



### **Relationships between competences and Lean Automation practices: an exploratory study**

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## Abstract

Lean Automation (LA) is defined as the combined use of Lean Production (LP) practices and Industry 4.0 (I4.0) technologies. This paper investigates the pairwise relationships between the LA practices and their corresponding implementation competences. A survey-based study with 110 practitioners from manufacturing companies was conducted. Multivariate data techniques were used to analyze the responses, which were categorized according to practitioners' LP experience and I4.0 knowledge. Findings indicate that the relationships between competences and LA practices become more prominent as practitioners' LP experience increases. A contrary trend was observed when I4.0 knowledge increases. Nevertheless, commonalities were found regardless respondents' characteristics, such as: (i) the significant relationships between LA practices and competences were all positive; (ii) supply chain-related LA practices are more likely to be extensively associated with all competences; and (iii) competences related to the ability of identifying, analyzing and solving problems through computer programming and data analytics were the most likely to support LA practices. To the best of our knowledge, this is the first study that empirically verifies the pairwise relationship between competences and LA practices. The understanding of this allows companies to foster and develop the proper competences on the employees, catalyzing the LA implementation.

**Keywords:** Lean Automation, Competences, Lean Production, Industry 4.0.

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## Abstract

Lean Automation (LA) is defined as the combined use of Lean Production (LP) practices and Industry 4.0 (I4.0) technologies. This paper investigates the pairwise relationships between the LA practices and their corresponding implementation competences. A survey-based study with 110 practitioners from manufacturing companies was conducted. Multivariate data techniques were used to analyze the responses, which were categorized according to practitioners' LP experience and I4.0 knowledge. Findings indicate that the relationships between competences and LA practices become more prominent as practitioners' LP experience increases. A contrary trend was observed when I4.0 knowledge increases. Nevertheless, commonalities were found regardless respondents' characteristics, such as: (i) the significant relationships between LA practices and competences were all positive; (ii) supply chain-related LA practices are more likely to be extensively associated with all competences; and (iii) competences related to the ability of identifying, analyzing and solving problems through computer programming and data analytics were the most likely to support LA practices. To the best of our knowledge, this is the first study that empirically verifies the pairwise relationship between competences and LA practices. The understanding of this allows companies to foster and develop the proper competences on the employees, catalyzing the LA implementation.

**Keywords:** Lean Automation, Competences, Lean Production, Industry 4.0.

## 1. Introduction

Driven by the start of the fourth industrial revolution, many companies have initiated their digital transformation by incorporating disruptive technologies (e.g. Internet-of-Things, cloud computing and big data analytics) into their management processes (Xu et al., 2018). The technology-oriented approach from Industry 4.0 (I4.0) may change not only the way products, processes and services are designed, performed and delivered, but also the required

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3 competences of the individuals (Lorenz et al., 2015; Tortorella et al., 2018). Hence, the  
4 integration of I4.0 into a company's management approach affects both the technical (e.g.  
5 practices, technologies, work routines) and sociocultural (e.g. behaviors, leadership,  
6 organizational culture) aspects of the organization (Sony and Naik, 2020).  
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11 At the same time, Lean Production (LP) has been acknowledged during the last four decades  
12 as a strategic management approach to continuously improve organizations (Stone, 2012; Leite  
13 et al., 2020). Due to the reported benefits, LP became very popular among practitioners and  
14 researchers, motivating many studies on the aspects that support its successful implementation  
15 (Jasti and Kodali, 2015). As LP excels for simple solutions derived from continuous  
16 experimentation and problem-solving activities (Womack and Jones, 2003), researchers (e.g.  
17 Bortolotti et al., 2015; Tortorella and Fogliatto, 2017; van Dun et al., 2017) have given great  
18 emphasis on the behavioral aspects that surround it. More specifically, because individuals'  
19 behaviors play a key role for sustaining LP in the long term, the underlying competences of LP  
20 have been a point of concern (Parry et al., 2010; Seidel et al., 2017).  
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34 The recent integration between I4.0 and LP has been denoted as Lean Automation (LA). The  
35 term LA was first proposed in mid 1990s, but its utilization has gained more prominence after  
36 the advent of I4.0 (Kolberg et al., 2017; Ma et al., 2017). LA represents an integrated approach  
37 that combines the technological enablers from I4.0 with the practices and principles from LP.  
38 It is noteworthy that other terms have also been used to refer to this integration between I4.0  
39 and LP, such as 'Lean 4.0' and 'Digital Lean'. The former expresses in the broadest sense how  
40 the combination of the principles of LP with I4.0 are implemented together at different levels  
41 of the organization, in terms of process optimization, strategy implementation, technology  
42 adoption and organizational change (Mayr et al., 2018; Arcidiacono and Pieroni, 2018; Perico  
43 and Mattioli, 2020; Bittencourt et al., 2020). The latter indicates a new way to understand the  
44 concept of production according to which, the use of new digital technologies enhances access,  
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3 analyzes and interprets massive amounts of data to detect, corrects, predicts and prevents  
4 production process behavior, thus avoiding the generation of waste and inefficiency (Powell et  
5 al., 2018; Romero et al., 2019a; 2019b; Raweewan and Kojima, 2020). The difference between  
6 LA, Digital Lean and Lean 4.0 is blurred, and the terms are often considered synonymous by  
7 practitioners and researchers. Indeed, the core idea of combining I4.0 and LP is shared by the  
8 studies that use these terms.  
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11 The investigation of how companies can benefit from such combination has been a growing  
12 research topic (Buer et al., 2018a; Tortorella and Fettermann, 2018; Bittencourt et al., 2020).  
13 Nevertheless, most studies have focused on the technical side of LA (e.g. synergy between  
14 technologies and management practices), neglecting the social implications (Pagliosa et al.,  
15 2019; Villalba-Diez et al., 2019) such as the required implementation competences. Similarly  
16 to LP, LA implementation requires certain individual competences. However, the relationship  
17 between LA practices and their corresponding competences has not yet been explored in the  
18 literature. Furthermore, as LA encompasses technological aspects derived from I4.0, there  
19 might be additional competences to be considered besides the usual LP competences which  
20 reinforces the relevance of the research gap.  
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24 To fulfil this gap, this study aims at examining how the LA practices are related to their  
25 implementation competences, which are interpreted as those arising from the joint use of LP  
26 and I4.0. We surveyed 110 Brazilian practitioners who were experienced in LP and aware of  
27 I4.0. They were asked to indicate the adoption level of LA practices (Tortorella et al., 2020a)  
28 and the perceived level of the competences of LP (Seidel et al., 2017) and I4.0 (Hecklau et al.,  
29 2016; Grzybowska and Łupicka, 2017; Łupicka and Grzybowska, 2018) on middle managers  
30 of their corresponding companies. Because the identification of this relationship is highly  
31 dependent on respondents' perception and expertise, we categorized them according to their  
32 LP experience and I4.0 knowledge. Responses were then analyzed through multivariate data  
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3 techniques, and communalities among the analyses were sought to grasp the most relevant  
4 pairwise relationships. The contribution of this research is two-fold. First, it provides initial  
5 evidence on the competences that support the LA implementation, which is a social aspect  
6 under explored in the literature. Second, the identification of the pairwise relationships between  
7 competences and LA practices allows companies to establish training and development plans  
8 for their leaders.  
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## 19 **2. Literature review**

### 20 **2.1. Lean Automation**

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22 The notion of LA first emerged by combining LP practices to Computer Integrated  
23 Manufacturing technologies (Kolberg et al., 2017). However, even if the idea of integrating  
24 automation with LP is inherent in the lean thinking fundamentals - as clearly demonstrated by  
25 the concept of autonomation (Ohno 1988) - not much attention has been paid to it (Bortolotti  
26 and Romano, 2012; Kolberg and Zühlke, 2015) until the acknowledgement of the Fourth  
27 Industrial Revolution. I4.0 has given renewed relevance to LA, whose implications are still  
28 under investigation (Yamazaki et al., 2016; Tortorella and Fettermann, 2018).  
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39 Many conceptualizations of LA are found in the literature (e.g. Yamazaki et al., 2017;  
40 Shigematsu et al., 2018; Pantano et al., 2020). Jackson et al. (2011) defined LA as the  
41 application of automation to a given activity or process, stressing robust and reliable  
42 components and minimizing overly complicated solutions. LA favors decentralized  
43 management, aiming at modular and simple arrangements (Ma et al., 2017) with higher  
44 changeability and shorter information flows (Kolberg et al., 2017). Furthermore, most of the  
45 developed LA solutions are proprietary and as such they need to be customized to individual  
46 needs (Kolberg et al., 2017).  
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3 Several theoretical (Buer et al., 2018b) and empirical LA applications (Powell et al., 2017)  
4 have been proposed, both covering different dimensions of LP, including but not limited to JIT  
5 (Chen and Lin, 2017), Jidoka (Romero et al. 2019b) and Human Resource Management  
6 (Romero et al., 2020). An example of application is found in Spenhoff et al. (2020), which  
7 adapted the production levelling (a.k.a. *heijunka*) to the semi-process industry combining it  
8 with cyber-physical systems technologies. This allowed scheduling the production system as  
9 efficiently as possible, providing the necessary flexibility and minimum schedule perturbation.  
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11 Another instance of application is shown in Pozzi et al. (2021), which conducted case studies  
12 in companies implementing I4.0 as a support for their continuous improvement initiatives.  
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23 The technological choices facing manufacturers are multiple and non-trivial as the industry  
24 contemplates the increasing levels of digitization and automation in readiness for the modern  
25 competitive age (Hughes et al., 2020). However, not only do the applications refer to the  
26 shopfloor but they are also extended to the supply chain level. For instance, Sony (2018)  
27 indicated the role LA plays in the vertical, horizontal and end-to-end integration of companies,  
28 suggesting a theoretical framework based on the main LP principles and I4.0 technologies.  
29  
30 Fatorachian and Kazemi (2021) explored the impact of I4.0 on supply chain performance, while  
31 Kucukaltan et al. (2020) indicated possible changes in the logistics industry from the  
32 operational, financial, and human resources aspects. Based on a cross-sector survey performed  
33 with 147 manufacturers, Tortorella et al. (2020a) proposed a framework for LA implementation  
34 with 31 practices (see Table 1). This framework resulted from the empirical identification of  
35 significant pairwise relationships between Shah and Ward's (2007) LP measures and I4.0  
36 technologies (National Confederation of Industry Brazil, 2016).  
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52 Another point of interest for research concerns the evolutionary process undertaken by  
53 companies implementing LA. These companies seem to initially present a more LP-oriented  
54 approach and, as the implementation advances, the focus shifts to merging technological  
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3 solutions to LP practices (Chiarini and Kumar, 2020; Tortorella et al., 2021a). Mora et al.  
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5 (2017) highlight how companies that undertake LA progressively shift their focus from hard to  
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7 soft LP practices, moving from the automation of assets to automated systems supporting  
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9 production and logistics processes, as well as employee training, involvement and problem-  
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11 solving support.  
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17 Table 1 – LA practices (adapted from Tortorella et al., 2020a)  
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## 20 21 22 **2.2. LP and I4.0 competences**

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24 Boyatzis (2008) defines a competency as a capability and describes it as a set of related but  
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26 different behaviors organized around intentions. Behaviors are manifestations of the intent, as  
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28 appropriate in various situations. Marrelli (1998) adds that a competence involves measurable  
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30 knowledge, skills, traits and behaviors that allow an individual to effectively perform a task.  
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32 While skills are the specific learned abilities that you need to perform a given job well,  
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34 competences, on the other hand, are the person's knowledge and behaviors that lead them to be  
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36 successful in a job (McNeill, 2019).  
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39 LP competences are those required for the implementation of lean systems (Parry et al., 2010).  
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41 These competences are particularly important to those professionals holding formal or informal  
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43 leadership roles, as these may coach others in the company and facilitate the dissemination of  
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45 the expected behaviors and mental models (Bortolotti et al., 2015; Camuffo and Gerli, 2018).  
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47 According to Yukl (2010), the acquisition and deployment of competences depend on three  
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49 main factors: personal attributes, management systems that allow for the use of the  
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51 competences, and organizational context. Seidel et al. (2019) discuss how these three factors  
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53 play out in lean leadership. For instance, personal attributes of lean leaders include humility,  
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3 honesty, and discipline. An example of mechanism of influence adopted in lean systems refers  
4 to shop floor daily management meetings supported by visual devices. In turn, relevant  
5 organizational context can account for the level of education of the workforce and company's  
6 size. Seidel et al. (2017) identified, based on a literature review and interviews with experts,  
7 key competences of lean leaders. Those authors carried out a survey with 91 companies and  
8 found that the use of those competences was positively associated with the development stage  
9 of the lean system.  
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18 With regards to I4.0, a few works have explored the required competences to cope with the  
19 challenges related to its new technologies and processes. Hecklau et al. (2016) developed an  
20 I4.0 competence model composed of four categories of competences: (i) technical, (ii)  
21 methodological, (iii) social and (iv) personal. Grzybowska and Łupicka (2017) proposed eight  
22 core managerial competences to support I4.0 adoption. Łupicka and Grzybowska (2018)  
23 refined the study by re-classifying those competences in three categories (social, technical and  
24 managerial) and comparing the expectations of practitioners, scientists, and students. Pinzone  
25 et al. (2017) focused on the evolution of technical skills in the I4.0 context, providing  
26 qualitative insights raised from a variety of manufacturers in Northern Italy.  
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38 LP and I4.0 competences present a certain level of overlap, especially with respect to social  
39 competences. In turn, there are relevant differences on the technical competences, since I4.0  
40 literature emphasizes data analytics competences. For the purpose of assessing the relationships  
41 between the LA practices and competences, we adapted and consolidated in Table 2 the  
42 competences of LP, proposed by Seidel et al. (2017) and Camuffo and Gerli (2018), and I4.0,  
43 derived from Hecklau et al. (2016), Grzybowska and Łupicka (2017) and Łupicka and  
44 Grzybowska (2018). These 14 competences provide the basis for our study, whose theoretical  
45 model is displayed in Figure 1. It is worth noting that these 14 competences were combined  
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into one main dimension, namely LA competences, following the rationale that LA integrates I4.0 technologies into LP practices (Tortorella et al., 2021b).

Table 2 – LP and I4.0 competences

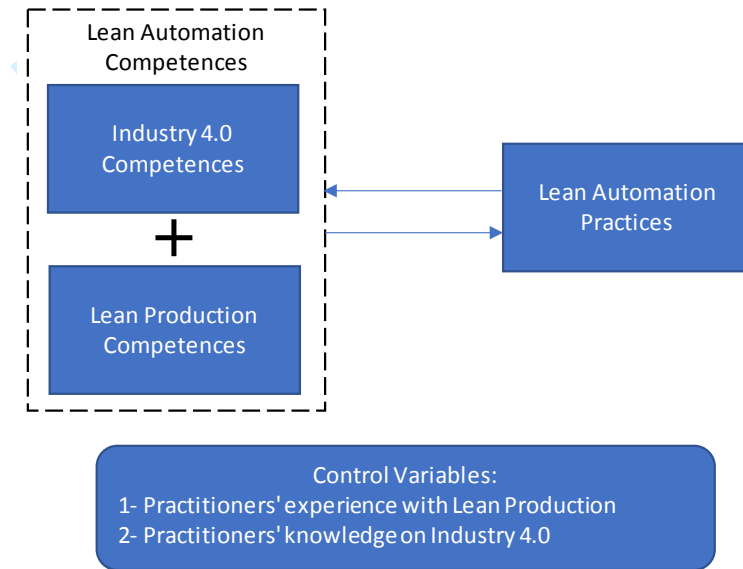


Figure 1 – Theoretical model investigated

### 3. Method

#### 3.1 Research strategy

This research examines how the LA competences, which stem from LP and I4.0, relate to LA practices. As this is an exploratory research, we adopted an empirical approach as part of the methodological procedure, which allows obtaining knowledge through direct and indirect observation or experience (Goodwin, 2005). To collect data and quantify the empirical evidence, we adopted the survey method with non-random choice of respondents. The survey method presents many advantages, such as high level of representativeness, low cost, good

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3 statistical significance, and a standardized stimulus to all respondents (Montgomery, 2013).  
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5 Hence, the proposed method was comprised of three main steps: (i) instrument development,  
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7 (ii) sample selection and data collection, and (iii) data analysis. These steps are subsequently  
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9 detailed.  
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### 11 12 13 14 **3.1. Instrument development**

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17 The questionnaire had three parts. Initially, we collected data on respondents' profile (role,  
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19 years of lean experience and I4.0 knowledge) and their organizations (tier level, size and  
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21 sector). Guidelines were provided to respondents to help them define their own level of  
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23 knowledge on I4.0, in order to reduce subjectivity. We asked respondents to rate themselves as  
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25 basic (i.e. they were able of describing what the main I4.0 technologies are and their benefits,  
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27 in general terms, without further deepening) or moderate/advanced (i.e. they could engage in a  
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29 technical discussion about I4.0 adoption or lead its adoption in multiple contexts). Then, in the  
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31 second part of the questionnaire, respondents were asked to indicate the adoption level of the  
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33 31 LA measures proposed by Tortorella et al. (2020a) in their organizations (see Table 1). For  
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35 that, we used a five-point Likert scale, ranging from 1 (not used) to 5 (fully adopted). In the  
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37 third part of the questionnaire, we consolidated the sixteen LP competencies proposed by  
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39 Seidel et al. (2017) into twelve, since we interpreted that four of them were more properly  
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41 related to LP principles instead LP competences. Then, we combined the LP competences with  
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43 the I4.0 competences suggested by Hecklau et al. (2016), Grzybowska and Łupicka (2017),  
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45 and Łupicka and Grzybowska (2018), displayed in Table 2. Respondents were asked to indicate  
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47 in a 5-point scale (1 for 'not developed' and 5 for fully developed) the level of those  
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49 competences considering middle managers in their companies. According to Holmemo and  
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51 Ingvaldsen (2016), van Dun et al. (2017) and Tortorella et al. (2019), middle managers play a  
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53 key role in executing the strategic guidelines and implementing the improvement initiatives.  
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Hence, their competences are relevant for the success of a lean implementation, justifying the focus on this role.

Two senior academicians (> 20 years working with LP) and one experienced practitioner (> 10 years) pre-tested the instrument to verify its face and content validity (Kothari, 2004). These experts recommended a few changes in taxonomy and wording of the questions. Additionally, some procedures were performed to curb potential common method variance (Huber and Power, 1985). Regarding the design of the questionnaire, dependent variables were displayed far from independent ones (Podsakoff and Organ, 1986). An initial statement informing about the anonymous and confidential nature of the study, and the fact that there were no right answers was inserted in the questionnaire (Podsakoff et al., 2003).

### **3.2. Sample selection and data collection**

A non-random approach with predefined selection criteria was used for sampling (Smith, 1983). The utilization of non-random respondents that meet certain criteria to collect data and quantify empirical evidence is a common approach in similar studies (e.g. Tortorella et al., 2020a; 2021), and can help answering research questions whose topic is still at its early stages. Respondents should be experienced in LP and aware of I4.0 technologies. Due to the limited amount of companies adopting both LP and initiated at I4.0 (Tortorella and Fettermann, 2018), we included companies from different industrial sectors in the sample. Additionally, even though LP implementation is more common in high-volume and discrete-parts manufacturers, Marodin et al. (2015) highlighted that the pervasiveness of its practices across different industries is not known, justifying the cross-industry sample.

Because the researchers have already developed a large network with organizations through previous collaboration activities (e.g. consultancy, research and education), the identification

of potential respondents was facilitated. A pre-selection was carried out by identifying potential respondents among people with both theoretical and practical experience in LP and at least the theoretical basis on automation. The questionnaire was initially sent to 658 practitioners from companies located in Brazil during October and November 2020. From those, 110 responses were received, resulting in a response rate of 16.7%. As shown in Table 3, 56.4% of the sample was from companies with less than 500 employees and from tiers 1 or 2 in their respective supply chain. Participants were predominantly from the chemical and automotive sectors. The majority of respondents were engineers or analyst in their companies (38.2%), and claimed to have a moderate or advanced knowledge on I4.0 (51.8%). In terms of LP experience, the sample was perfectly balanced between those who had more than 5 years of experience and the ones with less than 5 years.

As an additional verification for common method bias, we conducted a statistical analysis using Harman's single-factor test (Malhotra et al., 2006) including all study measures. Results pointed to a first factor explaining 29.6% of the total variance, which indicated that no single factor accounted for most of the variance in responses. Thus, we disregarded issues related to common method bias.

Table 3 – Sample characteristics

### 3.3. Data analysis

Due to our study's purpose, a set of partial correlation analyses for each pairwise relationship between competences and LA practices was conducted. Partial correlations allow to control the effect of the remaining items on the pairwise analysis of a given pairwise relationship (Baba et al., 2004). Partial correlations assess the intensity of the linear relationship between two items, also considering their association with the other variables. This approach is specifically proper for cases in which the relationship between two items may be affected by their relationships

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3 with the remaining ones (Legendre and Legendre, 2012). This method enabled the investigation  
4 of the relationship between all possible pairwise combinations between competences and LA  
5 practices. It is important to mention that the effect of multicollinearity on the estimated  
6 coefficients was verified through the variance inflation factors (VIF) for all variables, whose  
7 results were all below 5, indicating that multicollinearity was not an issue (Belsley et al., 2005).  
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14 This approach was applied to both respondents' LP experience and I4.0 knowledge. For LP  
15 experience, respondents were divided into low-experienced (< 5 years) and high-experienced  
16 (> 5 years). For I4.0 knowledge, we divided the sample into respondents who claimed having  
17 a basic knowledge and the ones who indicated a moderate or advanced knowledge on I4.0.  
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19 Thus, four partial correlation analyses for the 14 competences and 31 LA practices were  
20 undertaken, totaling 1,736 pairwise analyses investigated. The sample's mean values and  
21 standard deviation for the competences and LA practices according to each level are presented  
22 in Appendix.  
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#### 34 **4. Results**

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37 Table 4 shows the results for partial correlations between LA competences and LA practices  
38 for less experienced (< 5 years) respondents on LP implementation. From 434 possible  
39 correlations, 385 significant and positive partial correlations ( $p$ -value < 0.05) were found. From  
40 the 31 LA practices, 15 were significantly correlated with all the 14 competences. In  
41 opposition, practice  $la_{21}$  (*extensive use of statistical techniques to reduce process variance*  
42 *through digital sensors and remote control of production integrated with collaborative*  
43 *engineering systems, which identify abnormal product/operating conditions*) was the one with  
44 the lowest number of significant partial correlation coefficients with the competences (5 in  
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total). This may be due to the narrow applicability of this LA practice, which implies a narrow set of corresponding competences.

In terms of competences, 4 out of 14 ( $c_2$ ,  $c_{12}$ ,  $c_{13}$  and  $c_{14}$ ) were partially correlated with all LA practices, while  $c_8$  (*identify and manage barriers during improvement initiatives implementation journey*) was the least correlated competence (16 in total). This can result from the wide scope of this competence, which is useful for the LA implementation project as a whole, while having a diffuse and weak relationship with specific LA practices.

It is also worth mentioning that we found only four strong pairwise partial correlations, whose coefficients were greater than 0.7 (Baba et al., 2004). Two of them involved  $c_{13}$  (*develop data processing and analytics*) and the other two encompassed  $c_{14}$  (*put in practice statistical tools*), which are competences derived from I4.0.

Table 5 displays the partial correlation coefficients when utilizing data from respondents more experienced in LP (> 5 years). The number of significant partial correlations increased from 385 to 395, and twelve strong correlations were found between six LA practices and seven LA competences. Regarding LA practices, 12 out of 31 had significant coefficients with all the 14 competences, while  $la_{20}$  (*large number of equipment/processes on shop floor are currently under statistical process control and monitored through digital sensors integrated into collaborative engineering systems*) was correlated with only 7 competences. Interestingly, practices  $la_5$ ,  $la_6$  and  $la_9$  presented three strong partial correlations each. With respect to competences, four of them ( $c_1$ ,  $c_5$ ,  $c_{11}$  and  $c_{13}$ ) were significantly correlated with all LA practices, and  $c_9$  (*practice continuous improvement as an interrelated system of principles and practices*) was the least pervasive one being significantly correlated with 19 practices. Competence  $c_5$  (*provide value-added information clearly and objectively*) was involved in three strong partial correlations with LA practices.

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5 Table 4 – Partial correlation between LA practices and competences using perceptions of practitioners with less  
6 than 5 years of LP experience ( $n = 55$ )  
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11 Table 5 – Partial correlation between LA practices and competences using perceptions of practitioners with  
12 more than 5 years of LP experience ( $n = 55$ )  
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18 When considering I4.0 knowledge as the control variable, results were slightly different. Table  
19 6 reports the partial correlation coefficients for the analysis using respondents with basic  
20 knowledge on I4.0. 399 significant coefficients were found (91.9% of total pairwise  
21 relationships), which was the highest total number of significant partial correlations among the  
22 four analyses performed. However, only one strong partial correlation was obtained.  
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30 Fourteen LA practices were significantly correlated with all LA competences. Similarly to what  
31 was found in the analysis with respondents less experienced with LP,  $la_{21}$  was the practice with  
32 the lowest number of significant correlations with competences (8 in total). As for  
33 competences, half of them were correlated ( $p$ -value  $< 0.05$ ) with all LA practices. The one with  
34 the lowest number of significant correlations was  $c_9$ , which was also observed in the results  
35 obtained for practitioners with more than 5 years of LP experience.  
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43 Finally, Table 7 shows the outcomes for the partial correlation analysis using responses from  
44 practitioners that had moderate or advanced knowledge on I4.0, according to their self-  
45 assessment. In total, 339 significant partial correlations were obtained (78.1% of possible  
46 pairwise correlations), which was the lowest number among all four analyses. Five LA  
47 practices were correlated with all competences, while  $la_{20}$  was seems to be correlated with only  
48 three which is similar to what was found in the analysis with more LP experienced respondents.  
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56 In terms of competences, only  $c_{14}$  was correlated with all LA practices, while  $c_{10}$  (*develop*  
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3 *actions that, based on ethical principles, respect the community, the environment and the*  
4 *workers' safety)* had a significant correlation with fourteen practices. Two strong partial  
5 correlation coefficients were found.  
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12 Table 6 – Partial correlation between LA practices and competences using perceptions of practitioners with  
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14 Basic knowledge on I4.0 ( $n = 53$ )  
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18 Table 7 – Partial correlation between LA practices and competences using perceptions of practitioners with  
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20 Moderate or Advanced knowledge on I4.0 ( $n = 57$ )  
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## 25 **5. Discussion**

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27 Results for the pairwise relationships between LA competences and practices appear to have  
28 contrary trends when considering respondents' background. On one hand, as respondents' LP  
29 experience increases, the intensity of such relationships seems to increase as well. Not only the  
30 number of significant correlations was larger, but also the number of strong correlations.  
31 Experienced practitioners tend to be more prepared to judge not only the tangible aspects of a  
32 lean implementation, such as the adoption level of LA practices (Plonka, 1997;  
33 Wickramasinghe and Wickramasinghe, 2020; Tortorella et al., 2020b), but also the presence of  
34 intangible and more subtle factors (Carleysmith et al., 2009; Jayaraman et al., 2012), such as  
35 the competences of their companies' middle managers. Our findings corroborate to that.  
36 Further, the perception of respondents who are more experienced in LP seems to be  
37 comprehensive and systems-oriented, since 30 out of 31 LA practices were supported by at least  
38 10 out of the 14 competences. This suggests that, according to these respondents' perception,  
39 the implementation of LA practices is positively associated with a diversity of competences  
40 either from LP or I4.0.  
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3 On the other hand, when respondents' I4.0 knowledge is higher, the relationship between  
4 competences and LA practices is less evident. This outcome may be due to an overly technical  
5 view of I4.0, which tends to be more reductionist than the systems-oriented LP perspective.  
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7 Another possibility is that more knowledgeable I4.0 practitioners are more likely to have a  
8 more critical perspective of competences and practices associated with I4.0. This interpretation  
9 can be associated with May and Kruger's (1988) indications, which were later explored in the  
10 organizational context by Thompson and Martin (2010). These authors propose four stages of  
11 competence development: (i) unconsciously incompetent, (ii) consciously incompetent, (iii)  
12 consciously competent and (iv) unconsciously competent. Practitioners with moderate or  
13 advanced I4.0 knowledge might be more critical about their opinions, leading to more  
14 conservative results for the pairwise relationship between competences and LA practices.  
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16 Regardless respondents' LP experience and I4.0 knowledge, several commonalities were found  
17 in the pairwise relationships between competences and practices. First, all significant partial  
18 correlations had positive coefficients, which indicates the positive correlation between the  
19 competences and practices. This converges to the indications from Raweewan and Kojima  
20 (2020), which highlight the need for developing additional skills for the proper digitalization  
21 of LP. Second, as shown in Table 8, 324 pairwise relationships were found significant in all  
22 four analyses, suggesting that they occur independently of the respondents' characteristics.  
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24 There seems to be a consensus that practices  $la_3$ ,  $la_5$ ,  $la_6$  and  $la_9$  are correlated with all  
25 competences. All these LA practices are supply chain-oriented; i.e. they help improving the  
26 relationship with either suppliers or customers. In terms of competences,  $c_2$ ,  $c_{12}$ ,  $c_{13}$  and  $c_{14}$   
27 were the ones with the highest number of similar significant correlations considering all four  
28 analyses. While  $c_2$  derives from LP (Seidel et al., 2019),  $c_{12}$ ,  $c_{13}$  and  $c_{14}$  originate from I4.0  
29 (Hecklau et al., 2016; Grzybowska and Łupicka, 2017; Łupicka and Grzybowska, 2018). In  
30 common, they refer to individual's ability of identifying, analyzing and solving problems  
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3 through robust methods. This emphasizes the relevance of developing such competences in  
4 middle managers of companies undergoing a LA implementation. Such finding is aligned with  
5 indications from Spear (2004; 2008), which stresses the need for training lean leaders to be  
6 good problem-solvers. Our results build on this by incorporating computer programming- and  
7 data analytics-related competences as a support for the digitization required by the LA  
8 implementation. Therefore, we can summarize the main findings of our research as follows:  
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16 a) All significant pairwise relationships between LA practices and LA competences were  
17 positive;  
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19 b) Supply chain-related LA practices are more likely to be extensively associated with all  
20 competences. This may stem from the complexity of supply chain management, which  
21 involves coordination between a number of diverse stakeholders, often under  
22 conditions of uncertainty; and  
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24 c) Competences related to the identification, analysis, and problem-solving through  
25 methods that incorporate computer programming and data analytics were the ones  
26 mostly associated with LA practices.  
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Table 8 – Consolidation of the pairwise partial correlation between LA practices and competences

## 6. Conclusions

This study aimed at investigating the pairwise relationships between LA competences and LA practices. Responses from 110 practitioners were classified according to two respondents' characteristics: LP experience (i.e. < 5 years and > 5 years) and I4.0 knowledge (i.e. basic and moderate/advanced). Four partial correlation analyses were performed, being one for each level of each characteristic.

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3 From a theoretical perspective, our study provides initial evidence on the competences that  
4 support the LA implementation. Since the integration of I4.0 technologies into LP practices is  
5 a recent phenomenon, literature on this matter is still scarce. Our results indicate that LA is  
6 associated with the same competences of LP but requires the addition of specific I4.0  
7 competences such as 'c<sub>13</sub>-develop data processing and analytics' and 'c<sub>14</sub>-put in practice  
8 statistical tools'. Although LP implementation already stressed the need for problem-solving  
9 competences, with the integration of I4.0 technologies the amount of data collected, stored,  
10 shared, processed and analyzed significantly increases, demanding the development of more  
11 sophisticated competences to manage it. Hence, certain competences that so far had only been  
12 mentioned in I4.0 studies were significantly correlated with LA practices, evidencing that the  
13 implementation of LA practices requires I4.0 competences. This finding suggests that, although  
14 LA derives from LP, its approach has some specificities that may represent the next production  
15 paradigm.

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31 With regards to practical contributions, our research provides companies some guidance on the  
32 competences necessary to an effective LA implementation. The identification of the pairwise  
33 relationship between LA competences and LA practices allows companies to customize the  
34 development of their staff, especially middle managers, so that they present the competences  
35 that are more likely to support the desired LA practices. Since some competences may require  
36 a long time to be developed, our results allow companies to anticipate potential issues based  
37 on the focus of their LA implementation, thus providing managers guidelines that help them  
38 anticipate which individual competences are necessary to successfully adopt the LA practices  
39 of interest. Additionally, it was observed that when companies are seeking LA implementation  
40 at a supply chain level, the adoption of the corresponding practices may demand a diversified  
41 set of competences. This must draw the attention of management so that they can assess  
42 whether they have settled the proper competences before expending any effort.

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3 This work has some limitations that are worth mentioning. The competences encompassed here  
4 derived from previous studies on both LP and I4.0. However, as the understanding on LA  
5 implementation advances, there might be need for additional competences. To investigate that,  
6 it is recommended the development of longitudinal studies in which researchers could verify  
7 the need for further competences as the LA is implemented. Moreover, companies may not be  
8 able to concomitantly develop all competences to support LA implementation. Because our  
9 study did not provide any evidence on the interrelationship among the competences, future  
10 studies should check whether there is a precedence of competences; i.e. a development  
11 sequence of the competences that would favor a smoother LA implementation. Another  
12 opportunity refers to a potential moderating effect of the control variables (LP experience and  
13 knowledge on I4.0) on the relationship between LA practices and competences. Future studies  
14 could analyze such moderation to check whether there is any significant differences between  
15 the categories of each control variable, which was not addressed here. Finally, although we  
16 carefully managed the data to avoid common method bias, larger sample sizes with diversified  
17 contextual characteristics would allow the utilization of more sophisticated data analysis  
18 techniques (e.g. structural equations modelling). This could lead to additional findings that  
19 were not able to be raised with our approach.  
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Table 1 – LA practices (adapted from Tortorella et al., 2020a)

LA practices

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5	<i>la</i> <sub>1</sub>	Suppliers are directly involved in the new product development process through integrated and collaborative engineering systems, such as Manufacturing Execution System (MES), Supervisory Control and Data Acquisition (SCADA) and digital sensors.
6	<i>la</i> <sub>2</sub>	Our key suppliers deliver to plant on Just-In-Time (JIT) aided by remote control of production, digital interfaces and Internet-of-Things (IoT).
7	<i>la</i> <sub>3</sub>	We have a formal supplier certification program supported by digital automation without sensors.
8	<i>la</i> <sub>4</sub>	Our suppliers are contractually committed to annual cost reductions by identifying abnormal product/operating conditions through sensors and IoT.
9	<i>la</i> <sub>5</sub>	We have corporate level communication on important issues with key suppliers aided by integrated digital interfaces and engineering systems through IoT.
10	<i>la</i> <sub>6</sub>	We take active steps to reduce the number of suppliers in each category using collaborative engineering systems.
11	<i>la</i> <sub>7</sub>	We evaluate suppliers on the basis of total cost and not per unit price, identifying their product/operating conditions by means of digital sensors.
12	<i>la</i> <sub>8</sub>	Our customers are actively involved, through digital interfaces and remote control of production, in current and future product offerings.
13	<i>la</i> <sub>9</sub>	Our customers are directly involved in current and future product offerings through utilization of process-oriented technologies, such as digital automation, remote control sensors and integrated engineering systems.
14	<i>la</i> <sub>10</sub>	Our customers frequently share current and future demand information with marketing department utilizing integrated digital interfaces and engineering systems with sensors.
15	<i>la</i> <sub>11</sub>	Production is pulled by the shipment of finished goods through integrated and collaborative systems.
16	<i>la</i> <sub>12</sub>	Production at stations is pulled by the current demand of the next station through integrated and collaborative systems.
17	<i>la</i> <sub>13</sub>	Products are classified into groups with similar processing requirements through integrated and collaborative engineering systems.
18	<i>la</i> <sub>14</sub>	Products are classified into groups with similar routing requirements through integrated and collaborative engineering systems.
19	<i>la</i> <sub>15</sub>	Equipment is grouped to produce a continuous flow of families of products through integrated and collaborative engineering systems.
20	<i>la</i> <sub>16</sub>	Families of products determine our factory layout through integrated and collaborative engineering systems.
21	<i>la</i> <sub>17</sub>	Our employees practice setups to reduce the time required supported by collaborative engineering systems.
22	<i>la</i> <sub>18</sub>	We are working to lower setup times in our plant utilizing integrated engineering systems.
23	<i>la</i> <sub>19</sub>	We have low set up times of equipment in our plant, which are monitored by digital sensors integrated into collaborative engineering systems, obtained through utilization of additive manufacturing and augmented reality.
24	<i>la</i> <sub>20</sub>	Large number of equipment/processes on shop floor are currently under statistical process control and monitored through digital sensors integrated into collaborative engineering systems.
25	<i>la</i> <sub>21</sub>	Extensive use of statistical techniques to reduce process variance through digital sensors and remote control of production integrated with collaborative engineering systems, which identify abnormal product/operating conditions.
26	<i>la</i> <sub>22</sub>	Charts showing defect rates are used as tools on the shop floor aided by digital interfaces integrated into collaborative engineering systems.
27	<i>la</i> <sub>23</sub>	We use fishbone type diagrams aided by collaborative engineering systems to identify causes of quality problems.
28	<i>la</i> <sub>24</sub>	We conduct process capability studies aided by collaborative engineering systems before product launch.
29	<i>la</i> <sub>25</sub>	Shop floor employees drive suggestion programs utilizing machine digital interfaces integrated into collaborative engineering systems by means of IoT.
30	<i>la</i> <sub>26</sub>	Shop floor employees lead product/process improvement efforts based upon digital sensors, remote control of production and collaborative engineering systems.
31	<i>la</i> <sub>27</sub>	Shop floor employees undergo cross functional training utilizing digital interfaces, remote control of production, collaborative engineering systems to identify abnormal conditions, and IoT.
32	<i>la</i> <sub>28</sub>	We dedicate a portion of everyday to planned equipment maintenance related activities based upon data from digital sensors integrated into engineering systems, MES or SCADA.
33	<i>la</i> <sub>29</sub>	We maintain all our equipment regularly using data collected from machine digital automation sensors.
34	<i>la</i> <sub>30</sub>	We maintain excellent records of all equipment maintenance related activities using data collected from machine digital automation sensors.
35	<i>la</i> <sub>31</sub>	We post equipment maintenance records on shop floor for active sharing with employees through machine digital interfaces integrated into collaborative engineering systems, MES or SCADA.

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Table 2 – LP and I4.0 competences

Consolidated Competences		Seidel et al. (2017)	Camuffo and Gerli (2018)	Hecklau et al. (2016)	Grzybowska and Łupicka (2017)	Łupicka and Grzybowska (2018)
c <sub>1</sub>	Identify what adds value to internal and external clients	√	√			√
c <sub>2</sub>	Identify and solve problems with their teams using the PDCA (Plan, Do, Check and Act)	√	√	√	√	√
c <sub>3</sub>	Use continuous improvement practices and principles	√	√			
c <sub>4</sub>	Manage with emphasis on value flow rather than on isolated operations	√	√			√
c <sub>5</sub>	Provide value-added information clearly and objectively	√	√	√	√	√
c <sub>6</sub>	Put the group's interests above the individual ones	√	√		√	√
c <sub>7</sub>	Practice self-development as well as professional and personal continuous evolution	√		√	√	√
c <sub>8</sub>	Identify and manage barriers during improvement initiatives implementation journey	√	√	√		√
c <sub>9</sub>	Practice continuous improvement as an interrelated system of principles and practices	√	√			
c <sub>10</sub>	Develop actions that, based on ethical principles, respect the community, the environment and the workers' safety	√		√	√	√
c <sub>11</sub>	Develop innovative and challenging actions	√		√	√	√
c <sub>12</sub>	Develop computer programming/coding			√	√	√
c <sub>13</sub>	Develop data processing and analytics			√	√	√
c <sub>14</sub>	Put in practice statistical tools			√	√	√

Table 3 – Sample characteristics

Respondents' Lean experience			Company size		
< 5 years	55	50.0%	< 500 employees	62	56.4%
> 5 years	55	50.0%	> 500 employees	48	43.6%
Respondents' I4.0 Knowledge			Industry sector		
Basic	53	48.2%	Chemical	13	11.8%
Moderate/Advanced	57	51.8%	Automotive	13	11.8%
Respondents' role			Metal-mechanics	12	10.9%
Analyst/Engineer	42	38.2%	Machine and equipment	5	4.6%
Supervisor/Coordinator	35	31.8%	Textile	5	4.6%
Manager/Director	33	30.0%	Food	4	3.6%
Tier level			Others	58	52.7%
1 or 2	72	65.5%			
3 or 4	38	34.5%			

Table 4 – Partial correlation between LA practices and competences using perceptions of practitioners with less than 5 years of LP experience (n = 55)

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	c <sub>7</sub>	c <sub>8</sub>	c <sub>9</sub>	c <sub>10</sub>	c <sub>11</sub>	c <sub>12</sub>	c <sub>13</sub>	c <sub>14</sub>	N° of significant correlations
la <sub>1</sub>		0.308	0.319	0.274	0.354	0.280			0.279	0.308	0.385	0.524	0.454	0.591	11
la <sub>2</sub>	0.329	0.381	0.287		0.353	0.301					0.292	0.453	0.460	0.464	9
la <sub>3</sub>	0.291	0.476	0.418	0.446	0.552	0.584	0.482	0.376	0.480	0.333	0.499	0.551	0.575	0.624	14
la <sub>4</sub>	0.548	0.367	0.271	0.398	0.488	0.606	0.505	0.381	0.352	0.271	0.469	0.447	0.448	0.478	14
la <sub>5</sub>	0.527	0.467	0.351	0.501	0.624	0.646	0.632	0.532	0.515	0.445	0.598	0.652	0.587	0.544	14
la <sub>6</sub>	0.450	0.478	0.324	0.550	0.637	0.628	0.459	0.613	0.588	0.330	0.485	0.546	0.636	0.494	14
la <sub>7</sub>	0.394	0.428		0.498	0.527	0.492	0.325	0.406	0.417			0.364	0.536	0.370	11
la <sub>8</sub>	0.387	0.417	0.278	0.420	0.432	0.418	0.405	0.382	0.495	0.316	0.338	0.542	0.635	0.533	14
la <sub>9</sub>	0.441	0.496	0.397	0.579	0.606	0.515	0.439	0.446	0.581	0.473	0.458	0.503	0.636	0.577	14
la <sub>10</sub>	0.517	0.461	0.271	0.552	0.654	0.605	0.443	0.511	0.473	0.368	0.400	0.355	0.551	0.397	14
la <sub>11</sub>	0.402	0.502	0.333	0.303	0.354	0.381	0.344		0.270	0.278		0.473	0.488	0.547	12
la <sub>12</sub>	0.414	0.621	0.496	0.418	0.488	0.472	0.382		0.359	0.424	0.415	0.652	0.655	0.718	13
la <sub>13</sub>	0.345	0.582	0.440	0.396	0.547	0.468	0.355	0.390	0.418	0.348	0.371	0.496	0.704	0.587	14
la <sub>14</sub>	0.305	0.601	0.369	0.419	0.583	0.498	0.365	0.391	0.341	0.288	0.373	0.492	0.709	0.531	14
la <sub>15</sub>	0.374	0.439	0.462	0.497	0.400	0.358			0.428	0.377		0.440	0.589	0.571	11
la <sub>16</sub>	0.462	0.649	0.564	0.492	0.528	0.442	0.373		0.411	0.548	0.480	0.661	0.667	0.719	13
la <sub>17</sub>	0.500	0.585	0.452	0.407	0.529	0.432	0.468		0.333	0.494	0.282	0.451	0.430	0.435	13
la <sub>18</sub>	0.336	0.517	0.478	0.381	0.421	0.297			0.351	0.434	0.309	0.530	0.608	0.570	12
la <sub>19</sub>	0.329	0.358	0.272	0.221								0.296	0.381	0.419	7
la <sub>20</sub>		0.310			0.310				0.271	0.284	0.292	0.490	0.657	0.524	8
la <sub>21</sub>		0.352			0.277							0.470	0.639	0.446	5
la <sub>22</sub>	0.432	0.475	0.386	0.340	0.413	0.422	0.445		0.289	0.369	0.270	0.471	0.523	0.538	13
la <sub>23</sub>	0.286	0.464	0.445	0.437	0.423	0.323	0.467	0.279	0.483	0.550	0.332	0.603	0.541	0.560	14
la <sub>24</sub>	0.429	0.472	0.483	0.402	0.399	0.399	0.375		0.330	0.496	0.340	0.386	0.460	0.565	13
la <sub>25</sub>	0.354	0.347	0.288	0.335	0.373	0.327	0.284			0.394	0.325	0.446	0.528	0.489	12
la <sub>26</sub>	0.440	0.393	0.353	0.455	0.464	0.427	0.274	0.285	0.362	0.360	0.388	0.519	0.570	0.599	14
la <sub>27</sub>	0.404	0.474	0.453	0.441	0.418	0.448	0.446	0.279	0.406	0.380	0.335	0.631	0.593	0.641	14
la <sub>28</sub>	0.478	0.562	0.500	0.361	0.422	0.374	0.513		0.281	0.467		0.603	0.407	0.577	12
la <sub>29</sub>	0.275	0.435	0.413	0.382	0.458	0.433	0.520	0.352	0.505	0.507	0.452	0.667	0.597	0.637	14
la <sub>30</sub>	0.427	0.490	0.441	0.430	0.546	0.508	0.529	0.417	0.530	0.479	0.420	0.651	0.568	0.586	14
la <sub>31</sub>	0.575	0.486	0.428	0.417	0.414	0.498	0.591	0.329	0.347	0.345	0.345	0.632	0.367	0.567	14
N° of significant correlations	28	31	28	28	30	28	24	16	27	27	25	31	31	31	385

Note: Only significant partial correlation coefficients were reported (p-value < 0.05). Gray cells indicate strong partial correlation (i.e. coefficients > 0.7).

Table 5 – Partial correlation between LA practices and competences using perceptions of practitioners with more than 5 years of LP experience ( $n = 55$ )

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$	$c_{10}$	$c_{11}$	$c_{12}$	$c_{13}$	$c_{14}$	N° of significant correlations
$la_1$	0.437	0.509	0.438	0.481	0.543	0.462	0.400	0.471	0.440	0.305	0.637	0.710	0.509	0.619	14
$la_2$	0.490	0.378	0.318	0.291	0.453	0.420	0.380	0.423	0.286		0.473	0.495	0.470	0.336	13
$la_3$	0.501	0.529	0.450	0.545	0.567	0.605	0.585	0.511	0.489	0.354	0.607	0.566	0.447	0.489	14
$la_4$	0.542	0.357	0.283	0.288	0.441	0.508	0.386	0.382			0.426	0.409	0.462	0.402	12
$la_5$	0.517	0.525	0.398	0.618	0.708	0.619	0.725	0.504	0.506	0.351	0.559	0.715	0.567	0.634	14
$la_6$	0.598	0.569	0.461	0.662	0.783	0.766	0.636	0.727	0.649	0.429	0.699	0.588	0.636	0.589	14
$la_7$	0.312	0.402		0.518	0.601	0.534	0.504	0.457	0.468		0.394	0.406	0.408		11
$la_8$	0.479	0.379	0.356	0.316	0.405	0.448	0.341	0.391	0.347		0.463	0.479	0.536	0.381	13
$la_9$	0.469	0.623	0.447	0.725	0.769	0.714	0.645	0.590	0.672	0.469	0.622	0.624	0.531	0.535	14
$la_{10}$	0.399	0.458		0.581	0.666	0.584	0.534	0.474	0.448	0.301	0.382	0.446	0.516	0.399	13
$la_{11}$	0.594	0.502	0.386	0.350	0.453	0.474	0.472	0.444	0.307		0.481	0.457	0.382	0.466	13
$la_{12}$	0.445	0.497	0.449	0.429	0.461	0.404	0.485	0.382	0.372	0.320	0.511	0.650	0.432	0.564	14
$la_{13}$	0.589	0.365	0.373		0.492	0.479	0.370	0.539		0.304	0.535	0.394	0.584	0.453	12
$la_{14}$	0.354	0.414		0.428	0.694	0.465	0.476	0.467	0.396		0.501	0.632	0.603	0.528	12
$la_{15}$	0.507	0.359	0.439	0.380	0.463	0.370		0.427	0.332	0.290	0.505	0.449	0.470	0.465	13
$la_{16}$	0.568	0.593	0.607	0.467	0.505	0.477	0.388	0.388	0.335	0.475	0.605	0.538	0.437	0.535	14
$la_{17}$	0.646	0.637	0.487	0.463	0.644	0.560	0.626	0.462	0.362	0.508	0.527	0.409	0.345	0.295	14
$la_{18}$	0.596	0.527	0.599	0.436	0.491	0.533	0.413	0.513	0.413	0.583	0.651	0.465	0.551	0.484	14
$la_{19}$	0.419	0.464	0.295	0.321	0.330	0.378	0.404				0.321	0.465	0.505	0.337	11
$la_{20}$	0.425				0.281			0.278			0.345	0.460	0.626	0.463	7
$la_{21}$	0.449	0.322			0.325	0.288	0.299	0.311			0.354	0.507	0.617	0.472	10
$la_{22}$	0.742	0.472	0.447	0.306	0.411	0.499	0.467	0.334		0.339	0.436	0.317	0.405	0.396	13
$la_{23}$	0.564	0.583	0.548	0.638	0.609	0.515	0.693	0.455	0.556	0.573	0.518	0.575	0.364	0.612	14
$la_{24}$	0.742	0.509	0.565	0.379	0.419	0.473	0.327	0.486		0.483	0.549		0.347	0.453	12
$la_{25}$	0.592	0.405	0.384	0.321	0.390	0.520	0.373			0.433	0.374	0.286	0.531	0.362	12
$la_{26}$	0.550	0.356	0.347	0.438	0.501	0.444	0.360	0.417	0.317	0.270	0.502	0.558	0.532	0.599	14
$la_{27}$	0.576	0.345	0.364	0.307	0.412	0.397	0.481	0.402			0.488	0.585	0.502	0.537	12
$la_{28}$	0.664	0.511	0.507	0.360	0.460	0.462	0.594	0.324		0.452	0.482	0.624	0.510	0.578	13
$la_{29}$	0.582	0.426	0.451	0.362	0.503	0.459	0.545	0.443	0.375	0.407	0.510	0.688	0.639	0.693	14
$la_{30}$	0.636	0.399	0.396		0.434	0.454	0.452	0.451		0.347	0.474	0.559	0.574	0.553	12
$la_{31}$	0.714	0.369	0.371	0.282	0.428	0.487	0.561	0.414		0.286	0.504	0.574	0.436	0.537	13
N° of significant correlations	31	30	26	27	31	30	29	29	19	21	31	30	31	30	395

Note: Only significant partial correlation coefficients were reported ( $p$ -value < 0.05). Gray cells indicate strong partial correlation (i.e. coefficients > 0.7).

Table 6 – Partial correlation between LA practices and competences using perceptions of practitioners with Basic knowledge on I4.0 (n = 53)

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	c <sub>7</sub>	c <sub>8</sub>	c <sub>9</sub>	c <sub>10</sub>	c <sub>11</sub>	c <sub>12</sub>	c <sub>13</sub>	c <sub>14</sub>	N° of significant correlations
la <sub>1</sub>	0.299	0.346	0.366	0.357	0.418	0.335		0.291	0.353	0.339	0.487	0.583	0.479	0.604	13
la <sub>2</sub>	0.389	0.305	0.301		0.370	0.340		0.293			0.353	0.444	0.481	0.397	10
la <sub>3</sub>	0.377	0.423	0.433	0.435	0.554	0.602	0.491	0.468	0.488	0.388	0.562	0.542	0.539	0.556	14
la <sub>4</sub>	0.522	0.335	0.316	0.326	0.467	0.571	0.430	0.411	0.289	0.307	0.479	0.451	0.509	0.469	14
la <sub>5</sub>	0.460	0.433	0.360	0.538	0.666	0.626	0.656	0.513	0.525	0.431	0.577	0.732	0.621	0.618	14
la <sub>6</sub>	0.449	0.390	0.315	0.540	0.650	0.642	0.454	0.621	0.558	0.329	0.525	0.563	0.663	0.512	14
la <sub>7</sub>	0.309	0.289		0.451	0.521	0.472	0.355	0.402	0.406		0.315	0.422	0.470	0.330	12
la <sub>8</sub>	0.445	0.335	0.283	0.356	0.439	0.449	0.353	0.443	0.409	0.287	0.485	0.491	0.607	0.437	14
la <sub>9</sub>	0.374	0.403	0.339	0.594	0.660	0.574	0.494	0.505	0.602	0.451	0.569	0.588	0.652	0.583	14
la <sub>10</sub>	0.444	0.387		0.573	0.697	0.650	0.517	0.541	0.518	0.357	0.481	0.496	0.599	0.485	13
la <sub>11</sub>	0.503	0.418	0.331	0.279	0.365	0.395	0.409	0.297		0.305	0.369	0.521	0.447	0.532	13
la <sub>12</sub>	0.464	0.479	0.431	0.375	0.485	0.452	0.484	0.321	0.343	0.399	0.458	0.718	0.551	0.673	14
la <sub>13</sub>	0.469	0.386	0.375		0.479	0.460	0.350	0.446	0.312	0.339	0.443	0.502	0.672	0.538	13
la <sub>14</sub>	0.276	0.401		0.375	0.579	0.431	0.403	0.390	0.330		0.394	0.586	0.626	0.531	12
la <sub>15</sub>	0.461	0.277	0.401	0.362	0.412	0.410		0.336	0.324	0.340	0.420	0.448	0.559	0.542	13
la <sub>16</sub>	0.550	0.516	0.542	0.393	0.519	0.473	0.405	0.291	0.319	0.551	0.566	0.624	0.554	0.639	14
la <sub>17</sub>	0.501	0.507	0.414	0.324	0.478	0.419	0.475			0.450	0.345	0.435	0.329	0.364	12
la <sub>18</sub>	0.498	0.408	0.475	0.326	0.403	0.393		0.301	0.308	0.463	0.422	0.504	0.571	0.500	13
la <sub>19</sub>	0.398	0.357	0.311		0.280	0.302	0.359			0.308	0.299	0.355	0.397	0.420	11
la <sub>20</sub>	0.369				0.326	0.348	0.280	0.329		0.297	0.427	0.511	0.674	0.538	10
la <sub>21</sub>	0.359	0.307			0.312	0.307					0.324	0.472	0.629	0.454	8
la <sub>22</sub>	0.613	0.453	0.458	0.326	0.403	0.497	0.462	0.284		0.445	0.427	0.450	0.497	0.534	13
la <sub>23</sub>	0.408	0.438	0.424	0.466	0.497	0.433	0.603	0.332	0.470	0.567	0.448	0.660	0.465	0.627	14
la <sub>24</sub>	0.600	0.435	0.505	0.308	0.363	0.489	0.346	0.339	0.230	0.533	0.486	0.354	0.463	0.550	14
la <sub>25</sub>	0.502	0.312	0.344	0.276	0.357	0.475	0.347			0.494	0.466	0.385	0.553	0.474	12
la <sub>26</sub>	0.509	0.317	0.352	0.409	0.476	0.498	0.344	0.368	0.341	0.361	0.506	0.570	0.573	0.643	14
la <sub>27</sub>	0.540	0.376	0.421	0.332	0.400	0.485	0.467	0.339	0.295	0.379	0.432	0.607	0.545	0.617	14
la <sub>28</sub>	0.606	0.530	0.533	0.333	0.413	0.444	0.564			0.498	0.366	0.603	0.394	0.586	12
la <sub>29</sub>	0.433	0.378	0.391	0.333	0.447	0.477	0.549	0.413	0.422	0.512	0.544	0.686	0.599	0.663	14
la <sub>30</sub>	0.576	0.427	0.446	0.324	0.492	0.555	0.495	0.464	0.382	0.496	0.522	0.592	0.579	0.567	14
la <sub>31</sub>	0.680	0.446	0.486	0.355	0.415	0.554	0.574	0.365		0.430	0.440	0.589	0.383	0.581	13
N° of significant correlations	31	30	26	26	31	31	26	26	21	27	31	31	31	31	399

Note: Only significant partial correlation coefficients were reported (p-value < 0.05). Gray cells indicate strong partial correlation (i.e. coefficients > 0.7).

Table 7 – Partial correlation between LA practices and competences using perceptions of practitioners with Moderate or Advanced knowledge on I4.0 ( $n = 57$ )

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$	$c_{10}$	$c_{11}$	$c_{12}$	$c_{13}$	$c_{14}$	N° of significant correlations
$la_1$		0.405	0.373	0.357	0.406	0.323			0.299		0.485	0.590	0.379	0.563	10
$la_2$	0.412	0.404	0.314		0.363	0.322	0.334				0.388	0.435	0.345	0.351	10
$la_3$	0.411	0.576	0.464	0.545	0.541	0.563	0.535	0.389	0.423	0.309	0.539	0.506	0.383	0.537	14
$la_4$	0.534	0.318	0.241	0.321	0.388	0.494	0.392				0.369	0.360	0.345	0.408	11
$la_5$	0.521	0.489	0.341	0.517	0.593	0.547	0.668	0.444	0.426	0.307	0.504	0.630	0.438	0.542	14
$la_6$	0.538	0.597	0.451	0.633	0.717	0.698	0.584	0.689	0.654	0.413	0.619	0.549	0.563	0.570	14
$la_7$	0.332	0.474	0.320	0.566	0.557	0.487	0.411	0.408	0.460		0.306	0.335	0.426	0.314	13
$la_8$	0.348	0.354	0.338	0.326			0.314		0.365			0.507	0.511	0.458	9
$la_9$	0.495	0.629	0.509	0.707	0.665	0.569	0.543	0.466	0.612	0.472	0.461	0.502	0.444	0.540	14
$la_{10}$	0.388	0.430		0.530	0.541	0.440	0.392	0.356	0.347			0.299	0.422	0.353	11
$la_{11}$	0.449	0.567	0.414	0.333	0.370	0.389	0.407	0.344	0.321			0.403	0.344	0.471	12
$la_{12}$	0.333	0.603	0.501	0.416	0.396	0.342	0.364		0.333	0.302	0.382	0.545	0.428	0.558	13
$la_{13}$	0.378	0.578	0.416	0.301	0.520	0.446	0.367	0.461	0.341		0.369	0.359	0.575	0.451	13
$la_{14}$		0.575	0.323	0.426	0.661	0.479	0.406	0.407	0.339		0.432	0.505	0.663	0.532	12
$la_{15}$	0.383	0.470	0.500	0.498	0.386			0.305	0.424	0.303		0.372	0.400	0.433	11
$la_{16}$	0.428	0.690	0.619	0.525	0.460	0.372	0.334		0.389	0.454	0.449	0.544	0.472	0.556	13
$la_{17}$	0.624	0.660	0.547	0.478	0.626	0.458	0.601	0.387	0.367	0.573	0.403	0.432	0.379	0.357	14
$la_{18}$	0.384	0.608	0.576	0.425	0.460	0.362		0.394	0.433	0.535	0.456	0.470	0.501	0.477	13
$la_{19}$		0.385										0.359	0.407	0.349	4
$la_{20}$												0.426	0.540	0.383	3
$la_{21}$		0.354										0.506	0.595	0.470	4
$la_{22}$	0.521	0.472	0.404		0.354	0.321	0.419					0.315	0.352	0.399	9
$la_{23}$	0.389	0.574	0.516	0.524	0.454		0.545	0.336	0.519	0.518		0.511	0.334	0.488	12
$la_{24}$	0.548	0.545	0.544	0.426	0.380	0.322	0.319	0.346	0.297	0.452	0.326			0.398	12
$la_{25}$	0.392	0.416	0.341	0.343	0.347	0.303				0.335		0.328	0.426	0.373	10
$la_{26}$	0.452	0.396	0.364	0.478	0.440	0.330		0.301	0.329		0.330	0.480	0.429	0.569	12
$la_{27}$	0.413	0.445	0.408	0.381	0.383	0.302	0.461	0.308	0.337		0.317	0.602	0.464	0.539	13
$la_{28}$	0.434	0.482	0.410		0.356		0.507			0.370		0.601	0.415	0.496	9
$la_{29}$	0.335	0.452	0.396	0.295	0.430	0.310	0.490		0.372	0.348		0.635	0.574	0.607	12
$la_{30}$	0.413	0.395	0.325		0.381		0.434	0.309				0.591	0.496	0.530	9
$la_{31}$	0.552	0.370	0.316		0.345	0.333	0.536					0.583	0.343	0.509	9
N° of significant correlations	26	30	27	23	27	23	23	17	21	14	17	30	30	31	339

Note: Only significant partial correlation coefficients were reported ( $p$ -value < 0.05). Gray cells indicate strong partial correlation (i.e. coefficients > 0.7).

Table 8 – Consolidation of the pairwise partial correlation between LA practices and competences

	<i>c</i> <sub>1</sub>	<i>c</i> <sub>2</sub>	<i>c</i> <sub>3</sub>	<i>c</i> <sub>4</sub>	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>c</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>c</i> <sub>9</sub>	<i>c</i> <sub>10</sub>	<i>c</i> <sub>11</sub>	<i>c</i> <sub>12</sub>	<i>c</i> <sub>13</sub>	<i>c</i> <sub>14</sub>	Total
<i>la</i> <sub>1</sub>		√	√	√	√	√			√		√	√	√	√	10
<i>la</i> <sub>2</sub>	√		√		√	√					√	√	√	√	9
<i>la</i> <sub>3</sub>		√		√	√	√	√	√	√	√	√	√	√	√	14
<i>la</i> <sub>4</sub>	√	√	√		√	√	√			√	√	√	√	√	11
<i>la</i> <sub>5</sub>	√	√	√	√		√	√	√	√	√	√	√	√	√	14
<i>la</i> <sub>6</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	14
<i>la</i> <sub>7</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	10
<i>la</i> <sub>8</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	9
<i>la</i> <sub>9</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	14
<i>la</i> <sub>10</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	11
<i>la</i> <sub>11</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	10
<i>la</i> <sub>12</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	13
<i>la</i> <sub>13</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	11
<i>la</i> <sub>14</sub>		√	√	√	√	√	√	√	√	√	√	√	√	√	11
<i>la</i> <sub>15</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	10
<i>la</i> <sub>16</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	13
<i>la</i> <sub>17</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	12
<i>la</i> <sub>18</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	12
<i>la</i> <sub>19</sub>		√	√	√	√	√	√	√	√	√	√	√	√	√	4
<i>la</i> <sub>20</sub>			√	√	√	√	√	√	√	√	√	√	√	√	3
<i>la</i> <sub>21</sub>		√	√	√	√	√	√	√	√	√	√	√	√	√	4
<i>la</i> <sub>22</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	9
<i>la</i> <sub>23</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	12
<i>la</i> <sub>24</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	10
<i>la</i> <sub>25</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	10
<i>la</i> <sub>26</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	12
<i>la</i> <sub>27</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	12
<i>la</i> <sub>28</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	9
<i>la</i> <sub>29</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	12
<i>la</i> <sub>30</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	9
<i>la</i> <sub>31</sub>	√	√	√	√	√	√	√	√	√	√	√	√	√	√	9
Total	26	30	25	22	27	23	22	12	16	14	16	30	30	30	323

Note: √ = significant partial correlation coefficient (*p*-value < 0.05) found in all four analyses.