, their link being given by the rotor efficiency. Secondly and most
 431 important, in order to correctly compute the power left by the fluid, velocity
 432 and pressure must be precisely known and taken into account at all boundaries,

433 not only in the turbine wake. Moving the inlet BC closer raises the velocity
 434 level in the wake as well as the inlet pressure (for an assigned outlet pressure)
 435 and the laterally escaping flow rate. These two last effects prevail and, apart
 436 from efficiency considerations, C_P is higher for the “Base” grid.

437 After commenting the downstream velocity traverses, we turn now the at-
 438 tention to the profiles of turbulence intensity, displayed in Figure 8. In the
 439 experiments a phase-locked average is used to separate fluctuations from the
 440 mean value, thus filtering out every disturbance of frequency multiple than
 441 the fundamental one (20 Hertz). Vortices shed from the pole and/or the stalled
 442 blades are hence not necessarily filtered and contribute somehow to the Tu even
 443 if they are not turbulent fluctuations. Moreover, the hot-wire probe actually ac-
 444 curately measured only U_{rms} , that is reasonably the most important component
 445 of the velocity fluctuation in the turbine shear layers, whilst in the simulations
 446 Tu is defined assuming isotropy of the normal Reynolds stresses. Therefore
 447 the computed value should underestimate the measured one. The difference is
 448 supposed to soften in presence of strong flow separations with the associated
 449 release of turbulent vortices containing transversal velocity fluctuation of the
 450 same order of magnitude, as indeed happens at smaller TSRs.

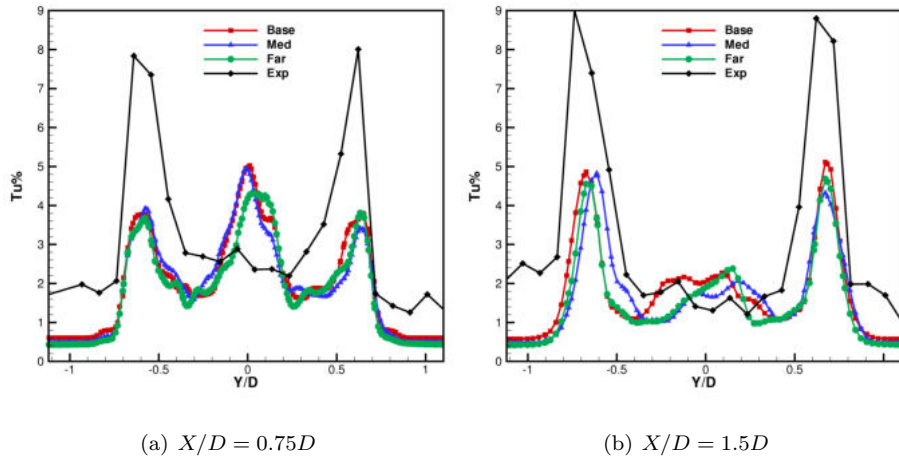


Figure 8: VAWT λ_1 : turbulence intensity distribution

451 Also in this case results obtained with the three grids are quite similar one

452 another, exhibiting two lateral peaks nicely centered with respect to the mea-
 453 sured data. The Tu levels inside and outside the mixing layers are however
 454 underestimated and in the experiments there is no evident trace of the shaft
 455 wake. Moving downstream, the central peak associated with the wake of the ro-
 456 tating pole is damped; on the contrary the maximum value of turbulent kinetic
 457 energy in the two lateral mixing layer slightly increases, both in the experi-
 458 ment and in the simulations. The reason of the illusory contradiction lies in
 459 the different length scales of the two shear layers. The turbine wake has D as
 460 characteristic dimension whilst the shaft wake its own diameter, twenty times
 461 smaller; therefore at $X/D = 1.5$ we are in the shaft far-wake region of decaying
 462 turbulence as opposed to the near-field of the VAWT wake.

463 The same trends in predicted performance versus computational mesh just
 464 discussed for λ_1 can be observed in the λ_2 test cases, whose results are sum-
 465 marised in Table 4. The only remarkable difference is the higher root-mean-
 466 square of the pressure coefficient, now reaching values as high as 3% of $\overline{C_P}$.
 467 This is not surprising since at TSR=2.4 α varies between $\pm 24.5^\circ$ (occurring
 468 respectively at $\theta = 115^\circ$ and 245°) and in the rotation lower ($90^\circ < \theta < 270^\circ$)
 469 and leeward ($180^\circ < \theta < 360^\circ$) halves each blade experiences strong separations
 470 and interactions with arriving wakes and vortices. By the way, it is not sur-
 471 prising that two-dimensional C_P is higher for λ_1 ; increasing the TSR, α_{max} is
 472 progressively reduced, eventually hindering any boundary layer separation: here
 473 λ should reach about 5.5 in order to restrict the range of the angle of attack
 474 within the steady stall limit. This trend is then reversed when the range $\pm\alpha_{max}$
 475 is so small that the lift contribution to the torque starts to decrease and drag
 476 becomes relatively more important.

477 C_P values obtained in the simulations for TSR=2.4 anyway appear too low;
 478 in fact their level is smaller than the full (3D) turbine experimental datum of
 479 0.26. The matter might be ascribed to an overestimation of fluid dynamic losses
 480 in strong stall regions, but whole causes of this behaviour will be investigated
 481 in a forthcoming work.

482 Notwithstanding this observation, a quite remarkable agreement with mea-

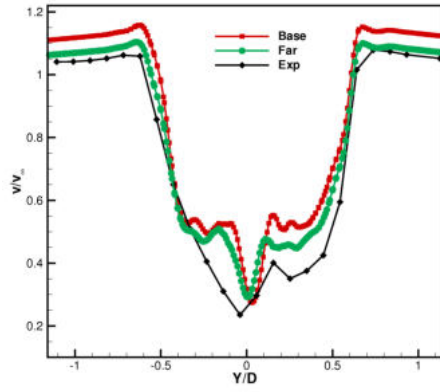
Grid	$\overline{C_P}$	$C_{P,rms} \cdot 10^2$
“Base”	0.236	0.572
“Bl”	0.219	0.640
“Far”	0.199	0.288

Table 4: VAWT λ_2 : power coefficient (average and root mean square) for different cases

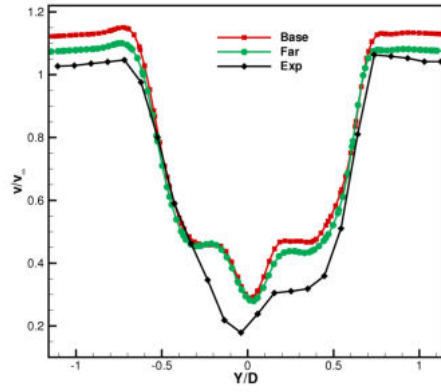
483 surements comes out from the downstream velocity and turbulence traverses
484 (see Figures 9 and 10).

485 In Figure 9 both VAWT and pole wakes are well reproduced; in particular
486 the latter widens and reduces its velocity deficit during the route from $X/D =$
487 0.75 to $X/D = 1.50$. Figure 10 puts to evidence three well distinct peaks
488 of turbulence; from the highest, belonging to the lower side turbine mixing
489 layer, to the middle one, pertaining to the shaft, and then to the smallest,
490 referring to the upper side turbine mixing layer. It must be reminded that it
491 is exactly in the lower part of the revolution that the blade experiences the
492 greatest angle of attacks and moves retreating from the wind. Strong vortices
493 start detaching from the airfoil when θ is about 100° and promote production
494 of turbulent kinetic energy. Therefore at $X/D = 0.75$ the lower shear wake is
495 already well developed and dampens its turbulence intensity going downstream.
496 The opposite happens in the upper VAWT shear layer, akin to the evolution
497 previously discussed in the λ_1 test case.

498 In order to assess the feasibility of our observations, we performed compu-
499 tations using both the two selected codes and thus computations on the “Base”
500 grid were repeated using STAR-CCM+ with the same fundamental discretiza-
501 tion ingredients (second order accurate discretization, coupled pressure-velocity
502 approach and $k - \omega$ low - Re SST model, etc). The computed $\overline{C_P}$ for the λ_1
503 “Base” solution is 0.417, compared with 0.385 given by Fluent; this difference
504 is lower than 10% and, more important to say, varies consistently for the other
505 meshes considered. We can therefore be confident that our conclusions about

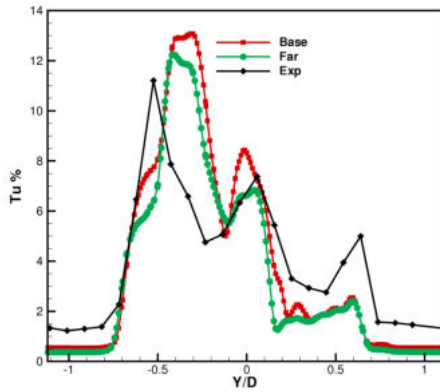


(a) $X/D = 0.75$

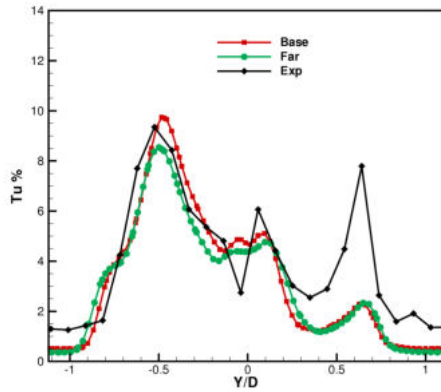


(b) $X/D = 1.5$

Figure 9: VAWT λ_2 : velocity distribution



(a) $X/D = 0.75$



(b) $X/D = 1.5$

Figure 10: VAWT λ_2 : turbulent intensity distribution

506 numerical issues are endowed with a general validity.

507 *3.2. Critical flow analysis*

508 Basic computations have been performed not only to investigate the space
509 and time discretization parameters but also in order to study the behavior of a
510 pitching isolated airfoil oscillating with a motion nearly equivalent to that oc-
511 ccurring in the turbine. These simulations aim at evaluating the profile behavior
512 under unsteady phenomena and neglect the interaction between the blade sur-
513 faces and wake vortical structures. In order to make a meaningful discussion,
514 the airfoil is made to oscillate in a freestream approaching with the turbine
515 peripheral velocity, which sets also the reference direction for the turbine drag.
516 Even if this is of course not the direction of the relative velocity instantaneously
517 seen by an observer moving with the blade, the procedure is fully consistent
518 with the choice of freestream as drag reference direction when dealing with ex-
519 periments on isolated pitching airfoils. If in addition the freestream speed V
520 that runs into the pitching airfoil is made to fluctuate in time with

$$V(t) = \sqrt{U^2 + V_\infty^2 + 2UV_\infty \cos[\theta(t)]}, \quad (3)$$

521 all basic conditions encountered by the VAWT blade are reproduced, except the
522 influence of both induction and preceding airfoils boundary layers and wakes.
523 Thus we can split the turbine flow unsteadiness into three principal contribu-
524 tions (angle of attack, velocity magnitude, incoming shear layers) and study the
525 relative importance of each one.

526 The computational grid adopted for a NACA 0021 airfoil with the associated
527 inner rotating grid is quite similar to the one adopted for the VAWT swinging
528 with the law of Equation (1) for $\lambda = 3.3$. As a matter of comparison, the steady
529 variation of C_L and C_D as functions of the angle of attack, in the adequate
530 range for the tip speed ratios considered, are shown in Figure 11 and compared
531 with Sheldahl et al. data [26]. Of course, throughout this section C_L and
532 C_D (per unit span) are defined by means of the chord length. Apart from the
533 marked influence of the Reynolds number in the stalled region, it appears that

534 the occurrence of stall is delayed in the numerical result (and thus maximum C_L
 535 is overestimated): the trends in both coefficients are however well reproduced.

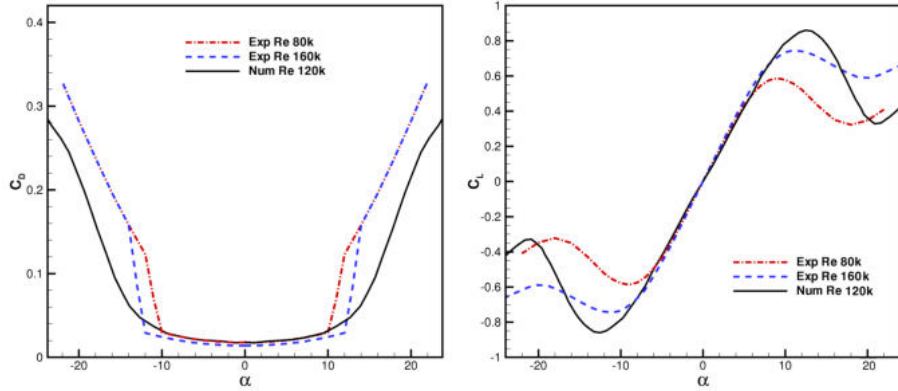


Figure 11: NACA0021: steady flow; $V=U$

536 Which is the actual impact of a Reynolds number function of the angle of
 537 attack can be appreciated by means of steady simulations under an inlet velocity
 538 V varying with α as results from Equation (3) and the functional link between
 539 θ and α for λ_1 , see Figure 12.

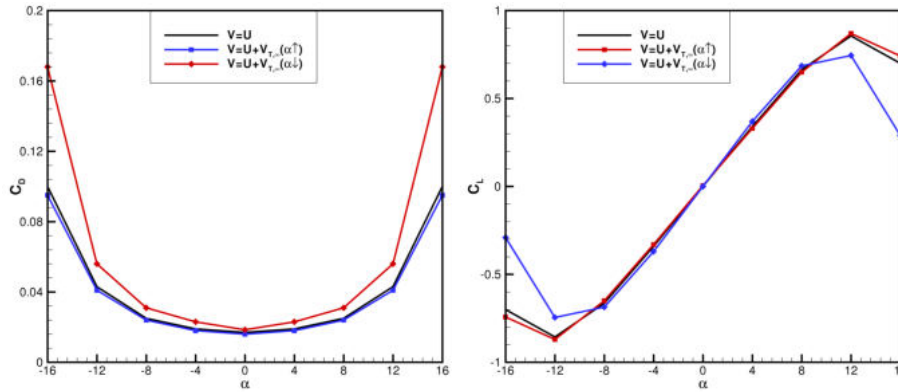


Figure 12: NACA0021: steady flow; V function of the angle of attack α

540 As in a turbine revolution the same α occurs twice, two graphs are presented:
 541 one in the pulling up phase ($\alpha \uparrow$) and one in the diving phase ($\alpha \downarrow$).

542 Figure 13 shows the lift and drag coefficients for the three cases: steady iso-

543 lated, pitching isolated (constant speed), and pitching isolated (variable speed).
 544 Like before, lift and drag are made non-dimensional by means of the freestream
 545 velocity V .

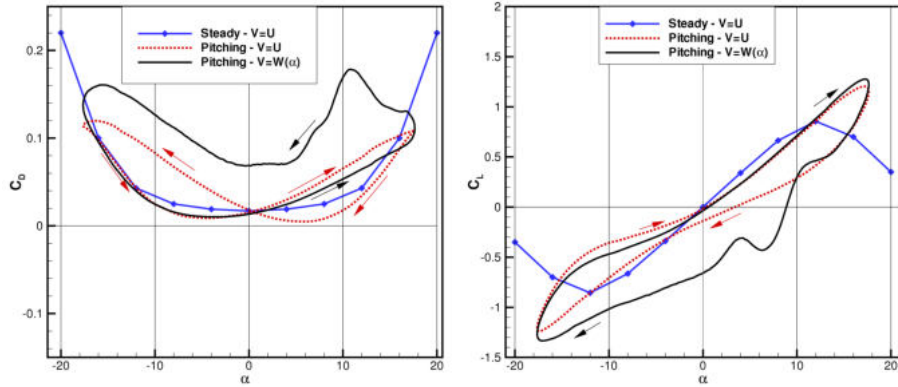


Figure 13: NACA0021: steady, pitching, and pitching-pulsating airfoil

546 The main differences between steady and oscillating airfoil behaviour (blue
 547 and red lines in Figure 13) in a constant wind are the broadening range of rising
 548 lift, beyond the steady stall limit, and the setting up of the hysteresis cycle.
 549 The α augmenting phase lasts much longer than the α decreasing phase (a time
 550 interval corresponding to 215 degrees of turbine rotation instead of 145) and
 551 thus the upward speed is much lower. In the C_L graph, the recovery from the
 552 stall region needs therefore a wider range of angle of attack when the airfoil is
 553 diving and, after the recovery, C_D is a little greater than in the pull-up phase.
 554 It must also be remembered that the actual angle of attack seen by an observer
 555 tied up to the airfoil should take into account the pitching motion; for instance
 556 $C_L = 0$ in the α augmenting phase for a slightly positive angle of attack.

557 In addition, the lift coefficient slope in the almost linear range ($\alpha < \alpha_{stall}$)
 558 is reduced. The hysteresis is strengthened introducing the cyclical variation
 559 in freestream velocity; its minimum value is exactly midway the α decreasing
 560 phase and its maximum midway the augmenting phase. Moreover, the C_D and
 561 C_L graphs (displayed with black lines) now have lost their respectively quasi-
 562 symmetrical and quasi-antisymmetrical trait.

563 When comparing the variable wind pitching airfoil with the VAWT, see
 564 Figure 14, further discrepancies are evident in the whole turbine revolution.
 565 Notice that now the lift and drag coefficients of the isolated airfoil are made
 566 non dimensional by means of the peripheral velocity U and not W , for the
 567 sake of consistency with VAWT computations where use is made of a constant
 568 reference speed.

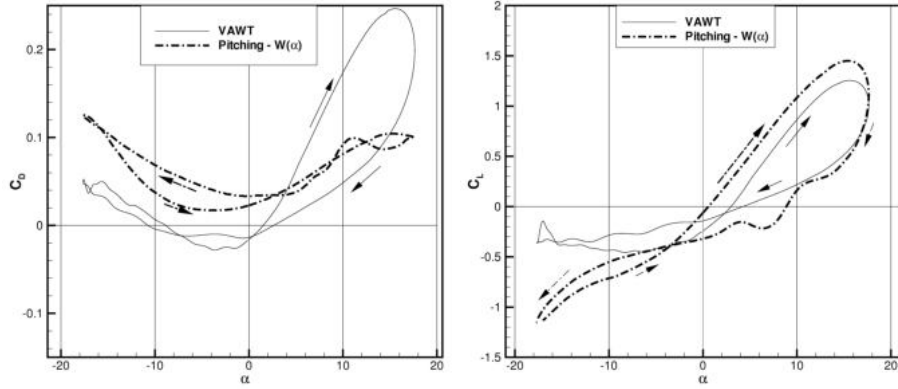


Figure 14: VAWT λ_1 : C_D , C_L of NACA0021 pitching-pulsating airfoil and VAWT

569 Reason of such differences is twofold: the turbine induction effect which
 570 lowers the freestream wind, as well as the magnitude of the angle of attack seen
 571 by the moving blade, and the presence of both shaft and preceding blades wakes.
 572 In the windward part of the revolution the first effect dominates, especially in
 573 the region up to α_{max} where it also causes a reduction in the magnitude of
 574 the relative velocity W . Both phenomena take part in the reduction of C_L as
 575 compared to the oscillating airfoil. At the same time, the decrease of the actual
 576 α brings on an induced drag, like the one encountered on finite wings, owing
 577 to the misalignment of the lift with respect to the undisturbed relative velocity
 578 normal direction. On the contrary, in the leeward part of the revolution, regions
 579 of low velocity fluid, due mainly to wakes and/or vortices of separated flows,
 580 yield a reduction in the magnitude of both aerodynamic coefficients.

581 VAWT C_L sharply falls at maximum lift, then remains nearly flat, slightly
 582 negative, in the aft-lower side and in the fourth quarter. C_D grows monoton-

583 ically in the first quarter to return to normal levels in the second quarter and
 584 there remains for the rest of the revolution performing an hysteresis cycle similar
 585 to the pitching airfoil, although with smaller values.

586 These results show that there are big differences in the unsteady perfor-
 587 mances of oscillating airfoils as compared with a VAWT and also suggest that
 588 is not sensible to simulate the actual turbine with a simply moving single airfoil
 589 as both induction and wake effects depend heavily on the number of blades, for
 590 a given TSR.

591 Figure 15 displays the turbine blade drag and lift coefficients (assuming no
 592 induction to set the relative flow direction) as functions of α , while Figure 16
 593 shows their contributions to the moment (named C_{MD} and C_{ML}) as functions
 594 of θ .

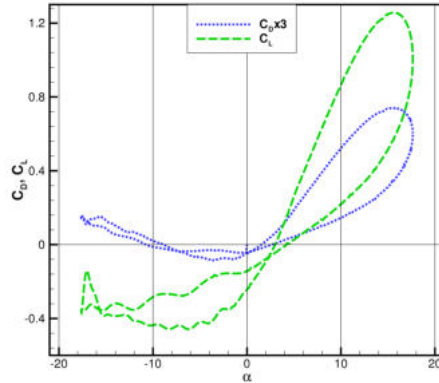


Figure 15: VAWT λ_1 : drag and lift coefficients versus angle of attack

595 It is worth noting the difference between the angle α corresponding to the
 596 maximum lift (about 15.5°) and that of maximum torque on the axis ($\theta = 98^\circ$,
 597 *i.e.* $\alpha = 17.4^\circ$).

598 In Figure 16 C_{MD} and C_{ML} are computed supposing that, for every θ , the
 599 airfoil center of pressure is located at one fourth of the chord, *i.e.* exactly where
 600 the blade is radially connected to the shaft through the strut. Therefore, when
 601 the sum of C_{MD} and C_{ML} does not match the C_M value, the above hypothesis
 602 is not anymore valid, as distinctly happens in the downwind part of the rotation.

603 This is due to the simultaneous occurrence of high angle of attacks and impinging
 604 profile wakes. In addition, under these circumstances, no longer exists a blade
 605 aerodynamic center, where the torque is independent of α .

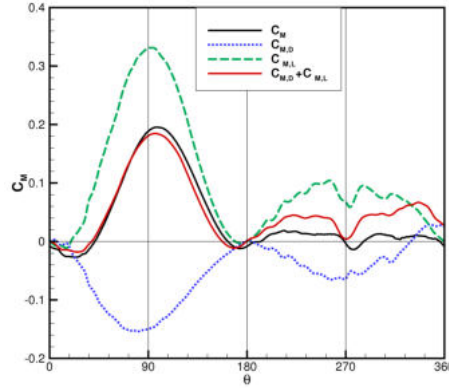


Figure 16: VAWT λ_1 : moment coefficient and moment coefficients due to lift and drag, as functions of θ

606 3.3. VAWT flow field

607 We here briefly describe the flow field computed on the “Base” for the op-
 608 erating condition λ_1 .

609 The aerodynamics of the turbine is characterized by inherent unsteadiness
 610 which alters significantly the blade aerodynamics among the different phases of
 611 the revolution. These features appear clearly in the present simulations, and
 612 are now discussed by resorting to the period classification proposed by Ferreira
 613 [27]. To support the flow description, instantaneous snapshots of the flow field,
 614 taken at θ equal to 0,30,60 and 90 degrees, are reported in the Figures 17–18–
 615 19. They show the contours of turbulence intensity alongside those of velocity
 616 and vorticity fields for four different azimuthal positions of the three blades;
 617 by combining different blades, and considering the 120 degree shift among each
 618 blade, these plots allow tracking the evolution of the blade aerodynamics over
 619 12 phases over one revolution. All quantities shown are non-dimensional using
 620 the freestream wind and the chord as respectively reference speed and length,

621 see *e.g.* the legend of Figure 19.

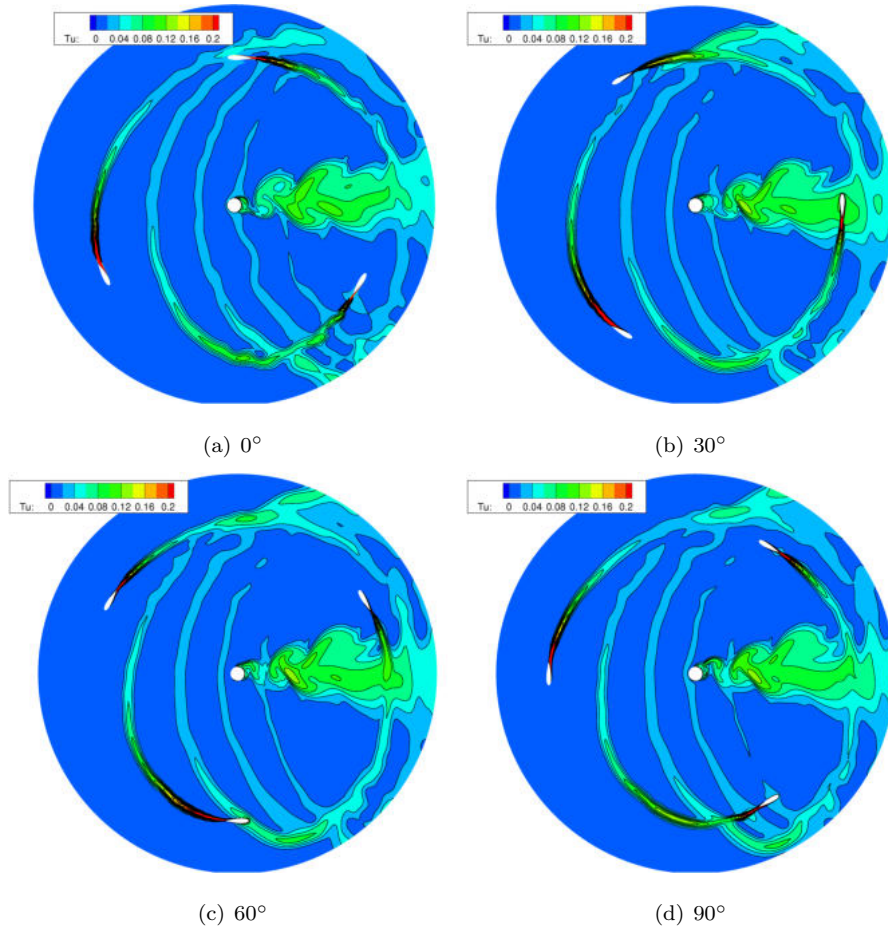


Figure 17: VAWT, λ_1 : turbulence intensity contours at different angular positions θ

622 In the upwind quarter of revolution ($45^\circ \leq \theta \leq 135^\circ$) the blades are un-
623 perturbed by the wakes. In this period most of the power production occurs
624 (see in Figure 16 the distribution depicted in continuous black line where the
625 torque coefficient of the airfoil is plotted versus its angular position) and also
626 its peak value ($\theta \approx 100^\circ$). Afterwards, in the leeward quarter of revolution
627 ($135^\circ \leq \theta \leq 225^\circ$) the blade experiences the highest fluctuations as well as the
628 peak values of incidence (both positive and negative, see [3]); these effects may
629 trigger dynamic stall, with associated turbulent structures developing along the

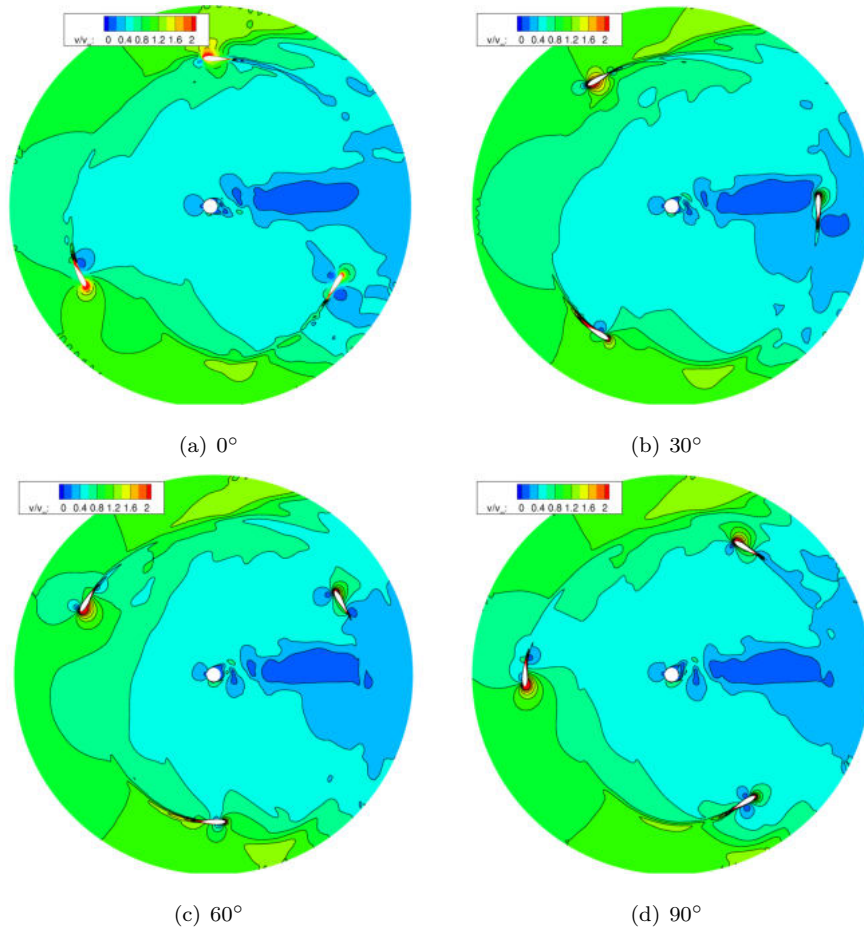


Figure 18: VAWT, λ_1 : velocity magnitude contours at different angular positions θ

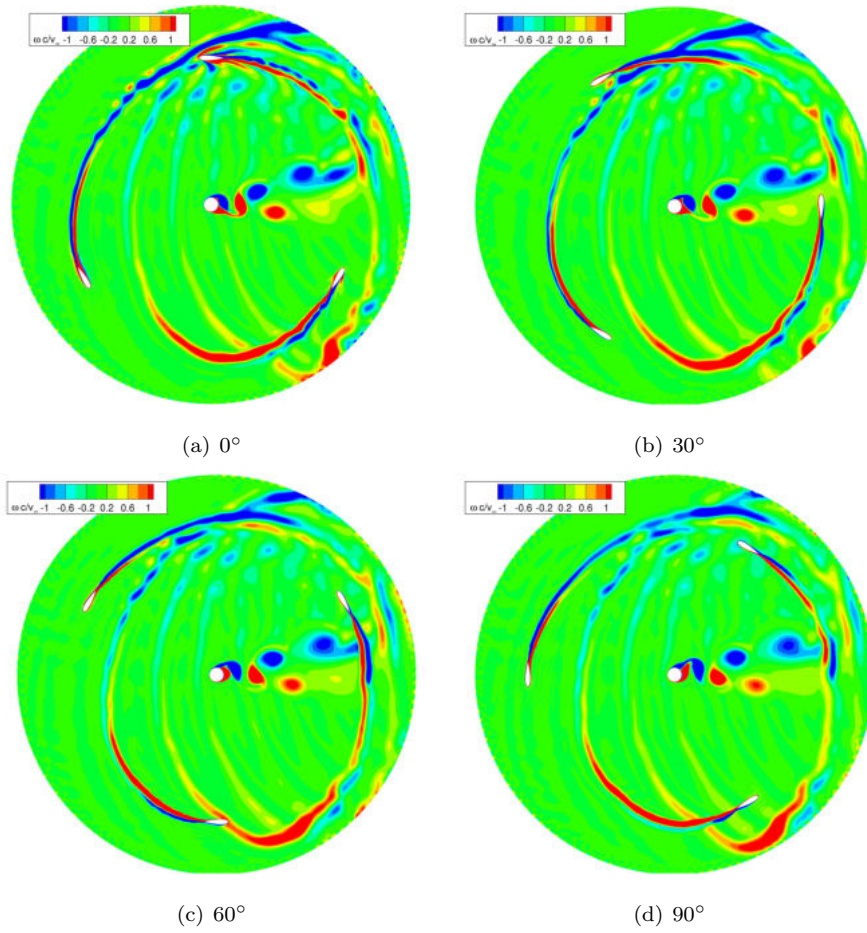


Figure 19: VAWT, λ_1 : vorticity contours at different angular positions θ

630 blade surface from leading edge to trailing edge and viceversa (see *e.g.* [2] for
631 a classical flow schematic on dynamic stall phenomena in VAWTs). Moreover,
632 as clearly visible in Figure 8, in the leeward period the blade cuts the turbulent
633 vortical structures released by both preceding blades. In the downwind period
634 of the blade motion ($225^\circ \leq \theta \leq 315^\circ$) a secondary dynamic stall vortex gen-
635 erates an additional small peak of the lift, see *e.g.* [28], with associated slight
636 rise of torque coefficient. In this quarter, no significant wake-blade interaction
637 appears, even though high levels of turbulence kinetic energy and vorticity are
638 associated to the von Karman vortex street shed by the pole. The interaction
639 of the moving blade with the wake of the pole also leaves a trace in the trend
640 of torque coefficient, which becomes negative at θ of about 270 deg.

641 The same class of contour plots extracted for the λ_2 test case, reported in
642 Figures 20–21–22, show the same features observed for λ_1 , but with much
643 wider and stronger flow structures: leading and trailing edge vortex formation,
644 flow detachment, wake shedding and wall layer interaction with the turbulent
645 structures. It is to be noted that the isocontour level values in the legends of
646 Figures 20 and 22 are twice as those pertaining to the λ_1 case. Flow detachment
647 on the blades starts to occur for θ equal to 90 deg and, and in the whole
648 leeward quarter of the period counter-rotating vortices are continuously shed,
649 so to generate a compact whirling wake across which the blade moves. In the
650 windward quarter of the period, instead, the blade aerodynamics appears more
651 stable and the interaction on the wakes of the other blades negligible.

652 **4. Three-dimensional results**

653 3D computations have been performed (with STAR-CCM+) to investigate
654 the complex flow structures due to the blade, strut, and shaft interaction with
655 wakes and vortices. In order to properly understand the relative importance
656 of these phenomena, we performed a full 3D simulation and an intermediate
657 “2.5D” calculation. The former has been run considering the symmetry of the
658 turbine with respect to the horizontal midspan plane, as in the experimental

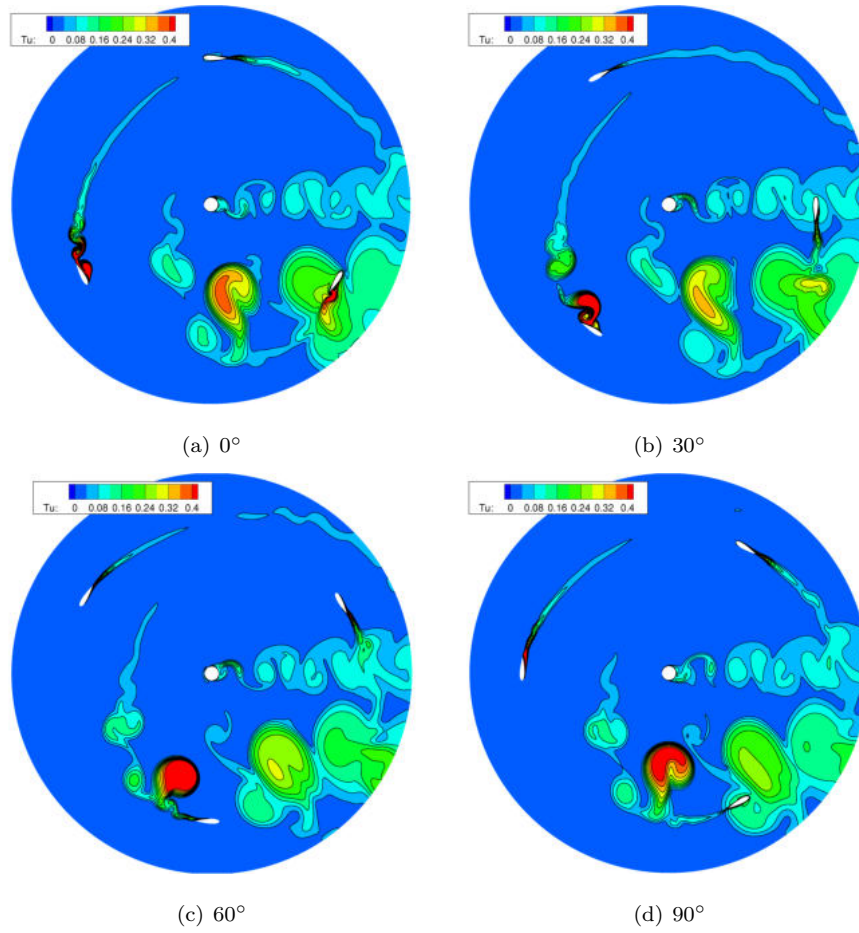


Figure 20: VAWT, λ_2 : turbulence intensity contours at different angular positions θ

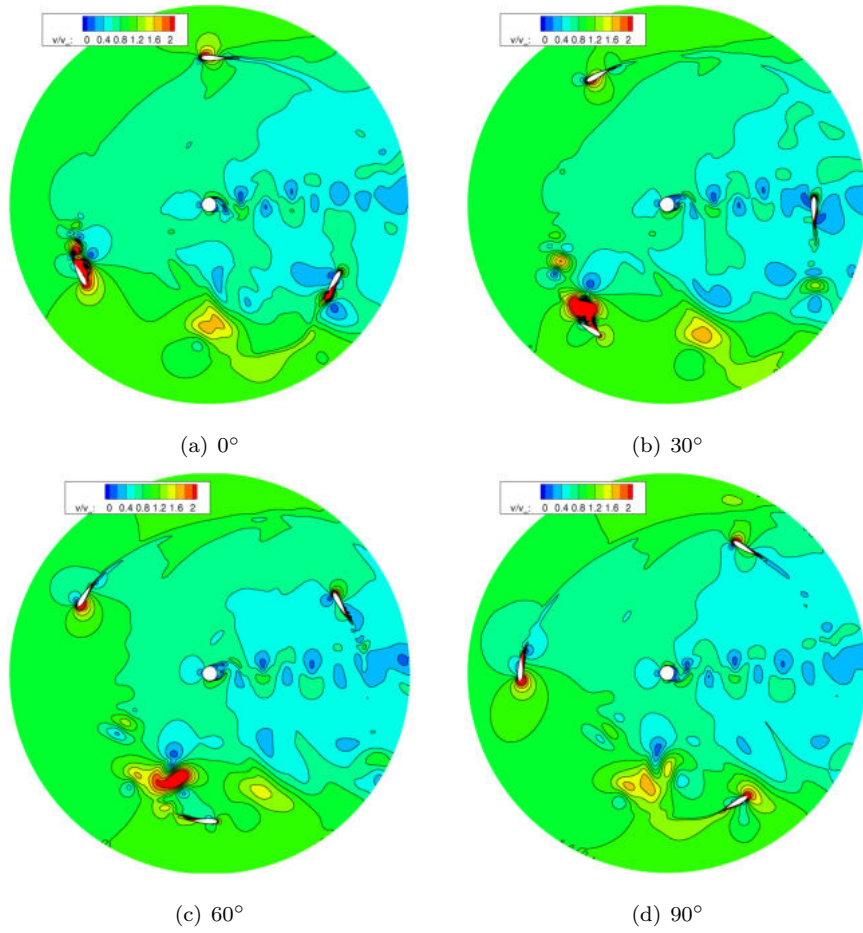


Figure 21: VAWT, λ_2 : velocity magnitude contours at different angular positions θ

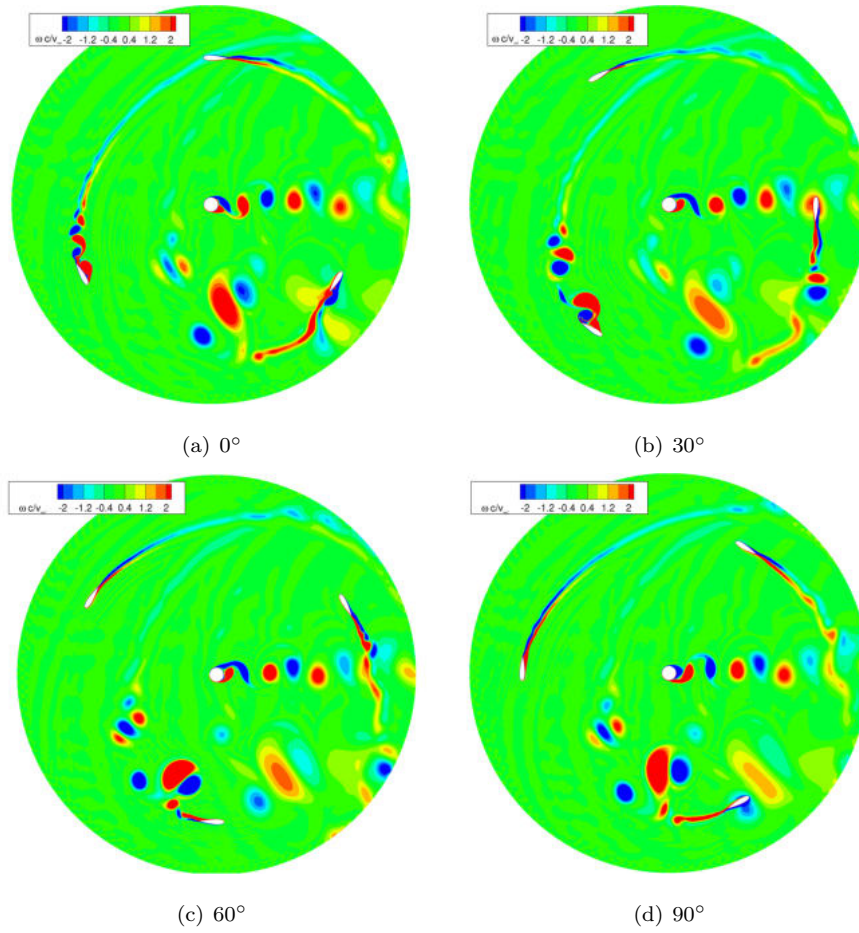


Figure 22: VAWT, λ_2 : vorticity contours at different angular positions θ

659 configuration, and thus only half machine has been modeled. The latter is
660 named 2.5D since it considers a reduced blade height in the spanwise direction,
661 two chords starting from the plane of the struct, which is assumed to be a local
662 plane of symmetry. By doing so, the target is to quantify the 3D effects that
663 can be ascribed to the presence of the strut only.

664 The grids have been generated following the guidelines defined in the 2D
665 computations and similar grid spacings have been employed, although regions
666 far enough from strong gradients were made coarser in order to save compu-
667 tational resources. The number of elements along the blades and within the
668 boundary layers are indeed very similar to those adopted in the 2D case. Out
669 of the near wall regions both grids are of polyhedric type; by virtue of this
670 choice the total number of elements (and hence the RAM storage requirement) is
671 greatly reduced, even if the number of faces is still sufficiently high to guarantee
672 a good numerical resolution, as further demonstrated by the results presented in
673 the following. Figures 23 and 24 display the two grids employed and the details
674 of the rotating turbine zone near solid walls. The former is composed of about
675 three millions of elements, while the latter of about eight millions.

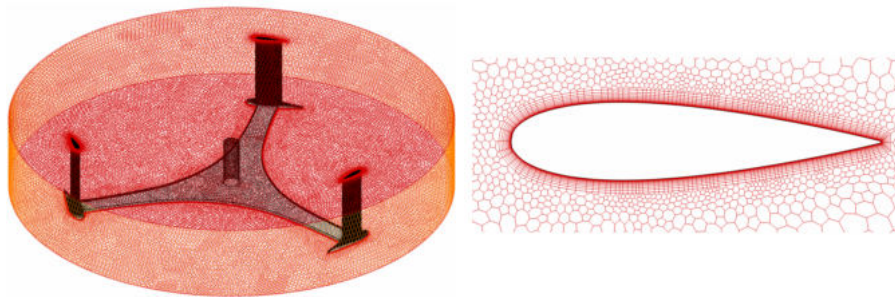


Figure 23: 2.5D grid inner (rotating) part: general view and airfoil detail

676 Calculations have been run for the operating conditions λ_1 . Whilst 2D effects
677 are generally more important for lower λ values, as discussed in section 3.3, 3D
678 effects grow in quantitative relevance with the overall turbine loading, which

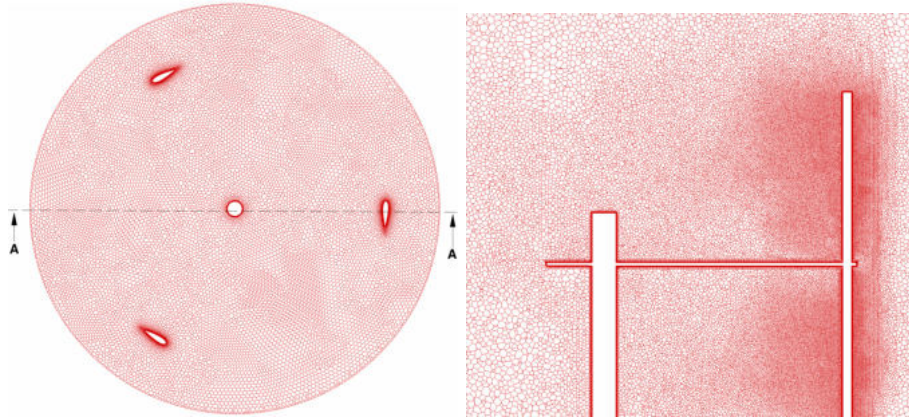


Figure 24: 3D grid inner (rotating) part: top and side views

679 rises with increase of relative and peripheral velocity as compared with the wind
 680 speed. Specifically, blade tip vortices depend on the loading, *i.e.* on the relative
 681 velocity, and friction on the holding structure (struts and pole) depends on the
 682 peripheral velocity. These physical considerations are confirmed, for the turbine
 683 under consideration, by the experimental findings presented in [21]. Moreover,
 684 for higher λ values wakes are more slowly convected downstream and hence each
 685 moving blade cuts many of them within one revolution period; because of the
 686 longer lasting vortical structure, higher λ operating conditions show stronger
 687 interference of the turbine parts with the flow structures.

688 The performance of the VAWT in presence of the strut (C_M^*) can be obtained
 689 from a linear combination of 2.5D and 2D turbine moment coefficients, namely

$$C_M^* = C_{M,2.5D} \frac{4c}{H} + C_{M,2D} \frac{H - 4c}{H} \quad (4)$$

690 It results in about one third less driving torque as compared to the purely
 691 2D case, reducing the C_P value to 0.28.

692 When the fully 3D simulation is considered, another performance reduction
 693 of the same order of magnitude occurs and $C_{P,3D} = 0.157$. Therefore, in
 694 this particular case, strut friction and blade finite length are almost equally re-
 695 sponsible for fluid dynamic losses. The relatively large amount of these effects

696 is partly due to the blunt (i.e., non-aerodynamic) profile of the struts, to the
 697 straight (non tapered) blade of the H-shape rotor in absence of any tip winglet,
 698 and to the TSR adopted.

699 The computed $C_{P,3D}$ is in excellent agreement with the experimental datum
 700 ($C_{P,exp}=0.16$). This is a very significant result, since it was achieved with a
 701 commonly affordable computational cost, and must be thoroughly examined on
 702 a local basis.

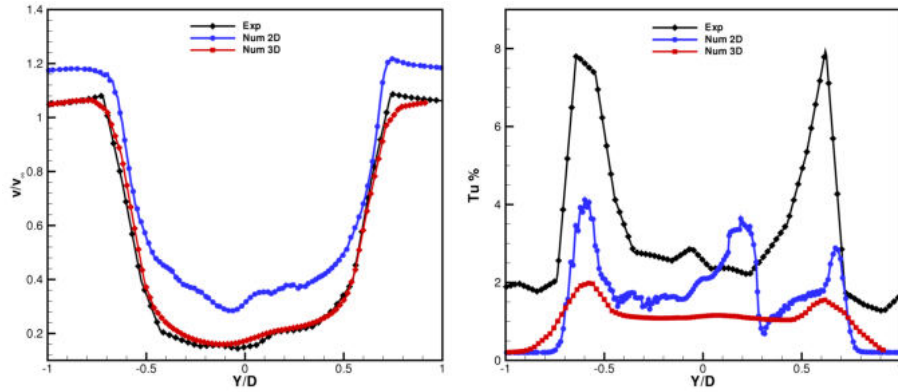


Figure 25: VAWT λ_1 3D: velocity magnitude and turbulence intensity distribution at $X/D = 0.75$ at midspan

703 Figure 25 shows the wake profiles on the midspan section of the turbine, in
 704 terms of velocity magnitude and turbulence intensity, obtained from measure-
 705 ments and both 2D and 3D simulations. As already observed, a clear overesti-
 706 mation of the velocity magnitude both outside and inside the turbine wake is
 707 noticeable in the 2D simulation. Much better prediction of the wake velocity
 708 profile is found when using the 3D flow model, even though the numerical set-up
 709 is exactly the same. This is an indication that, for this class of wind turbines,
 710 the application of a fully 3D flow model allows obtaining more realistic results
 711 also at midspan, and not just where severe 3D effects are generated. It should be
 712 noted that these 3D simulation was performed with the “Base” computational
 713 domain, where boundaries are placed just a few diameters far from the center
 714 of rotation. As a matter of fact, in the real 3D environment there is more room

715 for the flow to adjust itself around the turbine, thanks to the freedom allowed
 716 by the outward spanwise motion, thus reducing the blockage effect.

717 Concerning the turbulence intensity profile, the 3D simulation qualitatively
 718 captures the trend highlighted by the experiments, even though peak values
 719 appear markedly underestimated, also with respect to the 2D simulation. We
 720 have already stressed the fact that, in the experiments, only the streamwise
 721 component of the unresolved velocity fluctuation is measured and that is con-
 722 sidered “turbulence” any disturbance not multiple of the fundamental frequency
 723 (the rotational frequency times the number of blades). Another aspect is the
 724 observed difference between 2D and 3D simulations. This might be due to ei-
 725 ther the slightly lower spatial resolution of the 3D mesh or to the migration of
 726 low-inertia fluid caused by the spanwise flow component, obviously absent in a
 727 2D flow model.

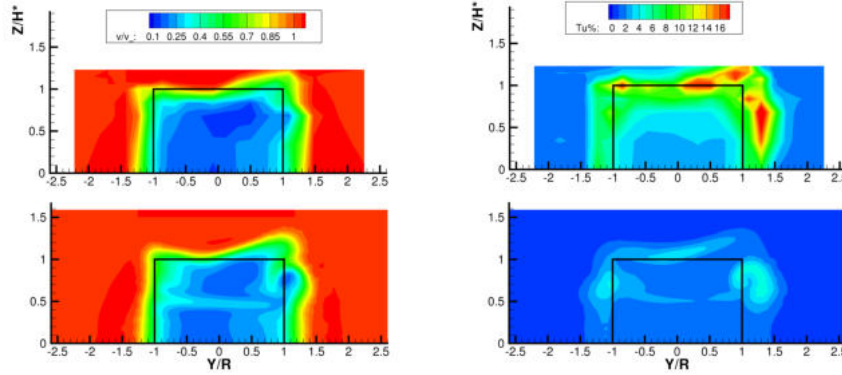


Figure 26: VAWT λ_1 3D: experimental/numerical (top/bottom) velocity and turbulence intensity contours at $X/D = 0.75$

728 Figure 26 shows the distribution of non dimensional velocity and turbulence
 729 intensity on the whole available measurement plane downstream of the turbine,
 730 which covers the top half of the turbine wake and extends beyond the turbine
 731 tip so to properly detect the flow structures evolving in this area.

732 A very good agreement is again visible in the whole velocity field. A clear
 733 trace of the strut velocity deficit can not be seen in the measurements since data

734 were actually recorded at $Z/H^* = 0.342$ and 0.684 , namely far enough from
735 $Z/H^* = 0.5$ to do not capture the strut viscous wake; however, experiments
736 show an effect of the struts, i.e. the wake enlargement at $Y/R = 1$ for $0.7 \leq$
737 $Z/H^* \leq 0.8$, due to the roll-up of the strut wake / boundary layer in a vortex,
738 well captured by the simulations. A recent experimental campaign with a denser
739 measurement grid confirmed the presence of the kink in the isolines at $Z/H^* =$
740 0.5 .

741 With reference to the turbulence intensity, the qualitative distribution ap-
742 pear fairly well reproduced in the simulation, notwithstanding the quantitative
743 differences, with higher values concentrated just above the blade height and/or
744 in the “windward” side of the wake shear layer. Similarly to the experiments, it
745 is shown a clear reduction in turbulence level approaching midspan below Z/H^*
746 $= 0.5$.

747 Streamwise (X-component) vorticity contours in the same plane (see Fig-
748 ure 27) nicely highlight the traces of the tip vortices of alternating signs (pos-
749 itive upwind and negative downwind) detached from the blade end, as well as
750 the evolution of the strut wall boundary layers. It is well apparent the lack of
751 symmetry in the wake behind the VAWT rotor.

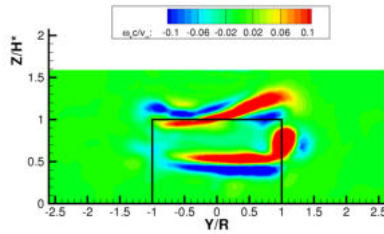


Figure 27: VAWT λ_1 3D: X-vorticity contours at $X/D = 0.75$

752 In order to give a more detailed picture of the VAWT complex flow field,
753 instantaneous snapshots of fluid dynamic quantities are taken in the orthogonal
754 planes illustrated in Figure 28.

755 Among the various possible locations, the azimuthal position $\theta = 30^\circ$ was

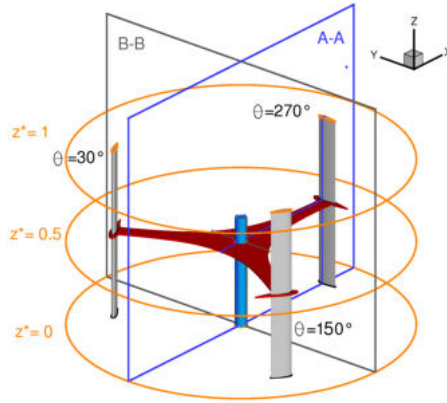


Figure 28: Reference z^* planes and normal sections

756 selected as, in this cut, one blade is aligned in the streamwise direction after
 757 the pole (perfectly downwind), and the other twos are symmetrically placed,
 758 respectively in the windward and leeward phases of the revolution. A-A is
 759 the longitudinal plane of the turbine and B-B is the transverse one, passing
 760 through the center of rotation. In Figure 29 the chosen variable is the vorticity
 761 component in the normal direction, thereby showing in each picture the “in-
 762 plane” vortices. Contours of the vorticity, velocity and turbulence intensity
 763 computed at different blade height z^* are displayed in Figure 30 to show the
 764 different structures charactering the VAWT at midspan, near the strut and at
 765 the blade tip.

766 First considering the distribution on the B-B plane, two clear traces of the
 767 blade trailing vorticity appear on the two sides of the traverse. The two vorticity
 768 cores exhibit a significant difference in magnitude and size; this difference is
 769 originated by the asymmetric aerodynamic loading of the blade on the advancing
 770 and retreating phases, that eventually leads to a stronger tip vortex on the
 771 right side of the image. This is, again, fully consistent with the experimental
 772 findings discussed in [21] and is the reason why a larger positive vorticity core
 773 appears on the right side of the wake in Figure 27. The stream-wise evolution
 774 of trailing vorticity core can be properly appreciated on the A-A plane. Note
 775 that whilst the X-component of the vorticity changes sign across $\theta = 90^\circ$, the

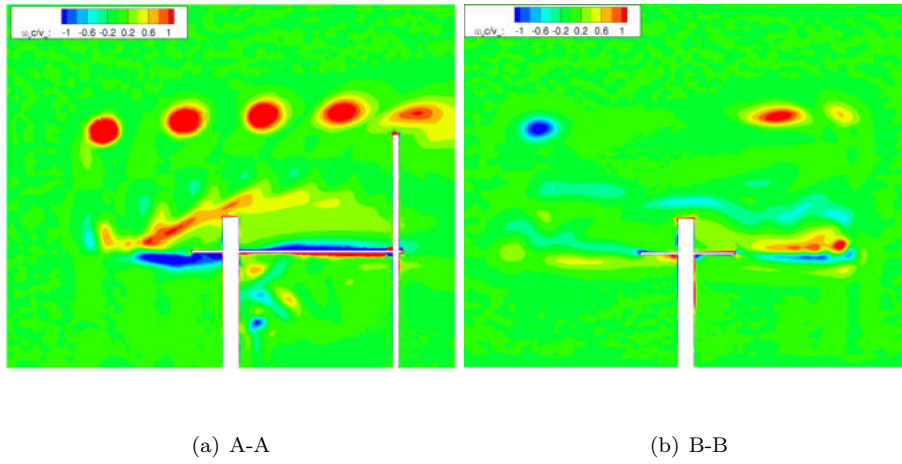


Figure 29: VAWT 3D: vorticity contours at azimuthal position $\theta = 30^\circ$

776 Y-component is always positive. It is interesting to remark that the three-
 777 dimensional morphology of the vorticity field provides a confirmation of the
 778 vortex model proposed in [29].

779 The vorticity distribution on the A-A plane also shows the detailed vortical
 780 structures generated by the struts and the pole, and their intense interaction
 781 with the downstream blade. The viscous structures generated in the upwind
 782 part of the turbine affect blade aerodynamics for a very significant portion of
 783 the span; this provides a further indication of the high quantitative impact of
 784 the strut and of the finite blade length on the turbine performance.

785 Figure 31 shows, for the same azimuthal blade positions, the instantaneous
 786 streamtraces whirling across and around the turbine with a 3D perspective. Such
 787 image well illustrates the complexity of the VAWT aerodynamics and, at the
 788 same time, shows that a proper computational set-up allows capturing detailed
 789 three-dimensional flow features as well as reliable estimates of load level and
 790 performance, with a reasonable computational cost. This makes the proposed
 791 flow model relevant for both scientific and technical purposes.

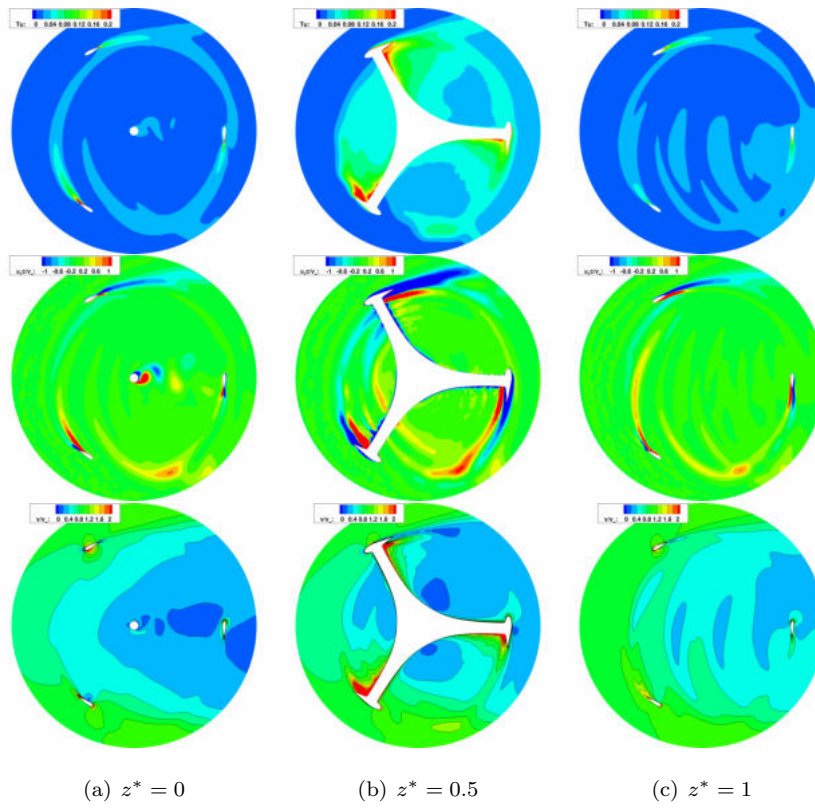


Figure 30: VAWT λ_1 3D: turbulence intensity, vorticity and velocity contours on the symmetry, midspan, and tip sections at $\theta = 30^\circ$

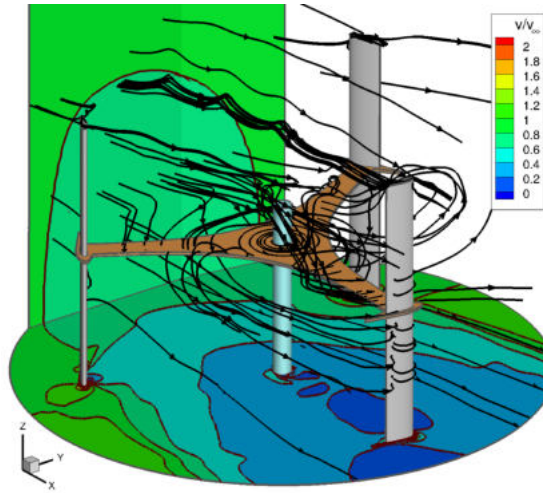


Figure 31: VAWT 3D: velocity contours and streamlines at azimuthal position $\theta = 30^\circ$

792 **5. Final remarks**

793 This paper has presented a computational investigation on a small-scale
 794 Vertical Axis Wind Turbine (VAWT) for micro-generation. By virtue of a sys-
 795 tematic comparison with real-scale wind-tunnel experiments on the investigated
 796 turbine, a dedicated assessment study has been proposed to identify the optimal
 797 parameters required for a proper modeling of the complex unsteady aerodynam-
 798 ics of VAWT rotors.

799 In particular, it has been shown that, by prescribing a specific set of bound-
 800 ary conditions, the dimension of the domain to be simulated can be greatly
 801 reduced with respect to common approaches found in literature; also, the inflow
 802 boundary condition has been found to be the most critical and the most in-
 803 fluencing the quality of the solution. From this perspective, the main outcome
 804 of the assessment study is that conclusions drawn from 2D simulations are not
 805 confirmed by corresponding 3D calculations. In particular, the fully 3D nature
 806 of the flow around VAWTs has significant implications also on the flow distribu-
 807 tion at midspan, resulting in a less restrictive condition in actual 3D modeling
 808 than that inferred from 2D simulations.

809 The computed flow field resulting from application of the assessed model has
810 been investigated in detail to highlight the most relevant aerodynamic features
811 of the rotor; to this end, a critical flow analysis has been proposed for the
812 unsteady evolution of the aerodynamic forces on the blades, for the impact of
813 the struts and for the rotor tip aerodynamics. The unsteady aerodynamics of
814 the blades has been studied in comparison to steady-state data and to dedicated
815 simulations with oscillating airfoils; results have shown that the induction effect
816 and, especially, the interaction with the viscous wakes shed by the pole and
817 by the blade themselves alter the aerodynamic forces, with high quantitative
818 impact on the torque and power generated by the turbine. The presence of non-
819 aerodynamic struts has been shown to induce a significant local loss generation,
820 which reduced by almost one third the performance of the turbine based on
821 2D simulations. Finally, the complex trailing vorticity released in the wake in
822 the tip region of the blade has been investigated, showing that (as originally
823 observed in the available experiments) the vortices generated by the blades in
824 the windward part of their retreating motion are the strongest ones, and also
825 the most persistent ones in the downstream wake.

826 The very good agreement found between the experiments and the 3D simu-
827 lation on the whole wake extension, corroborated by the excellent quantitative
828 agreement between the measured and computed performance, indicates that
829 3D calculations of VAWT aerodynamics are possible also with an industrially-
830 relevant computational cost. Future investigations will extend the present study
831 to low tip speed ratio operating conditions, in which severe dynamic stall occurs,
832 and to further VAWT architectures.

833 **Acknowledgements**

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835 performing STAR-CCM+ computations.

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