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Measurement of CP Violation in $B^0 \rightarrow D^+ D^-$ Decays

R. Aaij *et al.**

(LHCb Collaboration)

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The CP violation observables S and C in the decay channel $B^0 \rightarrow D^+ D^-$ are determined from a sample of proton-proton collisions at center-of-mass energies of 7 and 8 TeV, collected by the LHCb experiment and corresponding to an integrated luminosity of 3 fb^{-1} . The observable S describes CP violation in the interference between mixing and the decay amplitude, and C parametrizes direct CP violation in the decay. The following values are obtained from a flavor-tagged, decay-time-dependent analysis: $S = -0.54^{+0.17}_{-0.16}(\text{stat}) \pm 0.05(\text{syst})$, $C = 0.26^{+0.18}_{-0.17}(\text{stat}) \pm 0.02(\text{syst})$. These values provide evidence for CP violation at a significance level of 4.0 standard deviations. The phase shift due to higher-order standard model corrections is constrained to a small value of $\Delta\phi = -0.16^{+0.19}_{-0.21} \text{ rad}$.

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Studies of beauty hadron decays into pairs of charm hadrons give access to a multitude of observables that probe the Cabibbo-Kobayashi-Maskawa (CKM) quark mixing matrix [1,2] of the standard model (SM). Comparisons of these observables with each other and with similar observables from beauty hadron decays to charmonia allow higher-order SM contributions, like loop diagrams, to be separated from effects caused by physics beyond the SM [3–7]. For example, under the assumption that flavor symmetries hold to a good approximation, higher-order corrections in the measurement of ϕ_s in $B_s^0 \rightarrow D_s^+ D_s^-$ [8] can be constrained. (The inclusion of charge-conjugate processes is implied throughout the Letter, unless otherwise noted).

In the B^0 meson system, CP violation in the mixing is negligible, as is the decay width difference $\Delta\Gamma$ of the mass eigenstates [9]. In contrast, sizable CP violation from the interference between the direct (unmixed) decay into the CP -even final state $D^+ D^-$ and the decay to the same final state after B^0 – $\bar{B}^0$ mixing, or from the interference of different decay processes, leads to a decay-time-dependent decay rate of

$$\frac{d\Gamma(t, d)}{dt} \propto e^{-t/\tau} [1 - dS \sin(\Delta m t) + dC \cos(\Delta m t)], \quad (1)$$

where t is the proper decay time, d represents the B^0 flavor at production and takes a value of $+1$ for mesons whose initial flavor is B^0 and -1 for $\bar{B}^0$, τ is the mean lifetime, and Δm is the mass difference between the physical B^0 meson

eigenstates. The CP observables S and C are related to the B^0 mixing phase ϕ_d and a phase shift $\Delta\phi$ from the decay amplitudes via $S/\sqrt{1-C^2} = -\sin(\phi_d + \Delta\phi)$ [10]. In the SM, $\phi_d = 2\beta$, where $\beta \equiv \arg[-(V_{cd}V_{cb}^*)/(V_{td}V_{tb}^*)]$ is an angle of one of the CKM unitary triangles and $V_{qq'}$ are elements of the CKM matrix. If the $B^0 \rightarrow D^+ D^-$ decay amplitude can be described by a dominant tree-level $b \rightarrow c\bar{c}d$ transition, the phase shift $\Delta\phi$ vanishes and the CP observables are given by $C = 0$ and $S = -\sin\phi_d$. The value of the latter has been measured to be $\sin\phi_d = +0.679 \pm 0.020$ [9] in $b \rightarrow c\bar{c}s$ decays such as $B^0 \rightarrow J/\psi K_S^0$, in which the contribution from loop processes in the decay can be constrained to high precision [11]. In contrast, previous measurements of the CP observables in the decay $B^0 \rightarrow D^+ D^-$ by the BABAR and Belle collaborations [12,13] give world average values of $S = -0.98 \pm 0.17$ and $C = -0.31 \pm 0.14$ [9]. The values are at the edge of the physically allowed region of $S^2 + C^2 \leq 1$, which leaves room for a large value of $\Delta\phi$.

This Letter reports a measurement of CP violation in $B^0 \rightarrow D^+ D^-$ decays with the LHCb experiment. The measurement is based on samples of pp collision data corresponding to integrated luminosities of 1 and 2 fb^{-1} at center-of-mass energies of 7 and 8 TeV, respectively, recorded by the LHCb experiment. The LHCb detector is a single-arm forward spectrometer covering the pseudorapidity range $2 < \eta < 5$, designed for the study of particles containing b or c quarks, and is described in detail in Refs. [14,15]. The online event selection is performed by a trigger, which consists of a hardware stage, based on information from the calorimeter and muon systems, followed by a software stage, which applies a full event reconstruction. Simulated events are produced with the software described in Refs. [16–21].

Candidate $B^0 \rightarrow D^+ D^-$ decays are reconstructed through the subsequent decays $D^+ \rightarrow K^- \pi^+ \pi^+$ and

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$D^+ \rightarrow K^- K^+ \pi^+$, with combinations of two $D \rightarrow KK\pi$ candidates omitted due to the low branching fraction. The kaon and pion candidates, which must fulfill loose particle identification (PID) criteria, are required to have transverse momentum $p_T > 100$ MeV/c, to have a good track quality, and to be inconsistent with originating from a primary vertex (PV). The three hadron tracks must form a good common vertex and their combined invariant mass has to be in the range ± 25 MeV/ c^2 around the known D^+ mass [22]. The scalar sum of the p_T of the three hadrons has to exceed 1800 MeV/c and the D^+ vertex has to be significantly displaced from all PVs. Defining θ_X as the angle between the momentum vector of a particle X and the displacement vector from the best-matched PV to the X decay vertex, $\cos \theta_{D^+}$ is required to be positive.

To suppress contributions from misreconstructed $D_s^+ \rightarrow K^- K^+ \pi^+$ decays, which proceed predominantly through $D_s^+ \rightarrow \phi \pi^+$, $D^+ \rightarrow K^- \pi^+ \pi^+$ candidates are rejected if, after assigning the kaon mass hypothesis to the π^+ with the higher p_T , the invariant mass $m(K^- K^+)$ is within 10 MeV/ c^2 of the known ϕ meson mass. Furthermore, if the invariant mass $m(K^- K^+ \pi^+)$ is within 25 MeV/ c^2 of the known D_s^+ meson mass, the requirement on the PID information of the higher- p_T pion to be consistent with the pion hypothesis is tightened. Similarly, protons can be misidentified as pions, resulting in background contributions from $\Lambda_c^+ \rightarrow K^- p \pi^+$. To suppress these processes, the pion candidate with the higher p_T of $D^+ \rightarrow K^- \pi^+ \pi^+$ is required to be well identified as a pion if $|m(K^- p \pi^+) - m_{\Lambda_c^+}| < 25$ MeV/ c^2 .

Candidate B^0 mesons are reconstructed from pairs of oppositely charged $D^\pm$ candidates that form a common vertex. The scalar sum of the p_T of the $D^\pm$ mesons must exceed 5 GeV/c. The decay time significance of each $D^\pm$ meson, defined as its decay time divided by its estimated uncertainty, is required to be greater than zero, or greater than 3 if one of the $D^\pm$ mesons is reconstructed in the $K^- K^+ \pi^+$ final state. This reduces the contamination of $B^0 \rightarrow D^- K^- K^+ \pi^+$ decays. The B^0 candidate is required to have momentum $p > 10$ GeV/c, $\cos \theta_{B^0} > 0.999$, and to not originate from the associated PV. A fit to the full decay chain, in which the B^0 production vertex is constrained to the position of the associated PV, is performed to determine the reconstructed decay time t' of the B^0 candidate, which differs from the true time t . Only candidates with decay times in the range 0.25–10.25 ps are kept. The invariant mass $m_{D^+ D^-}$ of the B^0 candidate is calculated from a similar fit to the full decay chain, while additionally constraining the invariant masses of $K^- \pi^+ \pi^+$ and $K^- K^+ \pi^+$ to the known D^+ mass, and is required to be in the range 5150–5500 MeV/ c^2 .

Two boosted decision trees [23,24], for B^0 final states with two and three kaons, are used to suppress the combinatorial background. Both are trained on simulated

signal samples and on background samples formed from B^0 candidates at high invariant masses (> 5500 MeV/ c^2), and exploit observables related to the kinematics of the decay, PID information, and track and vertex quality. The requirements on the boosted decision tree classifier outputs are chosen to optimize the precision of both CP observables S and C .

To separate the remaining background from the signal a fit to the $D^+ D^-$ invariant mass distribution is performed to calculate signal candidate weights via the *sPlot* technique [25]. The mass fit is performed simultaneously in four categories, split by the data-taking period (7, 8 TeV) and the number of kaons in the final state. The probability density function (PDF) used to parametrize the mass distribution consists of four contributions: signal, $B_s^0 \rightarrow D^+ D^-$, combinatorial background, and a component that includes both $B^0 \rightarrow D_s^+ D^-$ and $B_s^0 \rightarrow D_s^- D^+$ decays. The signal is modeled by the sum of three Crystal Ball functions [26] with a common mean. The parameters of the tails (two towards lower and one towards higher mass) and the three widths are determined from simulated samples. To account for differences in the mass resolution in simulation and data, the width parameters are multiplied by a common scale factor, which is free to vary in the fit to data. The $B_s^0 \rightarrow D^+ D^-$ component shares all shape parameters with the signal PDF except for the peak position, which is constrained by the known value of the difference between the B^0 and the B_s^0 masses [22]. Each peak in the $B^0 \rightarrow D_s^+ D^-$ and $B_s^0 \rightarrow D_s^- D^+$ component is described by the sum of two Crystal Ball functions (one with a tail towards lower and one with a tail towards higher masses) whose parameters are taken from simulation. The widths and the B^0 peak position are free to vary in the fit while the B_s^0 peak offset is constrained in the same way as that of the $B_s^0 \rightarrow D^+ D^-$ component. The combinatorial background is parametrized with an exponential function, with separate exponents used for the final states with two or three kaons. Partially reconstructed $B^0 \rightarrow D^{*+} D^-$ decays with $D^{*+} \rightarrow D^+ \pi^0$, where the neutral pion is missed, lie outside the mass range used for the fit. The equivalent $B_s^0 \rightarrow D^{*+} D^-$ decays and decay modes with only one or no charm meson, such as $B^0 \rightarrow D^- K^- K^+ \pi^+$, are also neglected in the mass fit. The influence of their omission on the CP measurement is treated as a systematic uncertainty. The mass distribution is shown in Fig. 1(a). The combined $B^0 \rightarrow D^+ D^-$ signal yield is 1610 ± 50 , of which 1347 ± 45 are in the Cabibbo-favored final state with two $D^+ \rightarrow K^- \pi^+ \pi^+$ decays.

The measurement of decay-time-dependent CP violation requires knowledge of the initial flavor of each reconstructed B^0 meson. Flavor-tagging algorithms deliver a measured tag decision d' for the flavor of the B^0 meson, which takes the value +1 for a B^0 , -1 for a $\bar{B}^0$ initial state, and zero if no decision is possible, and an estimate η of the probability for the tag decision to be incorrect. The latter is

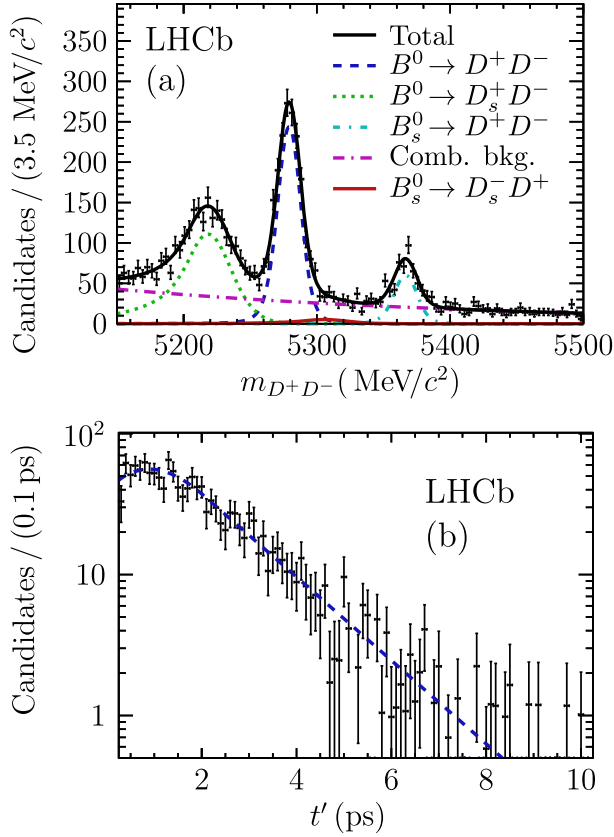


FIG. 1. Distribution of the reconstructed mass of all $B^0 \rightarrow D^+D^-$ candidates (a) and the background-subtracted decay time distribution for tagged candidates (b). In panel (a) besides the data points and the projection of the full PDF (solid black) the projections of the B^0 signal (dashed blue), the $B_s^0 \rightarrow D^+D^-$ background (short-dash-dotted turquoise), the $B^0 \rightarrow D_s^+D^-$ background (dotted green), the $B_s^0 \rightarrow D_s^-D^+$ background (long-dash-three-dotted red), and the combinatorial background (long-dash-dotted purple) are shown.

referred to as the mistag probability. Two classes of flavor-tagging algorithms are used: opposite-side (OS) and same-side (SS) taggers [27–29]. In $b\bar{b}$ pair production, the dominant source of b hadrons at LHCb, the signal B^0 meson is accompanied by a second b hadron. The OS taggers determine the flavor of the signal by examining the decay products of this second b hadron. The information from the decay products consists of the charge of muons or electrons produced in semileptonic decays, the charge of kaons from $b \rightarrow c \rightarrow s$ transitions, the charge of charm hadrons from $b \rightarrow c$ transitions, and the net charge of all decay products. The SS taggers analyze pions and protons related to the hadronization process of the B^0 meson. This is the first analysis to use the LHCb SS proton and OS charm taggers, and the first to use the new SS pion tagger.

The outputs of all OS algorithms are combined into an overall OS tagging decision and mistag estimate, and the same is done for the SS algorithms. The mistag estimates $\eta \in \{\eta_{\text{OS}}, \eta_{\text{SS}}\}$ are calibrated using linear functions $\omega(\eta|d)$,

so that η on average matches the true mistag probability ω , which depends on the true production flavor d of the B^0 meson. The calibration studies are performed with a sample of $B^0 \rightarrow D_s^+D^-$ decays, for which the final state determines the flavor of the B^0 at decay. Since the calibration and signal channels are kinematically very similar, the calibration can be applied to the signal channel without further corrections. To ensure that the same calibration is valid for both, the same selection is used as for the signal decay with one $D^+ \rightarrow K^-K^+\pi^+$, apart from requiring that the $K^-K^+\pi^+$ invariant mass lie within $25 \text{ MeV}/c^2$ of the known D_s^+ mass [22] and dropping the vetoes against misidentified backgrounds. Background is subtracted from the calibration sample via the *sPlot* technique [25]. The tagging calibration parameters are determined from a fit to the decay time and tag distributions of $B^0 \rightarrow D_s^+D^-$ candidates, in which the detection asymmetry, the production asymmetry of the B^0 mesons, and the flavor-specific semileptonic asymmetry a_{sl}^d are taken into account. Here, the detection asymmetry describes the difference in reconstruction efficiency between the $D_s^+D^-$ and $D_s^-D^+$ final states, and $A_P \equiv [\sigma(\bar{B}^0) - \sigma(B^0)]/[\sigma(\bar{B}^0) + \sigma(B^0)]$, where σ denotes the production cross section inside the LHCb acceptance. The values of all these parameters are fixed according to the latest LHCb measurements [30,31], and their uncertainties are treated as sources of systematic uncertainty on the calibration parameters. Further systematic uncertainties are assigned due to the calibration method, the dependence of the efficiency on decay time, the decay time resolution, and the background subtraction. More details on the calibration studies are given in Ref. [32].

In the $B^0 \rightarrow D^+D^-$ signal data sample, the correlation between the OS and the SS mistag estimates is found to be negligible. A small correlation of the mistag probability with decay time is seen; this is neglected in the main fit but considered as a source of systematic uncertainty.

The effective tagging efficiency is the product of the probability for reaching a tagging decision, $\epsilon_{\text{tag}} = (87.6 \pm 0.8)\%$, and the square of the effective dilution $D = 1 - 2\omega = (30.3 \pm 1.1)\%$. Its value is $\epsilon_{\text{tag}}D^2 = (8.1 \pm 0.6)\%$, the highest effective tagging efficiency to date in tagged CP violation measurements at LHCb thanks to the improved flavor-tagging algorithms and the kinematic properties of the selected $B^0 \rightarrow D^+D^-$ decays.

The CP violation observables S and C are determined from a multidimensional fit to the background-subtracted tag and decay time distributions of the tagged $B^0 \rightarrow D^+D^-$ candidates; a projection of the decay time distribution summed over the nonzero tag decisions is shown in Fig. 1(b). The conditional PDF describing the reconstructed decay time t' and tag decisions $\vec{d}' = (d'_{\text{OS}}, d'_{\text{SS}})$, given a per-event decay time resolution $\sigma_{t'}$ and per-event mistag probability estimates $\vec{\eta} = (\eta_{\text{OS}}, \eta_{\text{SS}})$, is

$$P(t', \vec{d}' | \sigma_{t'}, \vec{\eta}) \propto \epsilon(t') (\mathcal{P}(t, \vec{d}' | \vec{\eta}) \otimes \mathcal{R}(t' - t | \sigma_{t'})), \quad (2)$$

where

$$\mathcal{P}(t, \vec{d}' | \vec{\eta}) \propto \sum_d \mathcal{P}(\vec{d}' | d, \vec{\eta}) [1 - dA_P] e^{-t/\tau} \times \{1 - dS \sin(\Delta m t) + dC \cos(\Delta m t)\}, \quad (3)$$

and where t is the true decay time, d is the true production flavor, A_P is the production asymmetry, and $\mathcal{P}(\vec{d}' | d, \vec{\eta})$ is a two-dimensional binomial PDF describing the distribution of tagging decisions given $\vec{\eta}$ and d . Normalization factors are omitted for brevity. In the fit, the mass difference Δm and the lifetime τ are constrained to their known values within uncertainties [22]. The production asymmetry A_P is constrained separately for the 7 and 8 TeV samples to the values obtained from weighting the results from the measurements in Ref. [31] according to the kinematic distribution of the B^0 signal candidates. The decay time resolution model $\mathcal{R}$ is the sum of three Gaussian functions, two of which have event-dependent widths proportional to $\sigma_{t'}$, and one of which has a global width that describes the effect of candidates matched to a wrong PV; all three share a common mean. All parameters of the resolution model are determined from simulation. The average decay time resolution in data is 49 fs. The function $\epsilon(t')$ describes the efficiency for all reconstruction and selection steps as a function of the reconstructed decay time. It is represented by cubic splines [33], with the spline coefficients left unconstrained in the fit.

The statistical uncertainties are estimated using the bootstrap method [34]. Individual bootstrap samples are drawn from the candidates in data that pass the full selection; the analysis procedure described above, consisting of the mass fit, background subtraction, and decay time fit, is then applied to obtain the values of the CP observables for each such sample. Two-sided 68% confidence intervals, with equal tail probabilities on either side, are obtained from the distributions of fitted parameters in the bootstrapped samples. To account for the uncertainties of the flavor-tagging calibration parameters, which are fixed in the likelihood fit, further pseudoexperiments are generated in which these flavor-tagging calibration parameters are varied within their combined statistical and systematic uncertainties. The results are then used to correct the uncertainties from the bootstrapping procedure. The CP observables are measured to be $S = -0.54^{+0.17}_{-0.16}$ and $C = 0.26^{+0.18}_{-0.17}$ with a correlation coefficient of $\rho = 0.48$. The decay-time-dependent signal yield asymmetry $(N_{\bar{B}^0} - N_{B^0}) / (N_{\bar{B}^0} + N_{B^0})$, where N_{B^0} is the number of $B^0 \rightarrow D^+ D^-$ decays with a B^0 flavor tag, and $N_{\bar{B}^0}$ the number with a $\bar{B}^0$ tag, is shown in Fig. 2.

Several sources of systematic uncertainties on the CP observables are studied with pseudoexperiments. The

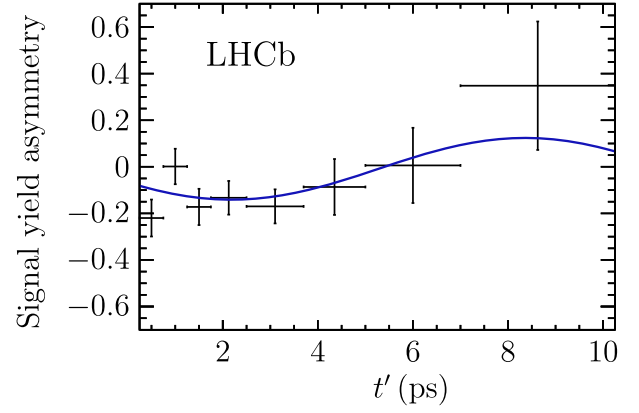


FIG. 2. Decay-time-dependent signal yield asymmetry. The solid curve is the projection of the signal PDF given in Eq. (2).

largest systematic uncertainty arises from neglecting backgrounds in which the final state contains only one charm meson, such as $B^0 \rightarrow D^- K^- K^+ \pi^+$. The yield of these backgrounds is estimated to be about 2% of the signal yield and their impact is assessed by assuming that they maximally violate CP symmetry and have the eigenvalue opposite to the signal mode. This leads to a systematic uncertainty of ± 0.05 on S and ± 0.013 on C . Further systematic uncertainties on S are related to the assumption $\Delta\Gamma = 0$ (± 0.014), and to the modeling of the dependence of the efficiency on decay time (± 0.007). For C the second largest systematic uncertainty of ± 0.007 is due to neglecting the correlation between the invariant mass and the decay time. Additional systematic uncertainties arise from the decay time resolution, from the uncertainty on the knowledge of the length scale, from the parametrization of the mass model, and from uncertainties on the B^0 production asymmetry and mass difference Δm . The total systematic uncertainty, calculated as the sum in quadrature of all contributions, is ± 0.05 for S and ± 0.02 for C , with a correlation coefficient of $\rho = -0.69$.

In conclusion, a measurement of the CP observables S and C in the decay channel $B^0 \rightarrow D^+ D^-$ is performed. Using the full data sample collected by the LHCb experiment during Run 1, which corresponds to a total integrated luminosity of 3 fb^{-1} , they are determined to be

$$S = -0.54^{+0.17}_{-0.16}(\text{stat}) \pm 0.05(\text{syst}),$$

$$C = 0.26^{+0.18}_{-0.17}(\text{stat}) \pm 0.02(\text{syst})$$

with a statistical correlation coefficient of $\rho = 0.48$. This result excludes the conservation of CP symmetry by 4.0 standard deviations. It is compatible with the previous measurement by the BABAR experiment of $S = -0.63 \pm 0.36 \pm 0.05$ and $C = -0.07 \pm 0.23 \pm 0.03$ [12] while being significantly more precise. A proper evaluation of the compatibility with the result from the Belle experiment [13] could not be performed due to its non-Gaussian

uncertainties. The result presented here corresponds to $\sin(\phi_d + \Delta\phi) = 0.56^{+0.16}_{-0.17}$, which constrains the phase shift to the world's most precise value of $\Delta\phi = -0.16^{+0.19}_{-0.21}$ rad, and thus implies only a small contribution from higher-order standard model corrections.

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R. Aaij,⁴⁰ B. Adeva,³⁹ M. Adinolfi,⁴⁸ Z. Ajaltouni,⁵ S. Akar,⁶ J. Albrecht,¹⁰ F. Alessio,⁴⁰ M. Alexander,⁵³ S. Ali,⁴³ G. Alkhazov,³¹ P. Alvarez Cartelle,⁵⁵ A. A. Alves Jr,⁵⁹ S. Amato,² S. Amerio,²³ Y. Amhis,⁷ L. An,⁴¹ L. Anderlini,¹⁸ G. Andreassi,⁴¹ M. Andreotti,^{17,a} J. E. Andrews,⁶⁰ R. B. Appleby,⁵⁶ F. Archilli,⁴³ P. d'Argent,¹² J. Arnau Romeu,⁶ A. Artamonov,³⁷ M. Artuso,⁶¹ E. Aslanides,⁶ G. Auriemma,²⁶ M. Baalouch,⁵ I. Babuschkin,⁵⁶ S. Bachmann,¹² J. J. Back,⁵⁰ A. Badalov,³⁸ C. Baesso,⁶² S. Baker,⁵⁵ W. Baldini,¹⁷ R. J. Barlow,⁵⁶ C. Barschel,⁴⁰ S. Barsuk,⁷ W. Barter,⁴⁰ M. Baszczyk,²⁷ V. Batozskaya,²⁹ B. Batsukh,⁶¹ V. Battista,⁴¹ A. Bay,⁴¹ L. Beaucourt,⁴ J. Beddow,⁵³ F. Bedeschi,²⁴ I. Bediaga,¹ L. J. Bel,⁴³ V. Bellee,⁴¹ N. Belloli,^{21,b} K. Belous,³⁷ I. Belyaev,³² E. Ben-Haim,⁸ G. Bencivenni,¹⁹ S. Benson,⁴³ J. Benton,⁴⁸ A. Berezhnoy,³³ R. Bernet,⁴² A. Bertolin,²³ F. Betti,¹⁵ M.-O. Bettler,⁴⁰ M. van Beuzekom,⁴³ I. Bezshyiko,⁴² S. Bifani,⁴⁷ P. Billoir,⁸ T. Bird,⁵⁶ A. Birnkraut,¹⁰ A. Bitadze,⁵⁶ A. Bizzeti,^{18,c} T. Blake,⁵⁰ F. Blanc,⁴¹ J. Blouw,¹¹ S. Blusk,⁶¹ V. Bocci,²⁶ T. Boettcher,⁵⁸ A. Bondar,^{36,d} N. Bondar,^{31,40} W. Bonivento,¹⁶ A. Borgheresi,^{21,b} S. Borghi,⁵⁶ M. Borisyak,³⁵ M. Borsato,³⁹ F. Bossu,⁷ M. Boubdir,⁹ T. J. V. Bowcock,⁵⁴ E. Bowen,⁴² C. Bozzi,^{17,40} S. Braun,¹² M. Britsch,¹² T. Britton,⁶¹ J. Brodzicka,⁵⁶ E. Buchanan,⁴⁸ C. Burr,⁵⁶ A. Bursche,² J. Buytaert,⁴⁰ S. Cadceddu,¹⁶ R. Calabrese,^{17,a} M. Calvi,^{21,b} M. Calvo Gomez,^{38,e} A. Camboni,³⁸ P. Campana,¹⁹ D. Campora Perez,⁴⁰ D. H. Campora Perez,⁴⁰ L. Capriotti,⁵⁶ A. Carbone,^{15,f} G. Carboni,^{25,g} R. Cardinale,^{20,h} A. Cardini,¹⁶ P. Carniti,^{21,b} L. Carson,⁵² K. Carvalho Akiba,² G. Casse,⁵⁴ L. Cassina,^{21,b} L. Castillo Garcia,⁴¹ M. Cattaneo,⁴⁰ Ch. Cauet,¹⁰ G. Cavallero,²⁰ R. Cenci,^{24,i} M. Charles,⁸ Ph. Charpentier,⁴⁰ G. Chatzikonstantinidis,⁴⁷ M. Chefdeville,⁴ S. Chen,⁵⁶ S.-F. Cheung,⁵⁷ V. Chobanova,³⁹ M. Chrzasczcz,^{42,27} X. Cid Vidal,³⁹ G. Ciezarek,⁴³ P. E. L. Clarke,⁵² M. Clemencic,⁴⁰ H. V. Cliff,⁴⁹ J. Closier,⁴⁰ V. Coco,⁵⁹ J. Cogan,⁶ E. Cogneras,⁵ V. Cogoni,^{16,40,j} L. Cojocariu,³⁰ G. Collazuol,^{23,k} P. Collins,⁴⁰ A. Comerma-Montells,¹² A. Contu,⁴⁰ A. Cook,⁴⁸ G. Coombs,⁴⁰ S. Coquereau,³⁸ G. Corti,⁴⁰ M. Corvo,^{17,a} C. M. Costa Sobral,⁵⁰ B. Couturier,⁴⁰ G. A. Cowan,⁵² D. C. Craik,⁵² A. Crocombe,⁵⁰ M. Cruz Torres,⁶² S. Cunliffe,⁵⁵ R. Currie,⁵⁵ C. D'Ambrosio,⁴⁰ F. Da Cunha Marinho,² E. Dall'Occo,⁴³ J. Dalseno,⁴⁸ P. N. Y. David,⁴³ A. Davis,⁵⁹ O. De Aguiar Francisco,² K. De Bruyn,⁶ S. De Capua,⁵⁶ M. De Cian,¹² J. M. De Miranda,¹ L. De Paula,² M. De Serio,^{14,l} P. De Simone,¹⁹ C.-T. Dean,⁵³ D. Decamp,⁴ M. Deckenhoff,¹⁰ L. Del Buono,⁸ M. Demmer,¹⁰ D. Derkach,³⁵ O. Deschamps,⁵ F. Dettori,⁴⁰ B. Dey,²² A. Di Canto,⁴⁰ H. Dijkstra,⁴⁰ F. Dordei,⁴⁰ M. Dorigo,⁴¹ A. Dosil Suárez,³⁹ A. Dovbnya,⁴⁵ K. Dreimanis,⁵⁴ L. Dufour,⁴³ G. Dujany,⁵⁶ K. Dungs,⁴⁰ P. Durante,⁴⁰ R. Dzhelezhadine,³⁷ A. Dziurda,⁴⁰ A. Dzyuba,³¹ N. Deléage,⁴ S. Easo,⁵¹ M. Ebert,⁵² U. Egede,⁵⁵ V. Egorychev,³² S. Eidelman,^{36,d} S. Eisenhardt,⁵² U. Eitschberger,¹⁰ R. Ekelhof,¹⁰ L. Eklund,⁵³ Ch. Elsasser,⁴² S. Ely,⁶¹ S. Esen,¹² H. M. Evans,⁴⁹ T. Evans,⁵⁷ A. Falabella,¹⁵ N. Farley,⁴⁷ S. Farry,⁵⁴ R. Fay,⁵⁴ D. Fazzini,^{21,b} D. Ferguson,⁵² V. Fernandez Albor,³⁹ A. Fernandez Prieto,³⁹ F. Ferrari,^{15,40} F. Ferreira Rodrigues,¹ M. Ferro-Luzzi,⁴⁰ S. Filippov,³⁴ R. A. Fini,¹⁴ M. Fiore,^{17,a} M. Fiorini,^{17,a} M. Firlej,²⁸ C. Fitzpatrick,⁴¹ T. Fiutowski,²⁸ F. Fleuret,^{7,m} K. Fohl,⁴⁰ M. Fontana,^{16,40} F. Fontanelli,^{20,h} D. C. Forshaw,⁶¹ R. Forty,⁴⁰ V. Franco Lima,⁵⁴ M. Frank,⁴⁰ C. Frei,⁴⁰ J. Fu,^{22,n} E. Furfaro,^{25,g} C. Färber,⁴⁰ A. Gallas Torreira,³⁹ D. Galli,^{15,f} S. Gallorini,²³ S. Gambetta,⁵² M. Gandelman,² P. Gandini,⁵⁷ Y. Gao,³ L. M. Garcia Martin,⁶⁸ J. García Pardiñas,³⁹ J. Garra Tico,⁴⁹ L. Garrido,³⁸ P. J. Garsed,⁴⁹ D. Gascon,³⁸ C. Gaspar,⁴⁹ L. Gavardi,¹⁰ G. Gazzoni,⁵ D. Gerick,¹² E. Gersabeck,¹² M. Gersabeck,⁵⁶ T. Gershon,⁵⁰ Ph. Ghez,⁴ S. Giani,⁴¹ V. Gibson,⁴⁹ O. G. Girard,⁴¹ L. Giubega,³⁰ K. Gizdov,⁵² V. V. Gligorov,⁸ D. Golubkov,³² A. Golutvin,^{55,40} A. Gomes,^{1,o} I. V. Gorelov,³³

C. Gotti,^{21,b} M. Grabalosa Gándara,⁵ R. Graciani Diaz,³⁸ L. A. Granado Cardoso,⁴⁰ E. Graugés,³⁸ E. Graverini,⁴² G. Graziani,¹⁸ A. Grecu,³⁰ P. Griffith,⁴⁷ L. Grillo,^{21,40,b} B. R. Gruberg Cazon,⁵⁷ O. Grünberg,⁶⁶ E. Gushchin,³⁴ Yu. Guz,³⁷ T. Gys,⁴⁰ C. Göbel,⁶² T. Hadavizadeh,⁵⁷ C. Hadjivasiliou,⁵ G. Haefeli,⁴¹ C. Haen,⁴⁰ S. C. Haines,⁴⁹ S. Hall,⁵⁵ B. Hamilton,⁶⁰ X. Han,¹² S. Hansmann-Menzemer,¹² N. Harnew,⁵⁷ S. T. Harnew,⁴⁸ J. Harrison,⁵⁶ M. Hatch,⁴⁰ J. He,⁶³ T. Head,⁴¹ A. Heister,⁹ K. Hennessy,⁵⁴ P. Henrard,⁵ L. Henry,⁸ J. A. Hernando Morata,³⁹ E. van Herwijnen,⁴⁰ M. Heß,⁶⁶ A. Hicheur,² D. Hill,⁵⁷ C. Hombach,⁵⁶ H. Hopchev,⁴¹ W. Hulsbergen,⁴³ T. Humair,⁵⁵ M. Hushchyn,³⁵ N. Hussain,⁵⁷ D. Hutchcroft,⁵⁴ M. Idzik,²⁸ P. Ilten,⁵⁸ R. Jacobsson,⁴⁰ A. Jaeger,¹² J. Jalocha,⁵⁷ E. Jans,⁴³ A. Jawahery,⁶⁰ F. Jiang,³ M. John,⁵⁷ D. Johnson,⁴⁰ C. R. Jones,⁴⁹ C. Joram,⁴⁰ B. Jost,⁴⁰ N. Jurik,⁶¹ S. Kandybei,⁴⁵ W. Kalso,⁶ M. Karacson,⁴⁰ J. M. Kariuki,⁴⁸ S. Karodia,⁵³ M. Kecke,¹² M. Kelsey,⁶¹ I. R. Kenyon,⁴⁷ M. Kenzie,⁴⁹ T. Ketel,⁴⁴ E. Khairullin,³⁵ B. Khanji,^{21,40,b} C. Khurewathanakul,⁴¹ T. Kirn,⁹ S. Klaver,⁵⁶ K. Klimaszewski,²⁹ S. Koliiev,⁴⁶ M. Kolpin,¹² I. Komarov,⁴¹ R. F. Koopman,⁴⁴ P. Koppenburg,⁴³ A. Kosmyntseva,³² A. Kozachuk,³³ M. Kozeiha,⁵ L. Kravchuk,³⁴ K. Kreplin,¹² M. Kreps,⁵⁰ P. Krokovny,^{36,d} F. Kruse,¹⁰ W. Krzemien,²⁹ W. Kucewicz,^{27,p} M. Kucharczyk,²⁷ V. Kudryavtsev,^{36,d} A. K. Kuonen,⁴¹ K. Kurek,²⁹ T. Kvaratskheliya,^{32,40} D. Lacarrere,⁴⁰ G. Lafferty,⁵⁶ A. Lai,¹⁶ D. Lambert,⁵² G. Lanfranchi,¹⁹ C. Langenbruch,⁹ T. Latham,⁵⁰ C. Lazzeroni,⁴⁷ R. Le Gac,⁶ J. van Leerdam,⁴³ J.-P. Lees,⁴ A. Leflat,^{33,40} J. Lefrançois,⁷ R. Lefèvre,⁵ F. Lemaître,⁴⁰ E. Lemos Cid,³⁹ O. Leroy,⁶ T. Lesiak,²⁷ B. Leverington,¹² Y. Li,⁷ T. Likhomanenko,^{35,67} R. Lindner,⁴⁰ C. Linn,⁴⁰ F. Lionetto,⁴² B. Liu,¹⁶ X. Liu,³ D. Loh,⁵⁰ I. Longstaff,⁵³ J. H. Lopes,² D. Lucchesi,^{23,k} M. Lucio Martinez,³⁹ H. Luo,⁵² A. Lupato,²³ E. Luppi,^{17,a} O. Lupton,⁵⁷ A. Lusiani,²⁴ X. Lyu,⁶³ F. Machefert,⁷ F. Maciuc,³⁰ O. Maev,³¹ K. Maguire,⁵⁶ S. Malde,⁵⁷ A. Malinin,⁶⁷ T. Maltsev,³⁶ G. Manca,⁷ G. Mancinelli,⁶ P. Manning,⁶¹ J. Maratas,^{5,q} J. F. Marchand,⁴ U. Marconi,¹⁵ C. Marin Benito,³⁸ P. Marino,^{24,i} J. Marks,¹² G. Martellotti,²⁶ M. Martin,⁶ M. Martinelli,⁴¹ D. Martinez Santos,³⁹ F. Martinez Vidal,⁶⁸ D. Martins Tostes,² L. M. Massacrier,⁷ A. Massafferri,¹ R. Matev,⁴⁰ A. Mathad,⁵⁰ Z. Mathe,⁴⁰ C. Matteuzzi,²¹ A. Mauri,⁴² B. Maurin,⁴¹ A. Mazurov,⁴⁷ M. McCann,⁵⁵ J. McCarthy,⁴⁷ A. McNab,⁵⁶ R. McNulty,¹³ B. Meadows,⁵⁹ F. Meier,¹⁰ M. Meissner,¹² D. Melnychuk,²⁹ M. Merk,⁴³ A. Merli,^{22,n} E. Michielin,²³ D. A. Milanes,⁶⁵ M.-N. Minard,⁴ D. S. Mitzel,¹² A. Mogini,⁸ J. Molina Rodriguez,⁶² I. A. Monroy,⁶⁵ S. Monteil,⁵ M. Morandin,²³ P. Morawski,²⁸ A. Mordà,⁶ M. J. Morello,^{24,i} J. Moron,²⁸ A. B. Morris,⁵² R. Mountain,⁶¹ F. Muheim,⁵² M. Mulder,⁴³ M. Mussini,¹⁵ D. Müller,⁵⁶ J. Müller,¹⁰ K. Müller,⁴² V. Müller,¹⁰ P. Naik,⁴⁸ T. Nakada,⁴¹ R. Nandakumar,⁵¹ A. Nandi,⁵⁷ I. Nasteva,² M. Needham,⁵² N. Neri,²² S. Neubert,¹² N. Neufeld,⁴⁰ M. Neuner,¹² A. D. Nguyen,⁴¹ T. D. Nguyen,⁴¹ C. Nguyen-Mau,^{41,r} S. Nieswand,⁹ R. Niet,¹⁰ N. Nikitin,³³ T. Nikodem,¹² A. Novoselov,³⁷ D. P. O'Hanlon,⁵⁰ A. Oblakowska-Mucha,²⁸ V. Obraztsov,³⁷ S. Ogilvy,¹⁹ R. Oldeman,⁴⁹ C. J. G. Onderwater,⁶⁹ J. M. Otalora Goicochea,² A. Otto,⁴⁰ P. Owen,⁴² A. Oyanguren,⁶⁸ P. R. Pais,⁴¹ A. Palano,^{14,l} F. Palombo,^{22,n} M. Palutan,¹⁹ J. Panman,⁴⁰ A. Papanestis,⁵¹ M. Pappagallo,^{14,l} L. L. Pappalardo,^{17,a} W. Parker,⁶⁰ C. Parkes,⁵⁶ G. Passaleva,¹⁸ A. Pastore,^{14,l} G. D. Patel,⁵⁴ M. Patel,⁵⁵ C. Patrignani,^{15,f} A. Pearce,^{56,51} A. Pellegrino,⁴³ G. Penso,²⁶ M. Pepe Altarelli,⁴⁰ S. Perazzini,⁴⁰ P. Perret,⁵ L. Pescatore,⁴⁷ K. Petridis,⁴⁸ A. Petrolini,^{20,h} A. Petrov,⁶⁷ M. Petruzzio,^{22,n} E. Picatoste Olloqui,³⁸ B. Pietrzyk,⁴ M. Piekies,²⁷ D. Pinci,²⁶ A. Pistone,²⁰ A. Piucci,¹² S. Playfer,⁵² M. Plo Casasus,³⁹ T. Poikela,⁴⁰ F. Polci,⁸ A. Poluektov,^{50,36} I. Polyakov,⁶¹ E. Polcarpo,² G. J. Pomery,⁴⁸ A. Popov,³⁷ D. Popov,^{11,40} B. Popovici,³⁰ S. Poslavskii,³⁷ C. Potterat,² E. Price,⁴⁸ J. D. Price,⁵⁴ J. Prisciandaro,³⁹ A. Pritchard,⁵⁴ C. Prouve,⁴⁸ V. Pugatch,⁴⁶ A. Puig Navarro,⁴¹ G. Punzi,^{24,s} W. Qian,⁵⁷ R. Quagliani,^{7,48} B. Rachwal,²⁷ J. H. Rademacker,⁴⁸ M. Rama,²⁴ M. Ramos Pernas,³⁹ M. S. Rangel,² I. Raniuk,⁴⁵ G. Raven,⁴⁴ F. Redi,⁵⁵ S. Reichert,¹⁰ A. C. dos Reis,¹ C. Remon Alepuz,⁶⁸ V. Renaudin,⁷ S. Ricciardi,⁵¹ S. Richards,⁴⁸ M. Rihl,⁴⁰ K. Rinnert,⁵⁴ V. Rives Molina,³⁸ P. Robbe,^{7,40} A. B. Rodrigues,¹ E. Rodrigues,⁵⁹ J. A. Rodriguez Lopez,⁶⁵ P. Rodriguez Perez,⁵⁶ A. Rogozhnikov,³⁵ S. Roiser,⁴⁰ A. Rollings,⁵⁷ V. Romanovskiy,³⁷ A. Romero Vidal,³⁹ J. W. Ronayne,¹³ M. Rotondo,¹⁹ M. S. Rudolph,⁶¹ T. Ruf,⁴⁰ P. Ruiz Valls,⁶⁸ J. J. Saborido Silva,³⁹ E. Sadykhov,³² N. Sagidova,³¹ B. Saitta,^{16,j} V. Salustino Guimaraes,² C. Sanchez Mayordomo,⁶⁸ B. Sanmartin Sedes,³⁹ R. Santacesaria,²⁶ C. Santamarina Rios,³⁹ M. Santimaria,¹⁹ E. Santovetti,^{25,g} A. Sarti,^{19,t} C. Satriano,^{26,u} A. Satta,²⁵ D. M. Saunders,⁴⁸ D. Savrina,^{32,33} S. Schael,⁹ M. Schellenberg,¹⁰ M. Schiller,⁴⁰ H. Schindler,⁴⁰ M. Schlupp,¹⁰ M. Schmelling,¹¹ T. Schmelzer,¹⁰ B. Schmidt,⁴⁰ O. Schneider,⁴¹ A. Schopper,⁴⁰ K. Schubert,¹⁰ M. Schubiger,⁴¹ M.-H. Schune,⁷ R. Schwemmer,⁴⁰ B. Sciascia,¹⁹ A. Sciubba,^{26,t} A. Semennikov,³² A. Sergi,⁴⁷ N. Serra,⁴² J. Serrano,⁶ L. Sestini,²³ P. Seyfert,²¹ M. Shapkin,³⁷ I. Shapoval,⁴⁵ Y. Shcheglov,³¹ T. Shears,⁵⁴ L. Shekhtman,^{36,d} V. Shevchenko,⁶⁷ A. Shires,¹⁰ B. G. Siddi,^{17,40} R. Silva Coutinho,⁴² L. Silva de Oliveira,² G. Simi,^{23,k} S. Simone,^{14,l} M. Sirendi,⁴⁹ N. Skidmore,⁴⁸ T. Skwarnicki,⁶¹ E. Smith,⁵⁵ I. T. Smith,⁵² J. Smith,⁴⁹ M. Smith,⁵⁵ H. Snoek,⁴³ M. D. Sokoloff,⁵⁹ F. J. P. Soler,⁵³ B. Souza De Paula,² B. Spaan,¹⁰ P. Spradlin,⁵³ S. Sridharan,⁴⁰ F. Stagni,⁴⁰ M. Stahl,¹² S. Stahl,⁴⁰ P. Stefko,⁴¹ S. Stefkova,⁵⁵ O. Steinkamp,⁴² S. Stemmle,¹² O. Stenyakin,³⁷

S. Stevenson,⁵⁷ S. Stoica,³⁰ S. Stone,⁶¹ B. Storaci,⁴² S. Stracka,^{24,s} M. Straticiu,³⁰ U. Straumann,⁴² L. Sun,⁵⁹ W. Sutcliffe,⁵⁵ K. Swientek,²⁸ V. Syropoulos,⁴⁴ M. Szczekowski,²⁹ T. Szumlak,²⁸ S. T'Jampens,⁴ A. Tayduganov,⁶ T. Tekampe,¹⁰ M. Teklishyn,⁷ G. Tellarini,^{17,a} F. Teubert,⁴⁰ E. Thomas,⁴⁰ J. van Tilburg,⁴³ M. J. Tilley,⁵⁵ V. Tisserand,⁴ M. Tobin,⁴¹ S. Tolk,⁴⁹ L. Tomassetti,^{17,a} D. Tonelli,⁴⁰ S. Topp-Joergensen,⁵⁷ F. Toriello,⁶¹ E. Tournefier,⁴ S. Tourneur,⁴¹ K. Trabelsi,⁴¹ M. Traill,⁵³ M. T. Tran,⁴¹ M. Tresch,⁴² A. Trisovic,⁴⁰ A. Tsaregorodtsev,⁶ P. Tsopelas,⁴³ A. Tully,⁴⁹ N. Tuning,⁴³ A. Ukleja,²⁹ A. Ustyuzhanin,³⁵ U. Uwer,¹² C. Vacca,^{16,j} V. Vagnoni,^{15,40} A. Valassi,⁴⁰ S. Valat,⁴⁰ G. Valenti,¹⁵ A. Vallier,⁷ R. Vazquez Gomez,¹⁹ P. Vazquez Regueiro,³⁹ S. Vecchi,¹⁷ M. van Veghel,⁴³ J. J. Velthuis,⁴⁸ M. Veltri,^{18,v} G. Veneziano,⁴¹ A. Venkateswaran,⁶¹ M. Vernet,⁵ M. Vesterinen,¹² B. Viaud,⁷ D. Vieira,¹ M. Vieites Diaz,³⁹ X. Vilasis-Cardona,^{38,e} V. Volkov,³³ A. Vollhardt,⁴² B. Voneki,⁴⁰ A. Vorobyev,³¹ V. Vorobyev,^{36,d} C. Voß,⁶⁶ J. A. de Vries,⁴³ C. Vázquez Sierra,³⁹ R. Waldi,⁶⁶ C. Wallace,⁵⁰ R. Wallace,¹³ J. Walsh,²⁴ J. Wang,⁶¹ D. R. Ward,⁴⁹ H. M. Wark,⁵⁴ N. K. Watson,⁴⁷ D. Websdale,⁵⁵ A. Weiden,⁴² M. Whitehead,⁴⁰ J. Wicht,⁵⁰ G. Wilkinson,^{57,40} M. Wilkinson,⁶¹ M. Williams,⁴⁰ M. P. Williams,⁴⁷ M. Williams,⁵⁸ T. Williams,⁴⁷ F. F. Wilson,⁵¹ J. Wimberley,⁶⁰ J. Wishahi,¹⁰ W. Wislicki,²⁹ M. Witek,²⁷ G. Wormser,⁷ S. A. Wotton,⁴⁹ K. Wraight,⁵³ S. Wright,⁴⁹ K. Wyllie,⁴⁰ Y. Xie,⁶⁴ Z. Xing,⁶¹ Z. Xu,⁴¹ Z. Yang,³ H. Yin,⁶⁴ J. Yu,⁶⁴ X. Yuan,^{36,d} O. Yushchenko,³⁷ K. A. Zarebski,⁴⁷ M. Zavertyaev,^{11,w} L. Zhang,³ Y. Zhang,⁷ Y. Zhang,⁶³ A. Zhelezov,¹² Y. Zheng,⁶³ A. Zhokhov,³² X. Zhu,³ V. Zhukov,⁹ and S. Zucchelli¹⁵

(LHCb Collaboration)

¹Centro Brasileiro de Pesquisas Físicas (CBPF), Rio de Janeiro, Brazil

²Universidade Federal do Rio de Janeiro (UFRJ), Rio de Janeiro, Brazil

³Center for High Energy Physics, Tsinghua University, Beijing, China

⁴LAPP, Université Savoie Mont-Blanc, CNRS/IN2P3, Annecy-Le-Vieux, France

⁵Clermont Université, Université Blaise Pascal, CNRS/IN2P3, LPC, Clermont-Ferrand, France

⁶CPM, Aix-Marseille Université, CNRS/IN2P3, Marseille, France

⁷LAL, Université Paris-Sud, CNRS/IN2P3, Orsay, France

⁸LPNHE, Université Pierre et Marie Curie, Université Paris Diderot, CNRS/IN2P3, Paris, France

⁹I. Physikalisches Institut, RWTH Aachen University, Aachen, Germany

¹⁰Fakultät Physik, Technische Universität Dortmund, Dortmund, Germany

¹¹Max-Planck-Institut für Kernphysik (MPIK), Heidelberg, Germany

¹²Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany

¹³School of Physics, University College Dublin, Dublin, Ireland

¹⁴Sezione INFN di Bari, Bari, Italy

¹⁵Sezione INFN di Bologna, Bologna, Italy

¹⁶Sezione INFN di Cagliari, Cagliari, Italy

¹⁷Sezione INFN di Ferrara, Ferrara, Italy

¹⁸Sezione INFN di Firenze, Firenze, Italy

¹⁹Laboratori Nazionali dell'INFN di Frascati, Frascati, Italy

²⁰Sezione INFN di Genova, Genova, Italy

²¹Sezione INFN di Milano Bicocca, Milano, Italy

²²Sezione INFN di Milano, Milano, Italy

²³Sezione INFN di Padova, Padova, Italy

²⁴Sezione INFN di Pisa, Pisa, Italy

²⁵Sezione INFN di Roma Tor Vergata, Roma, Italy

²⁶Sezione INFN di Roma La Sapienza, Roma, Italy

²⁷Henryk Niewodniczanski Institute of Nuclear Physics Polish Academy of Sciences, Kraków, Poland

²⁸AGH - University of Science and Technology, Faculty of Physics and Applied Computer Science, Kraków, Poland

²⁹National Center for Nuclear Research (NCBJ), Warsaw, Poland

³⁰Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest-Magurele, Romania

³¹Petersburg Nuclear Physics Institute (PNPI), Gatchina, Russia

³²Institute of Theoretical and Experimental Physics (ITEP), Moscow, Russia

³³Institute of Nuclear Physics, Moscow State University (SINP MSU), Moscow, Russia

³⁴Institute for Nuclear Research of the Russian Academy of Sciences (INR RAN), Moscow, Russia

³⁵Yandex School of Data Analysis, Moscow, Russia

³⁶Budker Institute of Nuclear Physics (SB RAS), Novosibirsk, Russia

³⁷Institute for High Energy Physics (IHEP), Protvino, Russia

- ³⁸ICCUB, Universitat de Barcelona, Barcelona, Spain
- ³⁹Universidad de Santiago de Compostela, Santiago de Compostela, Spain
- ⁴⁰European Organization for Nuclear Research (CERN), Geneva, Switzerland
- ⁴¹Institute of Physics, Ecole Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland
- ⁴²Physik-Institut, Universität Zürich, Zürich, Switzerland
- ⁴³Nikhef National Institute for Subatomic Physics, Amsterdam, The Netherlands
- ⁴⁴Nikhef National Institute for Subatomic Physics and VU University Amsterdam, Amsterdam, The Netherlands
- ⁴⁵NSC Kharkiv Institute of Physics and Technology (NSC KIPT), Kharkiv, Ukraine
- ⁴⁶Institute for Nuclear Research of the National Academy of Sciences (KINR), Kyiv, Ukraine
- ⁴⁷University of Birmingham, Birmingham, United Kingdom
- ⁴⁸H.H. Wills Physics Laboratory, University of Bristol, Bristol, United Kingdom
- ⁴⁹Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom
- ⁵⁰Department of Physics, University of Warwick, Coventry, United Kingdom
- ⁵¹STFC Rutherford Appleton Laboratory, Didcot, United Kingdom
- ⁵²School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
- ⁵³School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
- ⁵⁴Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom
- ⁵⁵Imperial College London, London, United Kingdom
- ⁵⁶School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom
- ⁵⁷Department of Physics, University of Oxford, Oxford, United Kingdom
- ⁵⁸Massachusetts Institute of Technology, Cambridge, MA, United States
- ⁵⁹University of Cincinnati, Cincinnati, OH, United States
- ⁶⁰University of Maryland, College Park, MD, United States
- ⁶¹Syracuse University, Syracuse, NY, United States
- ⁶²Pontifícia Universidade Católica do Rio de Janeiro (PUC-Rio), Rio de Janeiro, Brazil (associated with Universidade Federal do Rio de Janeiro (UFRJ), Rio de Janeiro, Brazil)
- ⁶³University of Chinese Academy of Sciences, Beijing, China (associated with Center for High Energy Physics, Tsinghua University, Beijing, China)
- ⁶⁴Institute of Particle Physics, Central China Normal University, Wuhan, Hubei, China (associated with Center for High Energy Physics, Tsinghua University, Beijing, China)
- ⁶⁵Departamento de Física, Universidad Nacional de Colombia, Bogotá, Colombia (associated with LPNHE, Université Pierre et Marie Curie, Université Paris Diderot, CNRS/IN2P3, Paris, France)
- ⁶⁶Institut für Physik, Universität Rostock, Rostock, Germany (associated with Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany)
- ⁶⁷National Research Centre Kurchatov Institute, Moscow, Russia (associated with Institute of Theoretical and Experimental Physics (ITEP), Moscow, Russia)
- ⁶⁸Instituto de Física Corpuscular (IFIC), Universitat de Valencia-CSIC, Valencia, Spain (associated with ICCUB, Universitat de Barcelona, Barcelona, Spain)
- ⁶⁹Van Swinderen Institute, University of Groningen, Groningen, The Netherlands (associated with Nikhef National Institute for Subatomic Physics, Amsterdam, The Netherlands)

^aAlso at Università di Ferrara, Ferrara, Italy

^bAlso at Università di Milano Bicocca, Milano, Italy

^cAlso at Università di Modena e Reggio Emilia, Modena, Italy

^dAlso at Novosibirsk State University, Novosibirsk, Russia

^eAlso at LIFAELS, La Salle, Universitat Ramon Llull, Barcelona, Spain

^fAlso at Università di Bologna, Bologna, Italy

^gAlso at Università di Roma Tor Vergata, Roma, Italy

^hAlso at Università di Genova, Genova, Italy

ⁱAlso at Scuola Normale Superiore, Pisa, Italy

^jAlso at Università di Cagliari, Cagliari, Italy

^kAlso at Università di Padova, Padova, Italy

^lAlso at Università di Bari, Bari, Italy

^mAlso at Laboratoire Leprince-Ringuet, Palaiseau, France

ⁿAlso at Università degli Studi di Milano, Milano, Italy

^oAlso at Universidade Federal do Triângulo Mineiro (UFTM), Uberaba-MG, Brazil

^pAlso at AGH - University of Science and Technology, Faculty of Computer Science, Electronics and Telecommunications, Kraków, Poland

^qAlso at Iligan Institute of Technology (IIT), Iligan, Philippines

^rAlso at Hanoi University of Science, Hanoi, Viet Nam

^sAlso at Università di Pisa, Pisa, Italy

^tAlso at Università di Roma La Sapienza, Roma, Italy

^uAlso at Università della Basilicata, Potenza, Italy

^vAlso at Università di Urbino, Urbino, Italy

^wAlso at P.N. Lebedev Physical Institute, Russian Academy of Science (LPI RAS), Moscow, Russia