

# A Fully Quantum Model of Big Bang

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## 1. Introduction

In order to understand what really happens in the formation of the Universe, many people came to the point of view that a quantum consideration of this process is necessary. After the publication of the first paper on the quantum description of Universe formation (DeWitt, 1967; Wheeler, 1968), a lot of other papers appeared in this topic (for example, see Refs. (Atkatz & Pagels, 1984; Hartle & Hawking, 1983; Linde, 1984; Rubakov, 1984; Vilenkin, 1982; 1984; 1986; Zel'dovich & Starobinsky, 1984) and some discussions in Refs. (Rubakov, 1999; Vilenkin, 1994) with references therein).

Today, among all variety of models one can select two approaches which are the prevailing ones: these are the Feynman formalism of path integrals in multidimensional spacetime, developed by the Cambridge group and other researchers, called the "*Hartle–Hawking method*" (for example, see Ref. (Hartle & Hawking, 1983)), and a method based on direct consideration of tunneling in 4-dimensional Euclidian spacetime, called the "*Vilenkin method*" (for example, see Refs. (Vilenkin, 1982; 1984; 1986; 1994)). Here, in the quantum approach we have the following picture of the Universe creation: a closed Universe with a small size is formed from "nothing" (vacuum), where by the word "nothing" one refers to a quantum state without classical space and time. A wave function is used for a probabilistic description of the creation of the Universe and such a process is connected with transition of a wave through an effective barrier. Determination of penetrability of this barrier is a key point in the estimation of duration of the formation of the Universe, and the dynamics of its expansion in the first stage. However, in the majority of these models, with the exception of some exactly solvable models, tunneling is mainly studied in details in the semiclassical approximation (see Refs. (Rubakov, 1999; Vilenkin, 1994)). An attractive side of such an approach is its simplicity in the construction of decreasing and increasing partial solutions for the wave function in the tunneling region, the outgoing wave function in the external region, and the possibility to define and to estimate in an enough simply way the penetrability of the barrier, which can be used to obtain the duration of the nucleation of the Universe. The *tunneling boundary condition*

(Vilenkin, 1994) could seem to be the most natural and clear description, where the wave function should represent an outgoing wave only in the enough large value of the scale factor  $a$ . However, is really such a wave free in the asymptotic region? In order to draw attention on the increase of the modulus of the potential with increasing value of the scale factor  $a$  and increasing magnitude of the gradient of such a potential, acting on this wave “through the barrier”, then one come to a serious contradiction: *the influence of the potential on this wave increases strongly with  $a$ !* Now a new question has appeared: what should the wave represent in general in the cosmological problem? This problem connects with another and more general one in quantum physics — the real importance *to define a “free” wave inside strong fields*. To this aim we need a mathematical stable tool to study it. It is unclear whether a connection between exact solutions for the wave function at turning point and “free” wave defined in the asymptotic region is correct.

Note that the semiclassical formula of the penetrability of the barrier is constructed on the basis of wave which is defined concerning zero potential at infinity, i.e. this wave should be free outgoing in the asymptotic region. But in the cosmological problem we have opposite case, when the force acting on the wave increases up to infinity in the asymptotic region. At the same time, deformations of the shape of the potential outside the barrier cannot change the penetrability calculated in the framework of the semiclassical approach (up to the second order). An answer to such problem can be found in non-locality of definition of the penetrability in quantum mechanics, which is reduced to minimum in the semiclassical approach (i. e. this is so called “error” of the cosmological semiclassical approach).

The problem of the correct definition of the wave in cosmology is reinforced else more, if one wants to calculate the incident and reflected waves in the internal region. *Even with the known exact solution for the wave function there is uncertainty in determination of these waves!* But, namely, the standard definition of the coefficients of penetrability and reflection is based on them. In particular, we have not found papers where the coefficient of reflection is defined and estimated in this problem (which differs essentially from unity at the energy of radiation close to the height of the barrier and, therefore, such a characteristics could be interesting from a physical point of view). Note that the semiclassical approximation put serious limits to the possibility of its definition at all (Landau & Lifshitz, 1989).

Thus, in order to estimate probability of the formation of the Universe as accurately as possible, we need a fully quantum definition of the wave. Note that the non-semiclassical penetrability of the barrier in the cosmological problems has not been studied in detail and, therefore, a development of fully quantum methods for its estimation is a perspective task.

Researches in this direction exist (Acacio de Barros et al., 2007), and in these papers was estimated the penetrability on the basis of tunneling of wave packet through the barrier. However, a stationary boundary condition has uncertainty that could lead to different results in calculations of the penetrability. The stationary approach could allow to clarify this issue. It is able to give stable solutions for the wave function (and results in Ref. (Maydanyuk, 2008) have confirmed this at zero energy of radiation), using the standard definition of the coefficients of the penetrability and reflection, is more accurate to their estimation.

Aims of this Chapter are: (1) to define the wave in the quantum cosmological problem; (2) to construct the fully quantum (non-semiclassical) methods of determination of the coefficients of penetrability of the barriers and reflection from them on the basis of such a definition of the wave; (3) to estimate how much the semiclassical approach differs in the estimation of the penetrability from the fully quantum one. In order to achieve this goal, we need to construct tools for calculation of partial solutions of the wave function. In order to resolve

the questions pointed out above, we shall restrict ourselves to a simple cosmological model, where the potential has a barrier and internal above-barrier region.

## 2. Cosmological model in the Friedmann–Robertson–Walker metric with radiation

### 2.1 Dynamics of Universe in the Friedmann–Robertson–Walker metric

Let us consider a simple model of the homogeneous and isotropic Universe in *Friedmann–Robertson–Walker (FRW) metric* (see Ref. (Weinberg, 1975), p. 438; also see Refs. (Brandenberger, 1999; Linde, 2005; Rubakov, 2005; Trodden & and Carroll, 2003)):

$$ds^2 = -dt^2 + a^2(t) \cdot \left( \frac{dr^2}{h(r)} + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \right), h(r) = 1 - kr^2, \tag{1}$$

where  $t$  and  $r, \theta, \phi$  are time and space spherical coordinates, the signature of the metric is  $(-, +, +, +)$  as in Ref. (Trodden & and Carroll, 2003) (see p. 4),  $a(t)$  is an unknown function of time and  $k$  is a constant, the value of which equals  $+1, 0$  or  $-1$ , with appropriate choice of units for  $r$ . Further, we shall use the following system of units:  $\hbar = c = 1$ . For  $k = -1, 0$  the space is infinite (Universe of open type), and for  $k = +1$  the space is finite (Universe of closed type). For  $k = 1$  one can describe the space as a sphere with radius  $a(t)$  embedded in a 4-dimensional Euclidian space. The function  $a(t)$  is referred to as the “radius of the Universe” and is called the *cosmic scale factor*. This function contains information of the dynamics of the expansion of the Universe, and therefore its determination is an actual task.

One can find the function  $a(t)$  using the Einstein equations taking into account the cosmological constant  $\Lambda$  in this metric (we use the signs according to the chosen signature, as in Ref. (Trodden & and Carroll, 2003) p. 8; the Greek symbols  $\mu$  and  $\nu$  denote any of the four coordinates  $t, r, \theta$  and  $\phi$ ):

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu} + \Lambda, \tag{2}$$

where  $R_{\mu\nu}$  is the Ricci tensor,  $R$  is the scalar curvature,  $T_{\mu\nu}$  is the energy-momentum tensor, and  $G$  is Newton’s constant. From (1) we find the Ricci tensor  $R_{\mu\nu}$  and the scalar curvature  $R$ :

$$R_{tt} = -3\frac{\ddot{a}}{a}, \quad R_{rr} = \frac{a\ddot{a}}{h} + 2\frac{\dot{a}^2}{h} - \frac{h'}{hr} = \frac{2\dot{a}^2 + a\ddot{a} + 2k}{1 - kr^2}, \tag{3}$$

$$R_{\phi\phi} = R_{\theta\theta} \sin^2 \theta, \quad R_{\theta\theta} = a\ddot{a} r^2 + 2\dot{a}^2 r^2 - h - \frac{h' r}{2} + 1 = 2\dot{a}^2 r^2 + a\ddot{a} r^2 + 2kr^2$$

$$R = g^{tt}R_{tt} + g^{rr}R_{rr} + g^{\theta\theta}R_{\theta\theta} + g^{\phi\phi}R_{\phi\phi} = \frac{6\dot{a}^2 + 6a\ddot{a} + 6k}{a^2}. \tag{4}$$

The *energy-momentum tensor* has the form (see (Trodden & and Carroll, 2003), p. 8):  $T_{\mu\nu} = (\rho + p) U_\mu U_\nu + p g_{\mu\nu}$ , where  $\rho$  and  $p$  are energy density and pressure. Here, one needs to use the normalized vector of 4-velocity  $U^t = 1, U^r = U^\theta = U^\phi = 0$ . Substituting the previously calculated components (2) of the Ricci tensor  $R_{\mu\nu}$ , the scalar curvature (4), the components of the energy-momentum tensor  $T_{\mu\nu}$  and including the component  $\rho_{\text{rad}}(a)$ , describing the radiation in the initial stage (equation of state for radiation:  $p(a) = \rho_{\text{rad}}(a)/3$ ), into the Einstein’s equation (2) at  $\mu = \nu = 0$ , we obtain the *Friedmann equation* with the cosmological

constant (see p. 8 in Ref. (Trodden & and Carroll, 2003); p. 3 in Ref. (Brandenberger, 1999)):

$$\dot{a}^2 + k - \frac{8\pi G}{3} \left\{ \frac{\rho_{\text{rad}}}{a^2(t)} + \rho_{\Lambda} a^2(t) \right\} = 0, \quad \rho_{\Lambda} = \frac{\Lambda}{8\pi G}, \quad (5)$$

where  $\dot{a}$  is derivative  $a$  at time coordinate. From here, we write a general expression for the energy density:

$$\rho(a) = \rho_{\Lambda} + \frac{\rho_{\text{rad}}}{a^4(t)}. \quad (6)$$

## 2.2 Action, lagrangian and quantization

We define the action as

$$S = \int \sqrt{-g} \left( \frac{R}{16\pi G} - \rho \right) dx^4. \quad (7)$$

Substituting the scalar curvature (4), then integrating item at  $\ddot{a}$  by parts with respect to variable  $t$ , we obtain the *lagrangian*:

$$\mathcal{L}(a, \dot{a}) = \frac{3a}{8\pi G} \left( -\dot{a}^2 + k - \frac{8\pi G}{3} a^2 \rho(a) \right). \quad (8)$$

Considering the variables  $a$  and  $\dot{a}$  as generalized coordinate and velocity respectively, we find a generalized momentum conjugate to  $a$ :

$$p_a = \frac{\partial \mathcal{L}(a, \dot{a})}{\partial \dot{a}} = -\frac{3}{4\pi G} a \dot{a} \quad (9)$$

and then hamiltonian:

$$h(a, p_a) = p_a \dot{a} - \mathcal{L}(a, \dot{a}) = -\frac{1}{a} \left\{ \frac{2\pi G}{3} p_a^2 + a^2 \frac{3k}{8\pi G} - a^4 \rho(a) \right\}. \quad (10)$$

The passage to the quantum description of the evolution of the Universe is obtained by the standard procedure of canonical quantization in the Dirac formalism for systems with constraints. In result, we obtain the *Wheeler-De Witt (WDW) equation* (see (DeWitt, 1967; Levkov et al., 2002; Wheeler, 1968)), which can be written as

$$\left\{ -\frac{\partial^2}{\partial a^2} + V(a) \right\} \varphi(a) = E_{\text{rad}} \varphi(a), \quad (11)$$

$$V(a) = \left( \frac{3}{4\pi G} \right)^2 k a^2 - \frac{3\rho_{\Lambda}}{2\pi G} a^4,$$

$$E_{\text{rad}} = \frac{3\rho_{\text{rad}}}{2\pi G},$$

where  $\varphi(a)$  is wave function of Universe. This equation looks similar to the one-dimensional stationary Schrödinger equation on a semiaxis (of the variable  $a$ ) at energy  $E_{\text{rad}}$  with potential  $V(a)$ . It is convenient to use system of units where  $8\pi G \equiv M_{\text{p}}^{-2} = 1$ , and to rewrite  $V(a)$  in a generalized form as

$$V(a) = A a^2 - B a^4. \quad (12)$$

In particular, for the Universe of the closed type ( $k = 1$ ) we obtain  $A = 36$ ,  $B = 12 \Lambda$  (this potential coincides with Ref. (Acacio de Barros et al., 2007)).

### 2.3 Potential close to the turning points: non-zero energy case

In order to find the wave function we need to know the shape of the potential close to the turning points. Let us find the *turning points*  $a_{\text{tp},\text{in}}$  and  $a_{\text{tp},\text{out}}$  concerning the potential (12) at energy  $E_{\text{rad}}$ :

$$a_{\text{tp},\text{in}} = \sqrt{\frac{A}{2B}} \cdot \sqrt{1 - \sqrt{1 - \frac{4BE_{\text{rad}}}{A^2}}}, \quad a_{\text{tp},\text{out}} = \sqrt{\frac{A}{2B}} \cdot \sqrt{1 + \sqrt{1 - \frac{4BE_{\text{rad}}}{A^2}}}. \quad (13)$$

Let us expand the potential  $V(a)$  (13) in powers of  $q_{\text{out}} = a - a_{\text{tp}}$  (where the point  $a_{\text{tp},\text{in}}$  or  $a_{\text{tp},\text{out}}$  is used as  $a_{\text{tp}}$ . Expansion is calculated at these points), where (for small  $q$ ) we restrict ourselves to the liner term:

$$V(q) = V_0 + V_1 q, \quad (14)$$

where the coefficients  $V_0$  and  $V_1$  are:

$$\begin{aligned} V_0 &= V(a = a_{\text{tp},\text{in}}) = V(a = a_{\text{tp},\text{out}}) = A a_{\text{tp}}^2 - B a_{\text{tp}}^4 = E_{\text{rad}}, \\ V_1^{(\text{out})} &= -2A \cdot \sqrt{\frac{A}{2B} \left(1 - \frac{4BE_{\text{rad}}}{A^2}\right) \left(1 + \sqrt{1 - \frac{4BE_{\text{rad}}}{A^2}}\right)}, \\ V_1^{(\text{int})} &= 2A \cdot \sqrt{\frac{A}{2B} \left(1 - \frac{4BE_{\text{rad}}}{A^2}\right) \left(1 - \sqrt{1 - \frac{4BE_{\text{rad}}}{A^2}}\right)}. \end{aligned} \quad (15)$$

Now eq. (15) transforms into a new form at variable  $q$  with potential  $V(q)$ :

$$-\frac{d^2}{dq^2} \varphi(q) + V_1 q \varphi(q) = 0. \quad (16)$$

## 3. Tunneling boundary condition in cosmology

### 3.1 A problem of definition of “free” wave in cosmology and correction of the boundary condition

Which boundary condition should be used to obtain a wave function that describes how the wave function leaves the barrier accurately? A little variation of the boundary condition leads to change of the fluxes concerning the barrier and, as result, it changes the coefficients of penetrability and reflection. So, a proper choice of the boundary condition is extremely important. However before, let us analyze how much the choice of the boundary condition is natural in the asymptotic region.

- In description of collisions and decay in nuclear and atomic physics potentials of interactions tend to zero asymptotically. So, in these calculations, the boundary conditions are imposed on the wave function at infinity. In cosmology we deal with another, different type of potential: its modulus increases with increasing of the scale factor  $a$ . The gradient of the potential also increases. Therefore, *here there is nothing common to the free propagation*

of the wave in the asymptotic region. Thus, a direct passage of the application of the boundary condition in the asymptotic region into cosmological problems looks questionable.

- The results in Ref. (Maydanyuk, 2008), which show that when the scale factor  $a$  increases the region containing solutions for the wave function enlarges (and its two partial solutions), reinforce the seriousness of this problem. According to Ref. (Maydanyuk, 2008), the scale factor  $a$  in the external region is larger, the period of oscillations of each partial solution for the wave function is smaller. One has to decrease the time-step and as a consequence increase the calculation time. This increases errors in computer calculations of the wave function close the barrier (if it is previously fixed by the boundary condition in the asymptotic region). From here a natural conclusion follows on the impossibility to use practically the boundary condition at infinity for calculation of the wave (in supposition if we know it maximally accurately in the asymptotic region), if we like to pass from the semiclassical approach to the fully quantum one. Another case exists in problems of decay in nuclear and atomic physics where calculations of the wave in the asymptotic region are the most stable and accurate.
- One can add a fact that it has not been known yet whether the Universe expands at extremely large value of the scale factor  $a$ . Just the contrary, it would like to clarify this from a solution of the problem, however imposing a condition that the Universe expands in the initial stage.

So, we shall introduce the following definition of the boundary condition (Maydanyuk, 2010):

**The boundary condition should be imposed on the wave function at such value of the scale factor  $a$ , where the potential minimally acts on the wave, determined by this wave function.**

The propagation of the wave defined in such a way is close to free one for the potential and at used value of the scale factor  $a$  (we call such a wave conditionally “free”). However, when we want to give a mathematical formulation of this definition we have to answer two questions:

1. What should the free wave represent in a field of a cosmological potential of arbitrary shape? How could it be defined in a correct way close to an arbitrary selected point?
2. Where should the boundary condition be imposed?

To start with, let us consider the second question namely where we must impose the boundary condition on the wave function. One can suppose that this coordinate could be (1) a turning point (where the potential coincides with energy of radiation), or (2) a point where the gradient from the potential becomes zero, or (3) a point where the potential becomes zero. But the clear condition of free propagation of the wave is the minimal influence of the potential on this wave. So, we define these coordinate and force so (Maydanyuk, 2010):

*The point in which we impose the boundary condition is the coordinate where the force acting on the wave is minimal. The force is defined as minus the gradient of the potential.*

It turns out that according to such a (local) definition the force is minimal at the external turning point  $a_{tp, out}$ . Also, the force, acting on the wave incident on the barrier in the internal region and on the wave reflected from it, has a minimum at the internal turning point  $a_{tp, in}$ . Thus, we have just obtain the internal and external turning points where we should impose the boundary conditions in order to determine the waves.

### 3.2 Boundary condition at $a = 0$ : stationary approach versus non-stationary one

A choice of the proper boundary condition imposed on the wave function is directly connected with the question: could the wave function be defined at  $a = 0$ , and which value should it be equal to at this point in such a case? The wave function is constructed on the basis of its two partial solutions which should be linearly independent. In particular, these two partial solutions can be real (not complex), without any decrease of accuracy in determination of the total wave function. *For any desirable boundary condition imposed on the total wave function, such methods should work.* In order to achieve the maximal linear independence between two partial solutions, we choose one solution to be increasing in the region of tunneling and another one to be decreasing in this tunneling region. For the increasing partial solution we use as starting point  $a_x$  the internal turning point  $a_{tp,in}$  at  $E_{rad} \neq 0$  or zero point  $a_x = 0$  at  $E_{rad} = 0$ . For the second decreasing partial solution the starting point  $a_x$  is chosen as the external turning point  $a_{tp,out}$ . Such a choice of starting points turns out to give us higher accuracy in calculations of the total wave function than starting calculations of both partial solutions from zero or from only one turning point.

In order to obtain the total wave function, we need to connect two partial solutions using one boundary condition, which should be obtained from physical motivations. According to analysis in Introduction and previous section, it is natural not to define the wave function at zero (or at infinity), but to find outgoing wave at finite value of  $a$  in the external region, where this wave corresponds to observed Universe at present time. But, in practical calculations, we shall define such a wave at point where forces minimally act on it. This is an initial condition imposed on the outgoing wave in the external region<sup>1</sup>.

Let us analyze a question: which value has the wave function at  $a = 0$ ? In the paper the following ideas are used:

- *the wave function should be continuous in the whole spatial region of its definition,*
- *we have outgoing non-zero flux in the asymptotic region defined on the basis of the total wave function,*
- *we consider the case when this flux is constant.*

The non-zero outgoing flux defined at arbitrary point requires the wave function to be complex and non-zero. The condition of continuity of this flux in the whole region of definition of the wave function requires this wave function to be complex and non-zero in the entire region. If we include point  $a = 0$  into the studied region, then we should obtain the non-zero and complex wave function also at such point. If we use the above ideas, then we cannot obtain zero wave function at  $a = 0$ . One can use notions of nuclear physics, field in which the study of such questions and their possible solutions have longer history than in quantum cosmology. As example, one can consider elastic scattering of particles on nucleus (where we have zero radial wave function at  $r = 0$ , and we have no divergences), and alpha decay of nucleus (where we cannot obtain zero wave function at  $r = 0$ ). *A possible divergence of the radial wave function at zero in quantum decay problem could be explained by existence of source at a point which creates the outgoing flux in the asymptotic region (and is the source of this flux).* Now the picture becomes clearer: any quantum decay could be connected with source at zero. This is why the vanishing of the total wave function at  $a = 0$ , after introduction of the wall at this point (like in Ref. (Acacio de Barros et al., 2007)), is not obvious and is only one of the possibilities.

If we wanted to study physics at zero  $a = 0$ , we should come to two cases:

<sup>1</sup> For example, on the basis of such a boundary condition for  $\alpha$ -decay problem we obtain the asymptotic region where the wave function is spherical outgoing wave.

- If we include the zero point into the region of consideration, we shall use to quantum mechanics with included sources. In such a case, the condition of constant flux is broken. But a more general integral formula of non-stationary dependence of the fluxes on probability can include possible sources and put them into frameworks of the standard quantum mechanics also (see eq. (19.5) in Ref. (Landau & Lifshitz, 1989), p. 80). Perhaps, black hole could be another example of quantum mechanics with sources and sinks.
- We can consider only quantum mechanics with constant fluxes and without sources. Then we should eliminate the zero point  $a = 0$  from the region of our consideration. In this way, the formalism proposed in this paper works and is able to calculate the penetrability and reflection coefficients without any lost of accuracy.

This could be a stationary picture of interdependence between the wave function at zero and the outgoing flux in the asymptotic region. In order to study the non-stationary case, then we need initial conditions which should define also the evolution of the Universe. In such a case, after defining the initial state (through set of parameters) it is possible to connect zero value of wave packet at  $a = 0$  (i. e. without singularity at such a point) with non-zero outgoing flux in the asymptotic region. In such direction different proposals have been made in frameworks of semiclassical models in order to describe inflation, to introduce time or to analyze dynamics of studied quantum system (for example, see (Finelli et al., 1998; Tronconi et al., 2003)).

## 4. Direct fully quantum method

### 4.1 Wave function of Universe: calculations and analysis

The wave function is known to oscillate above the barrier and increase (or decrease) under the barrier without any oscillations. So, in order to provide an effective linear independence between two partial solutions for the wave function, we look for a first partial solution increasing in the region of tunneling and a second one decreasing in this tunneling region. To start with, we define each partial solution and its derivative at a selected starting point, and then we calculate them in the region close enough to this point using the *method of beginning of the solution* presented in Subsection 4.4.1. Here, for the partial solution which increases in the barrier region, as starting point we use the internal turning point  $a_{tp,in}$  at non-zero energy  $E_{rad}$  or equals to zero  $a = 0$  at null energy  $E_{rad}$ , and for the second partial solution, which decreases in the barrier region, we choose the starting point to be equal to the external turning point  $a_{tp,out}$ . Then we calculate both partial solutions and their derivatives in the whole required range of  $a$  using the *method of continuation of the solution* presented in Subsection 4.4.2, which is improvement of the Numerov method with constant step. So, we obtain two partial solutions for the wave function and their derivatives in the whole studied region (Maydanyuk, 2010).

In order to clarify how the proposed approach gives convergent (stable) solutions, we compare our results with the paper of (Acacio de Barros et al., 2007). Let us consider the behavior of the wave function. The first partial solution for the wave function and its derivative in my calculation are presented in Fig. 1, which increase in the tunneling region and have been obtained at different values of the energy of radiation  $E_{rad}$ . From these figures one can see that the wave function satisfies the rules satisfied by the wave function inside the sub-barrier and in above-barrier regions (Olkhovsky & Recami, 1992; Olkhovskiy et al., 2004; Zakhariev et al., 1990). Starting from very small  $a$ , the wave function has oscillations and its maxima increase monotonously with increasing of  $a$ . This corresponds to the behavior of the wave function in the internal region before the barrier (this becomes more obvious after essential increasing of scale, see left panel in Fig. 2). Moreover, for larger values of  $a$ , the wave

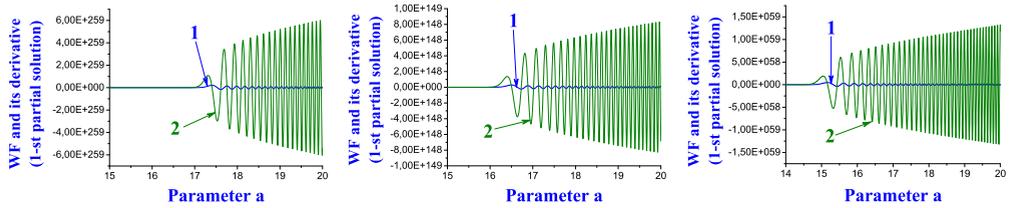


Fig. 1. The first partial solution for the wave function and its derivative at different values of the energy of radiation  $E_{rad}$ , increasing in the tunneling region. The blue plot represents the wave function; the green one, the derivative of this wave function): (a)  $E_{rad} = 10$ ; (b)  $E_{rad} = 1000$ ; (c)  $E_{rad} = 2000$

function increases monotonously without any oscillation, that points out the transition into the tunneling region (one can see this in a logarithmic presentation of the wave function, see central panel in Fig. 2). A boundary of such a transformation in behavior of the wave function must be the point of penetration of the wave into the barrier, i. e. the internal turning point  $a_{tp,in}$ . Further, with increasing of  $a$  the oscillations appeared in the wave function, which could be possible inside the above barrier region only (in the right panel of Fig. 2 one can see that such a transition is extremely smooth, thing that characterizes the accuracy of the method positively). The boundary of such a transformation in the behavior of the wave function should be the external turning point  $a_{tp,out}$ . Like Ref. (Maydanyuk, 2008), but at arbitrary non-zero energy  $E_{rad}$  we obtain monotonous increasing of maximums of the derivative of the wave function and smooth decreasing of this wave function in the external region. One can see that the derivative is larger than the wave function. At large values of  $a$  we obtain the smooth continuous solutions up to  $a = 100$  (in Ref. (Acacio de Barros et al., 2007) the maximal presented limit is  $a = 30$ ).

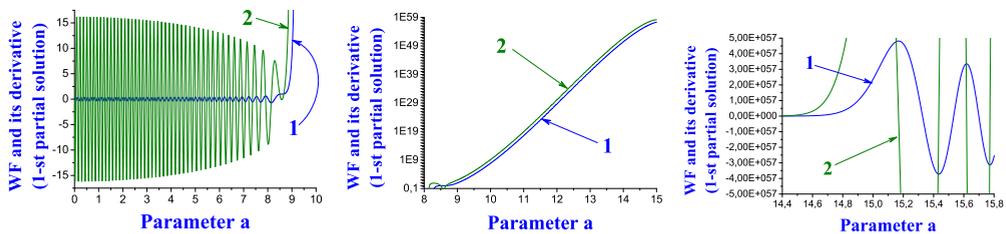


Fig. 2. The first partial solution for the wave function and its derivative at the energy of radiation  $E_{rad} = 2000$ . The blue line represents the wave function; the green one, the derivative of this wave function)

In Fig. 3, it is presented the second partial solution of the wave function and its derivative at different values of the energy of radiation  $E_{rad}$ . According to the analysis, this solution close to the turning points, in the tunneling region, in the sub-barrier and above-barrier regions looks like the first partial solution, but with the difference that now the maxima of the wave function and their derivatives are larger essentially in the external region in a comparison with the internal region, and amplitudes in the tunneling region decrease monotonously.

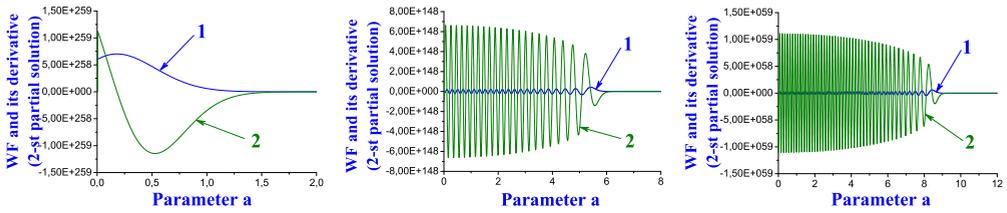


Fig. 3. The second partial solution for the wave function and its derivative at different values of the energy of radiation  $E_{\text{rad}}$ , decreasing in the tunneling region (the blue line represents the wave function; the green one represents the derivative of this wave function): (a)  $E_{\text{rad}} = 10$ ; (b)  $E_{\text{rad}} = 1000$ ; (c)  $E_{\text{rad}} = 2000$

Comparing the previous pictures of the wave function with the results of Ref. (Acacio de Barros et al., 2007), one can see that the wave function, in this approach, is essentially more continuous, has no divergencies and its behavior is everywhere clear. From here we conclude that *the developed method for the determination of the wave function and its derivative at arbitrary energy of radiation is essentially more quick, more stable and accurate in comparison with the non-stationary quantum approach in Ref. (Acacio de Barros et al., 2007)*. Note that:

- With increasing  $a$ , the period of the oscillations, both for the wave function and its derivative, decreases uniformly in the external region and increases uniformly in the internal region (this result was partially obtained earlier in Ref. (Maydanyuk, 2008) at  $E_{\text{rad}} = 0$ ).
- At larger distance from the barrier (i. e. for increasing values of  $a$ , in the external region, and at decreasing value of  $a$ , in the internal region) it becomes more difficult to get the convergent continuous solutions for the wave function and its derivative (this result was partially obtained earlier in Ref. (Maydanyuk, 2008) at  $E_{\text{rad}} = 0$ ).
- *A number of oscillations of the wave function in the internal region increases with increasing of the energy of radiation  $E_{\text{rad}}$  (this is a new result).*

#### 4.2 Definition of the wave minimally interacting with the potential

Now we shall be looking for a form of the wave function in the external region, which describes accurately the wave, whose propagation is the closest to the “free” one in the external region at the turning point  $a_{\text{tp},\text{out}}$  and is directed outside. Let us return back to eq. (16) where the variable  $q = a - a_{\text{tp},\text{out}}$  has been introduced. Changing this variable to

$$\xi = |V_1^{(\text{out})}|^{1/3} q, \quad (17)$$

this equation is transformed into

$$\frac{d^2}{d\xi^2} \varphi(\xi) + \xi \varphi(\xi) = 0. \quad (18)$$

From quantum mechanics we know two linearly independent exact solutions for the function  $\varphi(\xi)$  in this equation — these are the *Airy functions*  $\text{Ai}(\xi)$  and  $\text{Bi}(\xi)$  (see Ref. (Abramowitz & Stegan, 1964), p. 264–272, 291–294). Expansions of these functions into power series at small  $\xi$ ,

their asymptotic expansions at large  $|\xi|$ , their representations through Bessel functions, zeroes and their asymptotic expansions are known. We have some integrals of these functions, and also the form of the Airy functions in the semiclassical approximation (which can be applied at large  $|\xi|$ ). In some problems of the analysis of finite solutions  $\varphi(\xi)$  in the whole range of  $\xi$  it is convenient to use the integral representations of the Airy functions (see eq. (10.4.32) in Ref. (Abramowitz & Stegan, 1964), p. 265. In eq. (10.4.1) we took into account the sign and  $a = 1/3$ ):

$$\begin{aligned} \text{Ai}(\pm\xi) &= \frac{1}{\pi} \int_0^{+\infty} \cos\left(\frac{u^3}{3} \mp \xi u\right) du, \\ \text{Bi}(\pm\xi) &= \frac{1}{\pi} \int_0^{+\infty} \left[ \exp\left(-\frac{u^3}{3} \mp \xi u\right) + \sin\left(\frac{u^3}{3} \mp \xi u\right) \right] du. \end{aligned} \quad (19)$$

Furthermore, we shall be interested in the solution  $\varphi(\xi)$  which describes the *outgoing wave* in the range of  $a$  close to the  $a_{tp}$  point. However, it is not clear what the wave represents in general near the point  $a_{tp}$ , and which linear combination of the  $\text{Ai}(\xi)$  and  $\text{Bi}(\xi)$  functions defines it in the most accurate way.

The clearest and most natural understanding of the outgoing wave is given by the semiclassical consideration of the tunneling process. However, at the given potential the semiclassical approach allows us to define the outgoing wave in the asymptotic region only (while we can join solutions in the proximity of  $a_{tp}$  by the Airy functions). But it is not clear whether the wave, defined in the asymptotic region, remains outgoing near the  $a_{tp}$ . During the whole path of propagation outside the barrier the wave interacts with the potential, and this must inevitably lead to a deformation of its shape (like to appearance of a phase shift in the scattering of a wave by a radial potential caused by interaction in scattering theory). Does the cosmological potentials deform the wave more than the potentials used for description of nuclear collisions in scattering theory? Moreover, for the given potential there is a problem in obtaining the convergence in the calculation of the partial solutions for the wave function in the asymptotic region. According to our calculations, a small change of the range of the definition of the wave in the asymptotic region leads to a significant increase of errors, which requires one to increase the accuracy of the calculations. Therefore, we shall be looking for a way of defining the outgoing wave not in the asymptotic region, but in the closest vicinity of the point of escape,  $a_{tp}$ . In a search of solutions close to the point  $a_{tp}$ , i. e. at small enough  $|\xi|$ , the validity of the semiclassical method breaks down as  $|\xi|$  approaches zero. Therefore, we shall not use the semiclassical approach in this paper.

Assuming the potential  $V(a)$  to have an arbitrary form, we define the wave at the point  $a_{tp}$  in the following way (Maydanyuk, 2010).

**Definition 1** (strict definition of the wave). *The wave is a linear combination of two partial solutions of the wave function such that the change of the modulus  $\rho$  of this wave function is approximately constant under variation of  $a$ :*

$$\left. \frac{d^2}{da^2} \rho(a) \right|_{a=a_{tp}} \rightarrow 0. \quad (20)$$

According to this definition, the real and imaginary parts of the total wave function have the closest behaviors under the same variation of  $a$ , and the difference between possible

maximums and minimums of the modulus of the total wave function is the smallest. For some types of potentials (in particular, for a rectangular barrier) it is more convenient to define the wave less strongly.

**Definition 2 (weak definition of wave):**

*The wave is a linear combination of two partial solutions of wave function such that the modulus  $\rho$  changes minimally under variation of  $a$ :*

$$\left. \frac{d}{da} \rho(a) \right|_{a=a_{tp}} \rightarrow 0. \quad (21)$$

According to this definition, the change of the wave function caused by variation of  $a$  is characterized mainly by its phase (which can characterize the interaction between the wave and the potential).

Subject to this requirement, we shall look for a solution in the following form:

$$\varphi(\xi) = T \cdot \Psi^{(+)}(\xi), \quad (22)$$

where

$$\Psi^{(\pm)}(\xi) = \int_0^{u_{\max}} \exp \pm i \left( -\frac{u^3}{3} + f(\xi)u \right) du. \quad (23)$$

where  $T$  is an unknown normalization factor,  $f(\xi)$  is an unknown continuous function satisfying  $f(\xi) \rightarrow \text{const}$  at  $\xi \rightarrow 0$ , and  $u_{\max}$  is the unknown upper limit of integration. In such a solution, the real part of the function  $f(\xi)$  gives a contribution to the phase of the integrand function, while the imaginary part of  $f(\xi)$  deforms its modulus.

Let us find the first and second derivatives of the function  $\Psi(\xi)$  (a prime denotes a derivative with respect to  $\xi$ ):

$$\begin{aligned} \frac{d}{d\xi} \Psi^{(\pm)}(\xi) &= \pm we \int_0^{u_{\max}} f' u \exp \pm i \left( -\frac{u^3}{3} + f(\xi)u \right) du, \\ \frac{d^2}{d\xi^2} \Psi^{(\pm)}(\xi) &= \int_0^{u_{\max}} \left( \pm i f'' u - (f')^2 u^2 \right) \exp \pm i \left( -\frac{u^3}{3} + f(\xi)u \right) du. \end{aligned} \quad (24)$$

From this we obtain:

$$\frac{d^2}{d\xi^2} \Psi^{(\pm)}(\xi) + \xi \Psi^{(\pm)}(\xi) = \int_0^{u_{\max}} \left( \pm i f'' u - (f')^2 u^2 + \xi \right) \exp \pm i \left( -\frac{u^3}{3} + f(\xi)u \right) du. \quad (25)$$

Considering the solutions at small enough values of  $|\xi|$ , we represent  $f(\xi)$  in the form of a power series:

$$f(\xi) = \sum_{n=0}^{+\infty} f_n \xi^n, \quad (26)$$

where  $f_n$  are constant coefficients. The first and second derivatives of  $f(\xi)$  are

$$\begin{aligned}
 f'(\xi) &= \frac{d}{d\xi} f(\xi) = \sum_{n=1}^{+\infty} n f_n \xi^{n-1} = \sum_{n=0}^{+\infty} (n+1) f_{n+1} \xi^n, \\
 f''(\xi) &= \frac{d^2}{d\xi^2} f(\xi) = \sum_{n=0}^{+\infty} (n+1)(n+2) f_{n+2} \xi^n.
 \end{aligned}
 \tag{27}$$

Substituting these solutions into eq. (24), we obtain

$$\begin{aligned}
 \frac{d^2}{d\xi^2} \Psi^{(\pm)}(\xi) + \xi \Psi^{(\pm)}(\xi) &= \int_0^{u_{\max}} \left\{ (\pm 2iu f_2 - u^2 f_1^2) + \right. \\
 + (\pm 6iu f_3 - 4u^2 f_1 f_2 + 1) \xi + &+ \sum_{n=2}^{+\infty} [\pm iu (n+1)(n+2) f_{n+2} - \\
 - u^2 \sum_{m=0}^n (n-m+1)(m+1) f_{n-m+1} f_{m+1}] \xi^n &\left. \right\} \exp \pm i \left( -\frac{u^3}{3} + fu \right) du.
 \end{aligned}
 \tag{28}$$

Considering this expression at small  $|\xi|$ , we use the following approximation:

$$\exp \pm i \left( -\frac{u^3}{3} + fu \right) \rightarrow \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right).
 \tag{29}$$

Then from eq. (18) we obtain the following condition for the unknown  $f_n$ :

$$\begin{aligned}
 &\int_0^{u_{\max}} (\pm 2iu f_2 - u^2 f_1^2) \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du + \\
 &+ \xi \cdot \int_0^{u_{\max}} (\pm 6iu f_3 - 4u^2 f_1 f_2 + 1) \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du + \\
 &+ \sum_{n=2}^{+\infty} \xi^n \cdot \int_0^{u_{\max}} \left[ \pm iu (n+1)(n+2) f_{n+2} - u^2 \sum_{m=0}^n (n-m+1)(m+1) f_{n-m+1} f_{m+1} \right] \times \\
 &\quad \times \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du = 0.
 \end{aligned}
 \tag{30}$$

Requiring that this condition is satisfied for different  $\xi$  and with different powers  $n$ , we obtain the following system:

$$\begin{aligned}
 \xi^0 : &\quad \int_0^{u_{\max}} (\pm 2iu f_2 - u^2 f_1^2) \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du = 0, \\
 \xi^1 : &\quad \int_0^{u_{\max}} (\pm 6iu f_3 - 4u^2 f_1 f_2 + 1) \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du = 0, \\
 \xi^n : &\quad \int_0^{u_{\max}} \left[ \pm iu (n+1)(n+2) f_{n+2} - u^2 \sum_{m=0}^n (n-m+1)(m+1) f_{n-m+1} f_{m+1} \right] \times \\
 &\quad \times \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du = 0.
 \end{aligned}
 \tag{31}$$

Assuming that the coefficients  $f_0$  and  $f_1$  are known, we find the following solutions for the unknown  $f_2, f_3$  and  $f_n$ :

$$f_2^{(\pm)} = \pm \frac{f_1^2}{2i} \cdot \frac{J_2^{(\pm)}}{J_1^{(\pm)}}, \quad f_3^{(\pm)} = \pm \frac{4f_1 f_2^{(\pm)} J_2^{(\pm)} - J_0^{(\pm)}}{6i J_1^{(\pm)}}, \quad (32)$$

$$f_{n+2}^{(\pm)} = \frac{\sum_{m=0}^n (n-m+1)(m+1) f_{n-m+1}^{(\pm)} f_{m+1}^{(\pm)}}{i(n+1)(n+2)} \cdot \frac{J_2^{(\pm)}}{J_1^{(\pm)}}, \quad (33)$$

where the following notations for the integrals have been introduced:

$$J_0^{(\pm)} = \int_0^{u_{\max}} \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du, \quad J_1^{(\pm)} = \int_0^{u_{\max}} u \exp \pm i \left( -\frac{u^3}{3} + f_0 u \right) du, \quad (34)$$

$$J_2^{(\pm)} = \int_0^{u_{\max}} u^2 e^{\pm i \left( -\frac{u^3}{3} + f_0 u \right)} du. \quad (35)$$

Thus, we see that the solution (22) taking into account eq. (23) for the function  $\varphi(\xi)$  has arbitrariness in the choice of the unknown coefficients  $f_0, f_1$  and the upper limit of integration,  $u_{\max}$ . However, the solutions found, eqs. (32), define the function  $f(\xi)$  so as to ensure that the equality (22) is exactly satisfied in the region of  $a$  close to the escape point  $a_{tp}$ . This proves that the function  $\varphi(\xi)$  in the form (22), taking into account eq. (23) for an arbitrary choice of  $f_0, f_1$  and  $u_{\max}$  is the exact solution of the Schrödinger equation near the escape point  $a_{tp}$ . In order to write the solution  $\Psi(\xi)$  in terms of the well-known Airy functions,  $\text{Ai}(\xi)$  and  $\text{Bi}(\xi)$ , we choose

$$f_0 = 0, \quad f_1 = 1. \quad (36)$$

For such a choice of the coefficients  $f_0$  and  $f_1$ , the integrand function in the solution (23) (up to  $\xi^2$ ) has a constant modulus and a varying phase. Therefore, one can expect that the solution (22) at the turning point  $a_{tp}$  describes the wave accurately.

### 4.3 Total wave function

Having obtained two linearly independent partial solutions  $\varphi_1(a)$  and  $\varphi_2(a)$ , we can write the general solution (a prime is for the derivative with respect to  $a$ ) as:

$$\varphi(a) = T \cdot (C_1 \varphi_1(a) + C_2 \varphi_2(a)), \quad (37)$$

$$C_1 = \frac{\Psi \varphi_2' - \Psi' \varphi_2}{\varphi_1 \varphi_2' - \varphi_1' \varphi_2} \Big|_{a=a_{tp, \text{out}}}, \quad (38)$$

$$C_2 = \frac{\Psi' \varphi_1 - \Psi \varphi_1'}{\varphi_1 \varphi_2' - \varphi_1' \varphi_2} \Big|_{a=a_{tp, \text{out}}},$$

where  $T$  is a normalization factor,  $C_1$  and  $C_2$  are complex constants found from the boundary condition introduced above: the  $\varphi(a)$  function should represent an outgoing wave at turning point  $a_{tp, \text{out}}$ .

Fig. 4 plots the total wave function calculated in this way for the potential (12) with parameters  $A = 36, B = 12 \Lambda$  at  $\Lambda = 0.01$  at different values of the energy of radiation  $E_{\text{rad}}$ . One can

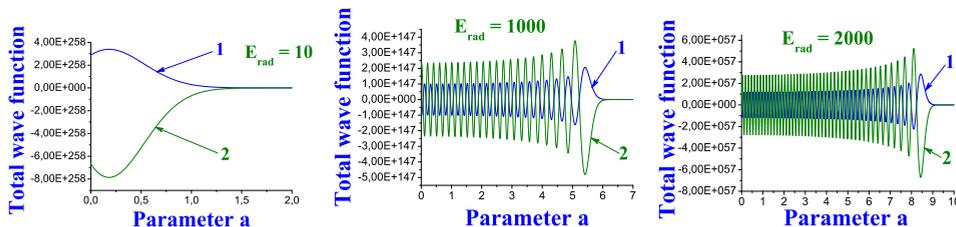


Fig. 4. The wave function at selected values of the energy of radiation  $E_{\text{rad}}$  (the blue line, represents the real part of the wave function; the green line the imaginary part of the wave function): (a)  $E_{\text{rad}} = 10$ ; (b)  $E_{\text{rad}} = 1000$ ; (c)  $E_{\text{rad}} = 2000$

see that the number of oscillations of the wave function in the internal region increases with increasing of the energy of radiation. Another interesting property are *the larger maxima of the wave function in the internal region at smaller distances to the barrier for arbitrary energy* (result found for the first time).

In Fig. 5 it has been shown how the modulus of this wave function changes at selected values of the energy of radiation. From these figures it becomes clear why the coefficient

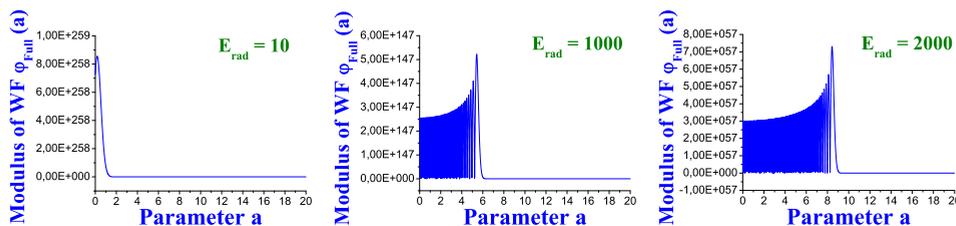


Fig. 5. The behavior of the modulus of the wave function at the selected energies of radiation  $E_{\text{rad}}$ : (a)  $E_{\text{rad}} = 10$ ; (b)  $E_{\text{rad}} = 1000$ ; (c)  $E_{\text{rad}} = 2000$ .

of penetrability of the barrier is extremely small (up to the energy  $E_{\text{rad}} = 2000$ ). In order to estimate, how effective is the boundary condition introduced above in building up the wave on the basis of the total wave function close to the external turning point  $a_{\text{tp,out}}$ , it is useful to see how the modulus of this wave function changes close to this point. In Fig. 6 we plot the modulus of the found wave function close to the turning points at the energy of radiation  $E_{\text{rad}} = 2000$  is shown. Here, one can see that the modulus at  $a_{\text{tp,out}}$  is practically constant (see left panel in Fig. 6). It is interesting to note that the modulus of the wave function, previously defined, does not change close to the internal turning point  $a_{\text{tp,in}}$ , and is close to maximum (see right panel in Fig. 6).

#### 4.4 Calculations of the wave function of Universe

##### 4.4.1 Method of calculations of the wave function close to an arbitrary selected point $a_x$

Here, we look for the regular partial solution of the wave function close to an arbitrary selected point  $a_x$ . Let us write the wave function in the form:

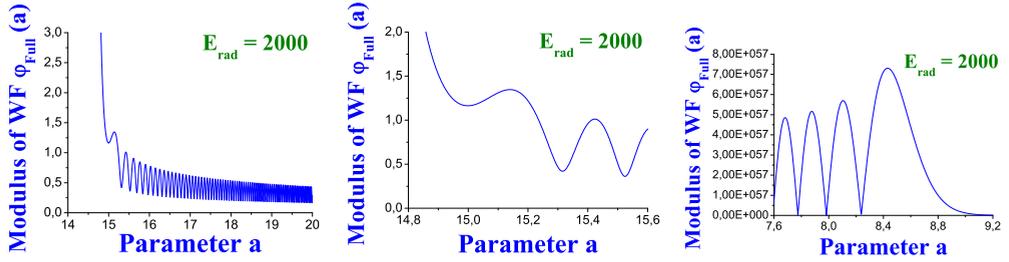


Fig. 6. The behavior of the modulus of the total wave function at the energy of radiation  $E_{\text{rad}} = 2000$ , close to the turning points (for  $a_{\text{tp},\text{in}} = 8.58$ ,  $a_{\text{tp},\text{out}} = 15.04$ , see also Table 1): (a) the modulus decreases monotonously in the tunneling region, with increasing of  $a$ . It shows maxima and holes connected with the oscillations of the wave function in the external region, but the modulus is not equal to zero (this points out the existence of a non-zero flux); (b) when  $a$  increases, the modulus reaches a minimum close to the external turning point  $a_{\text{tp},\text{out}}$  (this demonstrates the practical fulfillment of the definition for the wave at such a point); (c) transition close to  $a_{\text{tp},\text{in}}$  is shown, where at increasing of  $a$  the modulus with maximums and holes is transformed rapidly into a monotonously decreasing function without maximums and holes. This is connected with transition to the region of tunneling.

$$\varphi(a) = c_2 \sum_{n=0}^{+\infty} b_n (a - a_x)^n = c_2 \sum_{n=0}^{+\infty} b_n \bar{a}^n, \quad (39)$$

$$\bar{a} = a - a_x$$

and rewrite the potential through the variable  $\bar{a}$ :

$$V(a) = C_0 + C_1 \bar{a} + C_2 \bar{a}^2 + C_3 \bar{a}^3 + C_4 \bar{a}^4, \quad (40)$$

where

$$\begin{aligned} C_0 &= A a_x^2 - B a_x^4, \\ C_1 &= 2a_x(A - B a_x^2) - 2B a_x^3 = 2A a_x - 4B a_x^3, \\ C_2 &= A - B a_x^2 - 4B a_x^2 - B a_x^2 = A - 6B a_x^2, \\ C_3 &= -2B a_x - 2B a_x = -4B a_x, \\ C_4 &= -B. \end{aligned} \quad (41)$$

Substituting the wave function (39), its second derivative and the potential (40) into Schrödinger equation, we obtain recurrent relations for unknown  $b_n$ :

$$b_2 = \frac{(C_0 - E) b_0}{2}, \quad b_3 = \frac{(C_0 - E) b_1 + C_1 b_0}{6}, \quad b_4 = \frac{(C_0 - E) b_2 + C_1 b_1 + C_2 b_0}{12}, \quad (42)$$

$$b_5 = \frac{(C_0 - E) b_3 + C_1 b_2 + C_2 b_1 + C_3 b_0}{20}, \quad (43)$$

$$b_{n+2} = \frac{(C_0 - E) b_n + C_1 b_{n-1} + C_2 b_{n-2} + C_3 b_{n-3} + C_4 b_{n-4}}{(n+1)(n+2)} \quad \text{at } n \geq 4. \quad (44)$$

Given the values of  $b_0$  and  $b_1$  and using eqs. (42)–(44) one can calculate all  $b_n$  needed. At limit  $E_{\text{rad}} \rightarrow 0$  and at  $a_x = 0$  all found solutions for  $b_i$  transform into the corresponding solutions (40), early obtained in (Maydanyuk, 2008) at  $E_{\text{rad}} = 0$ . Using  $c_2 = 1$ , from eqs. (39) we find:

$$b_0 = \varphi(a_x), b_1 = \varphi'(a_x). \tag{45}$$

So, on the basis of the coefficients  $b_0$  and  $b_1$  one can obtain the values of the wave function and its derivative at point  $a_x$ . Imposing two different boundary conditions via  $b_0$  and  $b_1$ , we obtain two linearly independent partial solutions  $\varphi_1(a)$  and  $\varphi_2(a)$  for the wave function. Using the internal turning point  $a_{\text{tp,in}}$  as the starting point, we calculate the first partial solution which increases in the barrier region (we choose:  $b_0 = 0.1, b_1 = 1$ ), and using the external turning point  $a_{\text{tp,out}}$  as the starting point, we calculate the second partial solution which decreases in the barrier region (we choose:  $b_0 = 1, b_1 = -0.1$ ). Such a choice provides effectively a linear independence between two partial solutions.

**4.4.2 Method of continuation of the solution**

Let us rewrite equation (18) in such a form<sup>2</sup>:

$$\varphi''(a) = f(a) \varphi(a). \tag{46}$$

Let  $\{a_n\}$  be a set of equidistant points  $a_n = a_0 + nh$ . Denoting the values of the wave function  $\varphi(a)$  at points  $a_n$  as  $\varphi_n$ , we have constructed an algorithm of the ninth order to determine  $\varphi_{n+1}$  and  $\varphi'_n$  when  $\varphi_n$  and  $\varphi_{n-1}$  are known:

$$\begin{aligned} \varphi_{n+1} &= \varphi_{n-1} \frac{g_{11} + g_{01}}{g_{01} - g_{11}} + \varphi_n \frac{g_{01} g_{10} - g_{00} g_{11}}{g_{01} - g_{11}} + O(h^9), \\ \varphi'_n &= \varphi_{n-1} \frac{2}{g_{01} - g_{11}} + \varphi_n \frac{g_{10} - g_{00}}{g_{01} - g_{11}} + O(h^9), \end{aligned} \tag{47}$$

where

$$\begin{aligned} g_{00} &= 2 + h^2 f_n + \frac{2}{4!} h^4 (f_n'' + f_n^2) + \frac{2}{6!} h^6 (f_n^{(4)} + 4 (f_n')^2 + 7 f_n f_n'' + f_n^3) + \\ &+ \frac{2}{8!} h^8 (f_n^{(6)} + 16 f_n f_n^{(4)} + 26 f_n' f_n^{(3)} + 15 (f_n'')^2 + 22 f_n^2 f_n'' + 28 f_n (f_n')^2 + f_n^4), \\ g_{01} &= \frac{2}{4!} h^4 2 f_n' + \frac{2}{6!} h^6 (4 f_n^{(3)} + 6 f_n f_n') + \frac{2}{8!} h^8 (6 f_n^{(5)} + 24 f_n f_n^{(3)} + 48 f_n' f_n'' + 12 f_n^2 f_n'), \\ g_{10} &= \frac{2}{3!} h^3 f_n' + \frac{2}{5!} h^5 (f_n^{(3)} + 4 f_n f_n') + \frac{2}{7!} h^7 (f_n^{(5)} + 11 f_n f_n^{(3)} + 15 f_n' f_n'' + 9 f_n^2 f_n'), \\ g_{11} &= 2h + \frac{2}{3!} h^3 f_n + \frac{2}{5!} h^5 (3 f_n'' + f_n^2) + \frac{2}{7!} h^7 (5 f_n^{(4)} + 13 f_n f_n'' + 10 (f_n')^2 + f_n^3). \end{aligned} \tag{48}$$

A local error of these formulas at point  $a_n$  equals to:

$$\delta_n = \frac{1}{10!} h^{10} f_n' \varphi_n^{(7)}. \tag{49}$$

<sup>2</sup> Here, we used the algorithm of (Zaichenko & Kashuba, 2001)

#### 4.5 The penetrability and reflection in the fully quantum approach

Let us analyze whether a known wave function in the whole region of its definition allows us to determine uniquely the coefficients of penetrability and reflection.

##### 4.5.1 Problem of interference between the incident and reflected waves

Rewriting the wave function  $\varphi_{\text{total}}$  in the internal region through a summation of incident  $\varphi_{\text{inc}}$  wave and reflected  $\varphi_{\text{ref}}$  wave:

$$\varphi_{\text{total}} = \varphi_{\text{inc}} + \varphi_{\text{ref}}, \quad (50)$$

we consider the total flux:

$$j(\varphi_{\text{total}}) = i \left[ \left( \varphi_{\text{inc}} + \varphi_{\text{ref}} \right) \nabla \left( \varphi_{\text{inc}}^* + \varphi_{\text{ref}}^* \right) - \text{h. c.} \right] = j_{\text{inc}} + j_{\text{ref}} + j_{\text{mixed}}, \quad (51)$$

where

$$\begin{aligned} j_{\text{inc}} &= i \left( \varphi_{\text{inc}} \nabla \varphi_{\text{inc}}^* - \text{h. c.} \right), \\ j_{\text{ref}} &= i \left( \varphi_{\text{ref}} \nabla \varphi_{\text{ref}}^* - \text{h. c.} \right), \\ j_{\text{mixed}} &= i \left( \varphi_{\text{inc}} \nabla \varphi_{\text{ref}}^* + \varphi_{\text{ref}} \nabla \varphi_{\text{inc}}^* - \text{h. c.} \right). \end{aligned} \quad (52)$$

The  $j_{\text{mixed}}$  component describes interference between the incident and reflected waves in the internal region (let us call it *mixed component of the total flux* or simply *flux of mixing*). From the constancy of the total flux  $j_{\text{total}}$  we find the flux  $j_{\text{tr}}$  for the wave transmitted through the barrier, and:

$$j_{\text{inc}} = j_{\text{tr}} - j_{\text{ref}} - j_{\text{mixed}}, \quad j_{\text{tr}} = j_{\text{total}} = \text{const.} \quad (53)$$

Now one can see that *the mixed flux introduces ambiguity in the determination of the penetrability and reflection for the same known wave function*.

#### 4.6 Determination of the penetrability, reflection and interference coefficients

In quantum mechanics the coefficients of penetrability and reflection are defined considering the potential as a whole, including asymptotic regions. However, in the radial calculation of quantum decay such a consideration depends on how the incident and reflected waves are defined inside finite internal region from the left of the barrier. The question is: does the location of such a region influence the penetrability and reflection? In order to obtain these coefficients, we shall include into definitions coordinates where the fluxes are defined (denote them as  $x_{\text{left}}$  and  $x_{\text{right}}$ ):

$$\begin{aligned} T(x_{\text{left}}, x_{\text{right}}) &= \frac{j_{\text{tr}}(x_{\text{right}})}{j_{\text{inc}}(x_{\text{left}})}, \\ R(x_{\text{left}}) &= \frac{j_{\text{ref}}(x_{\text{left}})}{j_{\text{inc}}(x_{\text{left}})}, \\ M(x_{\text{left}}) &= \frac{j_{\text{mixed}}(x_{\text{left}})}{j_{\text{inc}}(x_{\text{left}})}. \end{aligned} \quad (54)$$

So, the  $T$  and  $R$  coefficients determine the probability of transmission (or tunneling) and reflection of the wave relatively the region of the potential with arbitrary selected boundaries  $x_{\text{left}}, x_{\text{right}}$ . When  $x_{\text{right}}$  tends to the asymptotic limit, the coefficient defined before should transform into standard ones. Assuming that  $j_{\text{tr}}$  and  $j_{\text{ref}}$  are directed in opposite directions,

$j_{\text{inc}}$  and  $j_{\text{tr}}$  — in the same directions, from eqs. (53) and (54) we obtain (Maydanyuk, 2010):

$$|T| + |R| - M = 1. \tag{55}$$

Now we see that the condition  $|T| + |R| = 1$  has sense in quantum mechanics only if there is no interference between incident and reflected waves, and for this is enough that:

$$j_{\text{mixed}} = 0. \tag{56}$$

A new question appears: *does this condition allow to separate the total wave function into the incident and reflected components in a unique way?* It turns out that the choice of the incident and reflected waves has essential influence on the barrier penetrability, and different forms of the incident  $\varphi_{\text{inc}}$  and reflected  $\varphi_{\text{ref}}$  waves can give zero flux  $j_{\text{mix}}$ . Going from the rectangular internal well to the fully quantum treatment of the problem would become more complicated.

#### 4.7 Wave incident on the barrier and wave reflected from it in the internal region

One can define the incident wave to be proportional to the function  $\Psi^{(+)}$  and the reflected wave to be proportional to the function  $\Psi^{(-)}$ :

$$\begin{aligned} \varphi_{\text{total}}(a) &= \varphi_{\text{inc}}(a) + \varphi_{\text{ref}}(a), \\ \varphi_{\text{inc}}(a) &= we \cdot \Psi^{(+)}(a), \\ \varphi_{\text{ref}}(a) &= R \cdot \Psi^{(-)}(a), \end{aligned} \tag{57}$$

where  $I$  and  $R$  are new constants found from continuity condition of the total wave function  $\varphi_{\text{total}}$  and its derivative at the internal turning point  $a_{\text{tp,int}}$ :

$$\begin{aligned} we &= \left. \frac{\varphi_{\text{total}} \Psi^{(-)'} - \varphi_{\text{total}}' \Psi^{(-)}}{\Psi^{(+)} \Psi^{(-)'} - \Psi^{(+)'} \Psi^{(-)}} \right|_{a=a_{\text{tp,int}}}, \\ R &= \left. \frac{\varphi_{\text{total}}' \Psi^{(+)} - \varphi_{\text{total}} \Psi^{(+)'}}{\Psi^{(+)} \Psi^{(-)'} - \Psi^{(+)'} \Psi^{(-)}} \right|_{a=a_{\text{tp,int}}}. \end{aligned} \tag{58}$$

On the basis of these solutions we obtain at the internal turning point  $a_{\text{tp,int}}$  the flux incident on the barrier, the flux reflected from it and the flux of mixing. The flux transmitted through the barrier was calculated at the external turning point  $a_{\text{tp,ext}}$ .

#### 4.8 Penetrability and reflection: fully quantum approach versus semiclassical one

Now we shall estimate through the method described above the coefficients of penetrability and reflection for the potential barrier with parameters  $A = 36, B = 12, \Lambda = 0.01$  at different values of the energy of radiation  $E_{\text{rad}}$ . We shall compare the coefficient of penetrability obtained with the values given by the semiclassical method. In the semiclassical approach we shall consider two definitions of this coefficient:

$$P_{\text{penetrability}}^{\text{WKB,(1)}} = \frac{1}{\theta^2}, P_{\text{penetrability}}^{\text{WKB,(2)}} = \frac{4}{(2\theta + 1/(2\theta)^2)^2} \tag{59}$$

where

$$\theta = \exp \int_{a_{\text{tp}}^{(\text{int})}}^{a_{\text{tp}}^{(\text{ext})}} |V(a) - E| da. \quad (60)$$

One can estimate also *the duration of the formation of the Universe*, using by definition (15) in Ref. (Acacio de Barros et al., 2007):

$$\tau = 2 a_{\text{tp, int}} \frac{1}{P_{\text{penetrability}}}. \quad (61)$$

The results are presented in Tabl. 1. In calculations the coefficients of penetrability, reflection and mixing are defined by eqs. (54), the fluxes by eqs. (52) (calculated  $P_{\text{penetrability}}^{\text{WKB},(2)}$  coincide with  $P_{\text{penetrability}}^{\text{WKB},(1)}$  up to the first 7 digits for energies in range  $0 \leq E_{\text{rad}} \leq 2500$ ).

From this table one can see that inside the entire range of energy, the fully quantum approach gives value for the coefficient of penetrability enough close to its value obtained by the semiclassical approach. This differs essentially from results in the non-stationary approach (Acacio de Barros et al., 2007). This difference could be explained by difference in a choice of the boundary condition, which is used in construction of the stationary solution of the wave function.

#### 4.9 The penetrability in the FRW-model with the Chaplygin gas

In order to connect universe with dust and its accelerating stage, in Ref. (Kamenshchik et al., 2001) a new scenario with the *Chaplygin gas* was proposed. A quantum FRW-model with the Chaplygin gas has been constructed on the basis of equation of state instead of  $p(a) = \rho_{\text{rad}}(a)/3$  (where  $p(a)$  is pressure) by the following (see also Refs. (Bento et al., 2002; Bilic et al., 2002)):

$$p_{\text{Ch}} = -\frac{A}{\rho_{\text{Ch}}^\alpha}, \quad (62)$$

where  $A$  is positive constant and  $0 < \alpha \leq 1$ . In particular, for the standard Chaplygin gas we have  $\alpha = 1$ . Solution of equation of state (62) gives the following dependence of density on the scale factor:

$$\rho_{\text{Ch}}(a) = \left( A + \frac{B}{a^{3(1+\alpha)}} \right)^{1/(1+\alpha)}, \quad (63)$$

where  $B$  is a new constant of integration. Using the parameter  $\alpha$ , this model describes transition between the stage, when Universe is filled with dust-like matter, and its accelerating expanding stage (through scenario of Chaplygin gas applied to cosmology, for details, see Refs. (Bouhmadi-Lopez & Moniz, 2005; Bouhmadi-Lopez et al., 2008; Kamenshchik et al., 2001), also historical paper (Chaplygin, 1904)).

Let us combine expression for density which includes previous forms of matter and the Chaplygin gas in addition. At limit  $\alpha \rightarrow 0$  eq. (63) transforms into the  $\rho_{\text{dust}}$  component plus the  $\rho_\Lambda$  component. From such limit we find

$$A = \rho_\Lambda, \quad B = \rho_{\text{dust}} \quad (64)$$

| Energy<br>$E_{\text{rad}}$ | Penetrability $P_{\text{penetrability}}$ |                           | Time $\tau$               |                           | Turning points     |                     |
|----------------------------|--|---------------------------|---------------------------|---------------------------|--------------------|---------------------|
|                            | Direct method                            | Method WKB                | Direct method             | Method WKB                | $a_{\text{tp,in}}$ | $a_{\text{tp,out}}$ |
| 1.0                        | $8.7126 \times 10^{-521}$                | $2.0888 \times 10^{-521}$ | $3.8260 \times 10^{+519}$ | $1.5958 \times 10^{+520}$ | 0.16               | 17.31               |
| 2.0                        | $2.4225 \times 10^{-520}$                | $5.5173 \times 10^{-521}$ | $1.9460 \times 10^{+519}$ | $8.5448 \times 10^{+519}$ | 0.23               | 17.31               |
| 3.0                        | $6.2857 \times 10^{-520}$                | $1.3972 \times 10^{-520}$ | $9.1863 \times 10^{+518}$ | $4.1326 \times 10^{+519}$ | 0.28               | 17.31               |
| 4.0                        | $1.5800 \times 10^{-519}$                | $3.4428 \times 10^{-520}$ | $4.2201 \times 10^{+518}$ | $1.9367 \times 10^{+519}$ | 0.33               | 17.31               |
| 5.0                        | $3.8444 \times 10^{-519}$                | $8.2935 \times 10^{-520}$ | $1.9392 \times 10^{+518}$ | $8.9892 \times 10^{+518}$ | 0.37               | 17.31               |
| 6.0                        | $9.2441 \times 10^{-519}$                | $1.9701 \times 10^{-519}$ | $8.8350 \times 10^{+517}$ | $4.1455 \times 10^{+518}$ | 0.40               | 17.31               |
| 7.0                        | $2.1678 \times 10^{-518}$                | $4.5987 \times 10^{-519}$ | $4.0694 \times 10^{+517}$ | $1.9183 \times 10^{+518}$ | 0.44               | 17.31               |
| 8.0                        | $5.0192 \times 10^{-518}$                | $1.0621 \times 10^{-518}$ | $1.8790 \times 10^{+517}$ | $8.8797 \times 10^{+517}$ | 0.47               | 17.31               |
| 9.0                        | $1.1604 \times 10^{-517}$                | $2.4316 \times 10^{-518}$ | $8.6212 \times 10^{+516}$ | $4.1140 \times 10^{+517}$ | 0.50               | 17.31               |
| 10.0                       | $2.6279 \times 10^{-517}$                | $5.5016 \times 10^{-518}$ | $4.0128 \times 10^{+516}$ | $1.9168 \times 10^{+517}$ | 0.52               | 17.31               |
| 100.0                      | $1.6165 \times 10^{-490}$                | $3.1959 \times 10^{-491}$ | $2.0717 \times 10^{+490}$ | $1.0478 \times 10^{+491}$ | 1.67               | 17.23               |
| 200.0                      | $8.5909 \times 10^{-465}$                | $1.6936 \times 10^{-465}$ | $5.5397 \times 10^{+464}$ | $2.8100 \times 10^{+465}$ | 2.37               | 17.15               |
| 300.0                      | $6.8543 \times 10^{-441}$                | $1.3419 \times 10^{-441}$ | $8.5461 \times 10^{+440}$ | $4.3653 \times 10^{+441}$ | 2.92               | 17.07               |
| 400.0                      | $3.6688 \times 10^{-418}$                | $7.1642 \times 10^{-419}$ | $1.8531 \times 10^{+418}$ | $9.4900 \times 10^{+418}$ | 3.39               | 16.98               |
| 500.0                      | $2.6805 \times 10^{-396}$                | $5.2521 \times 10^{-397}$ | $2.8508 \times 10^{+396}$ | $1.4550 \times 10^{+397}$ | 3.82               | 16.89               |
| 600.0                      | $4.1386 \times 10^{-375}$                | $8.0511 \times 10^{-376}$ | $2.0338 \times 10^{+375}$ | $1.0454 \times 10^{+376}$ | 4.20               | 16.80               |
| 700.0                      | $1.7314 \times 10^{-354}$                | $3.3810 \times 10^{-355}$ | $5.2806 \times 10^{+354}$ | $2.7043 \times 10^{+355}$ | 4.57               | 16.70               |
| 800.0                      | $2.4308 \times 10^{-334}$                | $4.7497 \times 10^{-335}$ | $4.0448 \times 10^{+334}$ | $2.0701 \times 10^{+335}$ | 4.91               | 16.60               |
| 900.0                      | $1.3213 \times 10^{-314}$                | $2.5761 \times 10^{-315}$ | $7.9408 \times 10^{+314}$ | $4.0730 \times 10^{+315}$ | 5.24               | 16.50               |
| 1000.0                     | $3.0920 \times 10^{-295}$                | $6.0272 \times 10^{-296}$ | $3.5999 \times 10^{+295}$ | $1.8468 \times 10^{+296}$ | 5.56               | 16.40               |
| 1100.0                     | $3.4274 \times 10^{-276}$                | $6.6576 \times 10^{-277}$ | $3.4289 \times 10^{+276}$ | $1.7652 \times 10^{+277}$ | 5.87               | 16.29               |
| 1200.0                     | $1.9147 \times 10^{-257}$                | $3.7259 \times 10^{-258}$ | $6.4553 \times 10^{+257}$ | $3.3174 \times 10^{+258}$ | 6.18               | 16.18               |
| 1300.0                     | $5.8026 \times 10^{-239}$                | $1.1253 \times 10^{-239}$ | $2.2333 \times 10^{+239}$ | $1.1516 \times 10^{+240}$ | 6.47               | 16.06               |
| 1400.0                     | $9.9042 \times 10^{-221}$                | $1.9252 \times 10^{-221}$ | $1.3683 \times 10^{+221}$ | $7.0393 \times 10^{+221}$ | 6.77               | 15.93               |
| 1500.0                     | $1.0126 \times 10^{-202}$                | $1.9551 \times 10^{-203}$ | $1.3965 \times 10^{+203}$ | $7.2333 \times 10^{+203}$ | 7.07               | 15.81               |
| 1600.0                     | $6.2741 \times 10^{-185}$                | $1.2155 \times 10^{-185}$ | $2.3480 \times 10^{+185}$ | $1.2119 \times 10^{+186}$ | 7.36               | 15.67               |
| 1700.0                     | $2.4923 \times 10^{-167}$                | $4.8143 \times 10^{-168}$ | $6.1488 \times 10^{+167}$ | $3.1831 \times 10^{+168}$ | 7.66               | 15.53               |
| 1800.0                     | $6.4255 \times 10^{-150}$                | $1.2437 \times 10^{-150}$ | $2.4783 \times 10^{+150}$ | $1.2803 \times 10^{+151}$ | 7.96               | 15.38               |
| 1900.0                     | $1.1189 \times 10^{-132}$                | $2.1580 \times 10^{-133}$ | $1.4776 \times 10^{+133}$ | $7.6619 \times 10^{+133}$ | 8.26               | 15.22               |
| 2000.0                     | $1.3288 \times 10^{-115}$                | $2.5653 \times 10^{-116}$ | $1.2914 \times 10^{+116}$ | $6.6895 \times 10^{+116}$ | 8.58               | 15.04               |
| 2100.0                     | $1.1105 \times 10^{-98}$                 | $2.1357 \times 10^{-99}$  | $1.6036 \times 10^{+99}$  | $8.3382 \times 10^{+99}$  | 8.90               | 14.85               |
| 2200.0                     | $6.6054 \times 10^{-82}$                 | $1.2690 \times 10^{-82}$  | $2.7988 \times 10^{+82}$  | $1.4567 \times 10^{+83}$  | 9.24               | 14.64               |
| 2300.0                     | $2.8693 \times 10^{-65}$                 | $5.4647 \times 10^{-66}$  | $6.6952 \times 10^{+65}$  | $3.5154 \times 10^{+66}$  | 9.60               | 14.41               |
| 2400.0                     | $9.1077 \times 10^{-49}$                 | $1.7297 \times 10^{-49}$  | $2.1959 \times 10^{+49}$  | $1.1562 \times 10^{+50}$  | 10.00              | 14.14               |
| 2500.0                     | $2.1702 \times 10^{-32}$                 | $4.0896 \times 10^{-33}$  | $9.6290 \times 10^{+32}$  | $5.1098 \times 10^{+33}$  | 10.44              | 13.81               |
| 2600.0                     | $3.9788 \times 10^{-16}$                 | $7.3137 \times 10^{-17}$  | $5.5322 \times 10^{+16}$  | $3.0096 \times 10^{+17}$  | 11.00              | 13.37               |
| 2610.0                     | $1.6663 \times 10^{-14}$                 | $3.0428 \times 10^{-15}$  | $1.3290 \times 10^{+15}$  | $7.2780 \times 10^{+15}$  | 11.07              | 13.31               |
| 2620.0                     | $6.9240 \times 10^{-13}$                 | $1.2606 \times 10^{-13}$  | $3.2187 \times 10^{+13}$  | $1.7678 \times 10^{+14}$  | 11.14              | 13.25               |
| 2630.0                     | $2.8842 \times 10^{-11}$                 | $5.2116 \times 10^{-12}$  | $7.7789 \times 10^{+11}$  | $4.3050 \times 10^{+12}$  | 11.21              | 13.19               |
| 2640.0                     | $1.2002 \times 10^{-9}$                  | $2.1495 \times 10^{-10}$  | $1.8825 \times 10^{+10}$  | $1.0511 \times 10^{+11}$  | 11.29              | 13.12               |
| 2650.0                     | $4.9881 \times 10^{-8}$                  | $8.8401 \times 10^{-9}$   | $4.5642 \times 10^{+8}$   | $2.5754 \times 10^{+9}$   | 11.38              | 13.05               |
| 2660.0                     | $2.0738 \times 10^{-6}$                  | $3.6263 \times 10^{-7}$   | $1.1068 \times 10^{+7}$   | $6.3303 \times 10^{+7}$   | 11.47              | 12.97               |
| 2670.0                     | $8.7110 \times 10^{-5}$                  | $1.4836 \times 10^{-5}$   | $2.6596 \times 10^{+5}$   | $1.5615 \times 10^{+6}$   | 11.58              | 12.87               |
| 2680.0                     | $3.6953 \times 10^{-3}$                  | $6.0519 \times 10^{-4}$   | $6.3369 \times 10^{+3}$   | $3.8693 \times 10^{+4}$   | 11.70              | 12.76               |
| 2690.0                     | $1.5521 \times 10^{-1}$                  | $2.4634 \times 10^{-2}$   | $1.5293 \times 10^{+2}$   | $9.3602 \times 10^{+2}$   | 11.86              | 12.61               |

Table 1. The penetrability  $P_{\text{penetrability}}$  of the barrier and the duration  $\tau$  of the formation of the Universe defined by eq. (61) in the fully quantum and semiclassical approaches

and obtain the following generalized density:

$$\rho(a) = \left( \rho_\Lambda + \frac{\rho_{\text{dust}}}{a^{3(1+\alpha)}} \right)^{1/(1+\alpha)} + \frac{\rho_{\text{rad}}}{a^4(t)}. \tag{65}$$

Now we have:

$$\dot{a}^2 + k - \frac{8\pi G}{3} \left\{ a^2 \left( \rho_\Lambda + \frac{\rho_{\text{dust}}}{a^{3(1+\alpha)}} \right)^{1/(1+\alpha)} + \frac{\rho_{\text{rad}}}{a^2(t)} \right\} = 0. \tag{66}$$

After quantization we obtain the Wheeler-De Witt equation

$$\left\{ -\frac{\partial^2}{\partial a^2} + V_{\text{Ch}}(a) \right\} \varphi(a) = E_{\text{rad}} \varphi(a), \quad E_{\text{rad}} = \frac{3\rho_{\text{rad}}}{2\pi G}, \tag{67}$$

where

$$V_{\text{Ch}}(a) = \left( \frac{3}{4\pi G} \right)^2 k a^2 - \frac{3}{2\pi G} a^4 \left( \rho_\Lambda + \frac{\rho_{\text{dust}}}{a^{3(1+\alpha)}} \right)^{1/(1+\alpha)}. \tag{68}$$

For the Universe of closed type (at  $k = 1$ ) at  $8\pi G \equiv M_p^{-2} = 1$  we have (see eqs. (6)–(7) in Ref. (Bouhmadi-Lopez & Moniz, 2005)):

$$V_{\text{Ch}}(a) = 36 a^2 - 12 a^4 \left( \Lambda + \frac{\rho_{\text{dust}}}{a^{3(1+\alpha)}} \right)^{1/(1+\alpha)}, \quad E_{\text{rad}} = 12 \rho_{\text{rad}}. \tag{69}$$

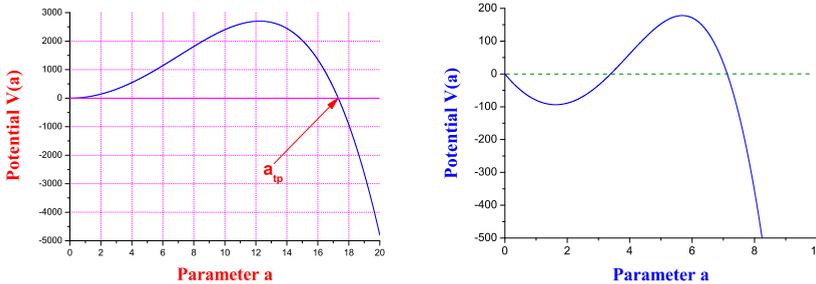


Fig. 7. Cosmological potentials with and without Chaplygin gas: Left panel is for potential  $V(a) = 36 a^2 - 12 \Lambda a^4$  with parameter  $\Lambda = 0.01$  (turning point  $a_{tp} = 17.320508$  at zero energy  $E_{\text{rad}} = 0$ ), Right panel is for potential (69) with parameters  $\Lambda = 0.01, \rho_{\text{dust}} = 30, \alpha = 0.5$  (minimum of the hole is -93.579 and its coordinate is 1.6262, maximum of the barrier is 177.99 and its coordinate is 5.6866).

Let us expand the potential (69) close to arbitrary selected point  $\bar{a}$  by powers of  $q = a - \bar{a}$  and restrict ourselves to linear terms:

$$V_{\text{Ch}}(q) = V_0 + V_1 q. \tag{70}$$

For coefficients  $V_0$  and  $V_1$  we find:

$$\begin{aligned} V_0 &= V_{Ch}(a = \bar{a}), \\ V_1 &= \left. \frac{dV_{Ch}(a)}{da} \right|_{a=\bar{a}} = 72 a + 12 a^3 \left\{ -4 \Lambda - \frac{\rho_{dust}}{a^{3(1+\alpha)}} \right\} \cdot \left( \Lambda + \frac{\rho_{dust}}{a^{3(1+\alpha)}} \right)^{-\alpha/(1+\alpha)} \end{aligned} \quad (71)$$

and eq. (67) has the form:

$$-\frac{d^2}{dq^2} \varphi(q) + (V_0 - E_{rad} + V_1 q) \varphi(q) = 0. \quad (72)$$

After the change of variable

$$\zeta = |V_1|^{1/3} q, \quad \frac{d^2}{dq^2} = \left( \frac{d\zeta}{dq} \right)^2 \frac{d^2}{d\zeta^2} = |V_1|^{2/3} \frac{d^2}{d\zeta^2} \quad (73)$$

eq. (72) becomes:

$$\frac{d^2}{d\zeta^2} \varphi(\zeta) + \left\{ \frac{E_{rad} - V_0}{|V_1|^{2/3}} - \frac{V_1}{|V_1|} \zeta \right\} \varphi(\zeta) = 0. \quad (74)$$

After the new change

$$\tilde{\zeta} = \frac{E_{rad} - V_0}{|V_1|^{2/3}} - \frac{V_1}{|V_1|} \zeta \quad (75)$$

we have

$$\frac{d^2}{d\tilde{\zeta}^2} \varphi(\tilde{\zeta}) + \tilde{\zeta} \varphi(\tilde{\zeta}) = 0. \quad (76)$$

From eqs. (73) and (75) we have:

$$\tilde{\zeta} = \frac{E_{rad} - V_0}{|V_1|^{2/3}} - \frac{V_1}{|V_1|^{2/3}} q. \quad (77)$$

Using such corrections after inclusion of the density component of the Chaplygin gas, we have calculated the wave function and on its basis the coefficients of penetrability, reflection and mixing by the formalism presented above. Now following the method of Sec. 3.1, we have defined the incident and reflected waves relatively to a new boundary which is located in the minimum of the hole in the internal region. Results are presented in Tabl. 3. One can see that penetrability changes up to 100 times, in such a coordinate, in dependence on the location of the boundary or in the internal turning point (for the same barrier shape and energy  $E_{rad}$ )! This confirms that the coordinate where incident and reflected waves are defined has essential influence on estimation of the coefficients of penetrability and reflection. This result shows that the method proposed in the present paper has physical sense. In the next Tabl. 4, we demonstrate the fulfillment of the property (55) inside the entire energy range, which is calculated on the basis of the coefficients of penetrability, reflection and mixing obtained before.

## 5. Multiple internal reflections fully quantum method

### 5.1 Passage to non-stationary WDW equation: motivations

Tunneling is a pure quantum phenomenon characterized by the fact that a particle crosses through a classically-forbidden region of the barrier. By such a reason, the process of incidence of the particle on the barrier and its further tunneling and reflection are connected by unite

cause-effect relation. So, the dynamical consideration of the tunneling process through cosmological barriers is a natural one (Aharonov, 2002; Esposito, 2003; Jakiel et al., 1999; Olkhovsky & Recami, 1992; Olkhovsky et al., 1995; 2004; 2005; Olkhovsky & Recami, 2008; Olkhovsky, 2011; Recami, 2004). The rejection of the dynamical consideration of tunneling from quantum cosmology limits the possible connection between initial stage, when the wave is incident on the barrier, and next propagation of this wave. This leads to uncertainties in determination of penetrability and rates. According to quantum mechanics, a particle is a quantum object having properties both particle and wave. In the classically forbidden regions the wave properties of the studied object are evident. So, the wave description of tunneling is natural.

So, we define a non-stationary generalization of WDW equation as

$$\left( \frac{\partial^2}{\partial a^2} - V_{\text{eff}}(a) \right) \Psi(a, \tau) = -i \frac{\partial}{\partial \tau} \Psi(a, \tau), \quad (78)$$

where  $\tau$  is a new variable describing dynamics of evolution of the wave function being analog of time. According to quantum mechanics, the penetrability and reflection are stationary characteristics, and such characteristics, obtained in the following, are independent on the parameter  $\tau$ . Note that all these characteristics are solutions of stationary WDW equation, while non-stationary consideration of multiple packets moving along barrier gives clear understanding of the process.

In order to give a basis to readers to estimate ability of the approach developed in this paper, let us consider results in (Monerat et al., 2007) (see eq. (19)). Here was studied the non-stationary WDW equation

$$\left( \frac{1}{12} \frac{\partial^2}{\partial a^2} - V_{\text{eff}}(a) \right) \Psi(a, \tau) = -i \frac{\partial}{\partial \tau} \Psi(a, \tau) \quad (79)$$

with the potential for the closed FRW model with the included generalized Chaplygin gas.

$$V_{\text{eff}}(a) = 3a^2 - \frac{a^4}{\pi} \sqrt{\bar{A} + \frac{\bar{B}}{a^6}} \quad (80)$$

After change of variable  $a_{\text{new}} = a_{\text{old}} \sqrt{12}$  the non-stationary eq. (79) transforms into our eq. (78) since the  $V_{\text{eff}}$  potential is independent on the  $\tau$  variable (such a choice allows a correspondence between energy levels, convenient in comparative analysis). The potential (79) after such a transformation is shown in figs. 8. We shall analyze the behavior of the wave function.

## 5.2 Tunneling of the packet through a barrier composed from arbitrary number of rectangular steps

Now let us come to another more difficult problem, namely that a packet penetrating through the radial barrier of arbitrary shape in a cosmological problem. In order to apply the idea of multiple internal reflections for study the packet tunneling through the real barrier, we have to generalize the formalism of the multiple internal reflections presented above (Maydanyuk, 2011). We shall assume that the total potential has successfully been approximated by finite

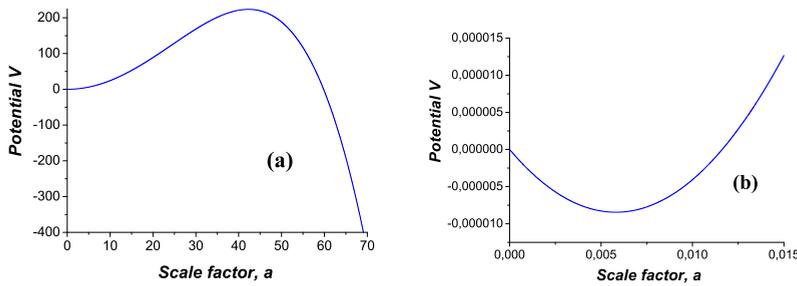


Fig. 8. Behavior of the potential (80) after change  $a_{\text{new}} = a_{\text{old}} \sqrt{12}$  at  $\bar{A} = 0.001$  and  $\bar{B} = 0.001$  (choice of parameters see in fig. 1, tables I and II in (Monerat et al., 2007)): (a) shape of the barrier ( $V_{\text{max}} = 223.52$  at  $a = 42.322$ ); (b) there is a little internal well close to zero ( $V_{\text{min}} = -8.44$  at  $a = 0.00581$ )

number  $N$  of rectangular steps:

$$V(a) = \begin{cases} V_1, & \text{at } a_{\text{min}} < a \leq a_1 & \text{(region 1),} \\ V_2, & \text{at } a_1 < a \leq a_2 & \text{(region 2),} \\ \dots & \dots & \dots \\ V_N, & \text{at } a_{N-1} < a \leq a_{\text{max}} & \text{(region N),} \end{cases} \quad (81)$$

where  $V_i$  are constants ( $i = 1 \dots N$ ). Let us assume that the packet starts to propagate outside inside the region with some arbitrary number  $M$  (for simplicity, we denote its left boundary  $a_{M-1}$  as  $a_{\text{start}}$ ) from the left of the barrier. We are interested in solutions for energies above that of the barrier while the solution for tunneling could be obtained after by change  $i \xi_i \rightarrow k_i$ . A general solution of the wave function (up to its normalization) has the following form:

$$\varphi(a) = \begin{cases} \alpha_1 e^{ik_1 a} + \beta_1 e^{-ik_1 a}, & \text{at } a_{\text{min}} \leq a \leq a_1 \quad \text{(region 1),} \\ \dots \\ \alpha_{M-1} e^{ik_{M-1} a} + \beta_{M-1} e^{-ik_{M-1} a}, & \text{at } a_{M-2} \leq a \leq a_{M-1} \quad \text{(region } M-1), \\ e^{ik_M a} + A_R e^{-ik_M a}, & \text{at } a_{M-1} < a \leq a_M \quad \text{(region } M), \\ \alpha_{M+1} e^{ik_{M+1} a} + \beta_{M+1} e^{-ik_{M+1} a}, & \text{at } a_M \leq a \leq a_{M+1} \quad \text{(region } M+1), \\ \dots \\ \alpha_{N-1} e^{ik_{N-1} a} + \beta_{N-1} e^{-ik_{N-1} a}, & \text{at } a_{N-2} \leq a \leq a_{N-1} \quad \text{(region } N-1), \\ A_T e^{ik_N a}, & \text{at } a_{N-1} \leq a \leq a_{\text{max}} \quad \text{(region } N), \end{cases} \quad (82)$$

where  $\alpha_j$  and  $\beta_j$  are unknown amplitudes,  $A_T$  and  $A_R$  are unknown amplitudes of transmission and reflection,  $k_i = \frac{1}{\hbar} \sqrt{2m(E - V_i)}$  are complex wave numbers. We have fixed

the normalization so that the modulus of the starting wave  $e^{ik_M a}$  equals to one. We look for a solution of such a problem by the approach of the multiple internal reflections.

Let us consider the initial stage when the packet starts to propagate to the right in the region with number  $M$ . According to the method of the multiple internal reflections, propagation of the packet through the barrier is considered by steps of its propagation relatively to each boundary (see (Cardone et al., 2006; Maydanyuk et al., 2002a; Maydanyuk, 2003; Maydanyuk & Belchikov, 2011), for details). Each next step in such a consideration of propagation of the packet will be similar to the first  $2N - 1$  steps. From analysis of these steps recurrent relations are found for calculation of all unknown amplitudes  $A_T^{(n)}$ ,  $A_R^{(n)}$ ,  $\alpha_j^{(n)}$  and  $\beta_j^{(n)}$  for arbitrary step  $n$  (for region with number  $j$ ), summation of these amplitudes are calculated. We shall look for the unknown amplitudes, requiring the wave function and its derivative to be continuous at each boundary. We shall consider the coefficients  $T_1^\pm, T_2^\pm \dots$  and  $R_1^\pm, R_2^\pm \dots$  as additional factors to amplitudes  $e^{\pm ika}$ . Here, the bottom index denotes the number of the region, upper (top) signs “+” and “-” denote directions of the wave to the right or to the left, correspondingly. To begin with, we calculate  $T_1^\pm, T_2^\pm \dots T_{N-1}^\pm$  and  $R_1^\pm, R_2^\pm \dots R_{N-1}^\pm$ :

$$\begin{aligned} T_j^+ &= \frac{2k_j}{k_j + k_{j+1}} e^{i(k_j - k_{j+1})a_j}, \quad T_j^- = \frac{2k_{j+1}}{k_j + k_{j+1}} e^{i(k_j - k_{j+1})a_j}, \\ R_j^+ &= \frac{k_j - k_{j+1}}{k_j + k_{j+1}} e^{2ik_j a_j}, \quad R_j^- = \frac{k_{j+1} - k_j}{k_j + k_{j+1}} e^{-2ik_{j+1} a_j}. \end{aligned} \quad (83)$$

Analyzing all possible “paths” of the propagations of all possible packets inside the barrier and internal well, we obtain (Maydanyuk, 2011):

$$\begin{aligned} \sum_{n=1}^{+\infty} A_{\text{inc}}^{(n)} &= 1 + \tilde{R}_M^+ \tilde{R}_{M-1}^- + \tilde{R}_M^+ \tilde{R}_{M-1}^- \cdot \tilde{R}_M^+ \tilde{R}_{M-1}^- + \dots = \\ &= 1 + \sum_{m=1}^{+\infty} (\tilde{R}_M^+ \tilde{R}_{M-1}^-)^m = \frac{1}{1 - \tilde{R}_M^+ \tilde{R}_{M-1}^-}, \\ \sum_{n=1}^{+\infty} A_T^{(n)} &= \left( \sum_{n=1}^{+\infty} A_{\text{inc}}^{(n)} \right) \cdot \left\{ \tilde{T}_{N-2}^+ T_{N-1}^+ + \right. \\ &\quad \left. + \tilde{T}_{N-2}^+ \cdot R_{N-1}^+ \tilde{R}_{N-2}^- \cdot T_{N-1}^+ + \dots \right\} = \\ &= \left( \sum_{n=1}^{+\infty} A_{\text{inc}}^{(n)} \right) \cdot \tilde{T}_{N-1}^+, \quad (84) \\ \sum_{n=1}^{+\infty} A_R^{(n)} &= \tilde{R}_M^+ + \tilde{R}_M^+ \cdot \tilde{R}_{M-1}^- \tilde{R}_M^+ + \\ &\quad + \tilde{R}_M^+ \cdot \tilde{R}_{M-1}^- \tilde{R}_M^+ \cdot \tilde{R}_{M-1}^- \tilde{R}_M^+ + \dots = \\ &= \tilde{R}_M^+ \cdot \left( 1 + \sum_{m=1}^{+\infty} (\tilde{R}_{M-1}^- \tilde{R}_M^+)^m \right) = \\ &= \frac{\tilde{R}_M^+}{1 - \tilde{R}_{M-1}^- \tilde{R}_M^+} = \left( \sum_{n=1}^{+\infty} A_{\text{inc}}^{(n)} \right) \cdot \tilde{R}_M^+, \end{aligned}$$

where

$$\begin{aligned}
 \tilde{R}_{j-1}^+ &= R_{j-1}^+ + T_{j-1}^+ \tilde{R}_j^+ T_{j-1}^- \left( 1 + \sum_{m=1}^{+\infty} (\tilde{R}_j^+ R_{j-1}^-)^m \right) = \\
 &= R_{j-1}^+ + \frac{T_{j-1}^+ \tilde{R}_j^+ T_{j-1}^-}{1 - \tilde{R}_j^+ R_{j-1}^-}, \\
 \tilde{R}_{j+1}^- &= R_{j+1}^- + T_{j+1}^- \tilde{R}_j^- T_{j+1}^+ \left( 1 + \sum_{m=1}^{+\infty} (R_{j+1}^+ \tilde{R}_j^-)^m \right) = \\
 &= R_{j+1}^- + \frac{T_{j+1}^- \tilde{R}_j^- T_{j+1}^+}{1 - R_{j+1}^+ \tilde{R}_j^-}, \\
 \tilde{T}_{j+1}^+ &= \tilde{T}_j^+ T_{j+1}^+ \left( 1 + \sum_{m=1}^{+\infty} (R_{j+1}^+ \tilde{R}_j^-)^m \right) = \frac{\tilde{T}_j^+ T_{j+1}^+}{1 - R_{j+1}^+ \tilde{R}_j^-}.
 \end{aligned}
 \tag{85}$$

Choosing as starting points, the following:

$$\begin{aligned}
 \tilde{R}_{N-1}^+ &= R_{N-1}^+, \\
 \tilde{R}_M^- &= R_{M'}^-, \\
 \tilde{T}_M^+ &= T_{M'}^+,
 \end{aligned}
 \tag{86}$$

we calculate the coefficients  $\tilde{R}_{N-2}^+ \dots \tilde{R}_{M'}^+, \tilde{R}_{M+1}^- \dots \tilde{R}_{N-1}^-$  and  $\tilde{T}_{M+1}^+ \dots \tilde{T}_{N-1}^+$ . We shall consider propagation of all packets in the region with number  $M$ , to the left. Such packets are formed in result of all possible reflections from the right part of potential, starting from the boundary  $a_M$ . In the previous section to describe their reflection from the left boundary  $R_0$  to the right one, we used coefficient  $R_0^-$ . Now since we want to pass from simple boundary  $a_{M-1}$  to the left part of the potential well starting from this point up to  $a_{\min}$ , we generalize the coefficient  $R_{M-1}^-$  to  $\tilde{R}_{M-1}^-$ . The middle formula in (85) is applicable when we use eqs. (83) for definition of  $T_i^\pm$  and  $R_i^\pm$ . Finally, we determine coefficients  $\alpha_j$  and  $\beta_j$ :

$$\begin{aligned}
 \sum_{n=1}^{+\infty} \alpha_j^{(n)} &= \tilde{T}_{j-1}^+ \left( 1 + \sum_{m=1}^{+\infty} (R_j^+ \tilde{R}_{j-1}^-)^m \right) = \\
 &= \frac{\tilde{T}_{j-1}^+}{1 - R_j^+ \tilde{R}_{j-1}^-} = \frac{\tilde{T}_j^+}{T_j^+}, \\
 \sum_{n=1}^{+\infty} \beta_j^{(n)} &= \tilde{T}_{j-1}^+ \left( 1 + \sum_{m=1}^{+\infty} (\tilde{R}_j^+ \tilde{R}_{j-1}^-)^m \right) R_j^+ = \\
 &= \frac{\tilde{T}_{j-1}^+ R_j^+}{1 - \tilde{R}_j^+ \tilde{R}_{j-1}^-} = \frac{\tilde{T}_j^+ R_j^+}{T_j^+},
 \end{aligned}
 \tag{87}$$

the amplitudes of transmission and reflection:

$$\begin{aligned}
 A_T &= \sum_{n=1}^{+\infty} A_T^{(n)}, \quad A_R = \sum_{n=1}^{+\infty} A_R^{(n)}, \\
 \alpha_j &= \sum_{n=1}^{+\infty} \alpha_j^{(n)} = \frac{\tilde{T}_j^+}{T_j^+}, \quad \beta_j = \sum_{n=1}^{+\infty} \beta_j^{(n)} = \alpha_j \cdot R_j^+
 \end{aligned}
 \tag{88}$$

and coefficients  $T$  and  $R$  describing penetration of the packet from the internal region outside and its reflection from the barrier

$$\begin{aligned} T_{MIR} &\equiv \frac{k_N}{k_M} |A_T|^2 = |A_{\text{inc}}|^2 \cdot T_{\text{bar}}, & T_{\text{bar}} &= \frac{k_N}{k_M} |\tilde{T}_{N-1}^+|^2, \\ R_{MIR} &\equiv |A_R|^2 = |A_{\text{inc}}|^2 \cdot R_{\text{bar}}, & R_{\text{bar}} &= |\tilde{R}_M^+|^2. \end{aligned} \quad (89)$$

Choosing  $a_{\min} = 0$ , we assume full propagation of the packet through such a boundary (with no possible reflection) and we have  $R_0^- = -1$  (it could be interesting to analyze results with varying  $R_0^-$ ). We use the test:

$$\frac{k_N}{k_M} |A_T|^2 + |A_R|^2 = 1 \quad \text{or} \quad T_{MIR} + R_{MIR} = 1. \quad (90)$$

Now if energy of the packet is located below then height of one step with number  $m$ , then the following change

$$k_m \rightarrow i \zeta_m \quad (91)$$

should be used to describe the transition of this packet through such a barrier with its tunneling. In the case of a barrier consisting from two rectangular steps of arbitrary heights and widths we have already obtained coincidence between amplitudes calculated by method of MIR and the corresponding amplitudes found by standard approach of quantum mechanics up to first 15 digits. Even increasing the number of steps up to some thousands has the right accuracy to fulfill the property (90).

In particular, we reconstruct completely the pictures of the probability and reflection presented in figs. 9 (a) and (b), figs. 10 (a) and (b), figs. 11 (b), but using such a standard technique. So, the *result concerning the oscillating dependence of the penetrability on the position of the starting point  $a_{\text{start}}$  in such figures is independent on the fully quantum method chosen for calculations.*

This is an important test which confirms reliability of the method MIR. So, we have obtained full coincidence between all amplitudes, calculated by method MIR and by standard approach of quantum mechanics. This is why we generalize the method MIR for description of tunneling of the packet through potential, consisting from arbitrary number of rectangular barriers and wells of arbitrary sizes (Maydanyuk, 2011).

### 5.3 Results

We have applied the above method to analyze the behavior of the packet tunneling through the barrier (80) (we used  $a_{\text{new}} \rightarrow \sqrt{12} a_{\text{old}}$ ). The first interesting result is *a visible change of the penetrability on the displacement of the starting point  $a_{\min} \leq a \leq a_1$ , where we put the packet.* Using the possibility of decreasing the width of intervals up to an enough small value (and choosing, for convenience, the width of each interval to be the same), we choose  $a_{\min}$  as *starting point* (and denote it as  $a_{\text{start}}$ ), from where the packet begins to propagate outside. We have analyzed how the position of such a point influences the penetrability. In fig. 9 (a) one can see that the penetrability strongly changes in dependence of  $a_{\text{start}}$  for arbitrary values of energy of radiation  $E_{\text{rad}}$ : it has oscillating behavior (Maydanyuk, 2011). Difference between its minimums and maximums is minimal at  $a_{\text{start}}$  in the center of the well (i. e. its change tends to zero in the center of the well), this difference increases with increasing value of  $a_{\text{start}}$  and achieves the maximum close to the turning point. With this result, we may conclude that exists a *dependence of penetrability on the starting point  $a_{\text{start}}$  of the packet.* The coefficients of

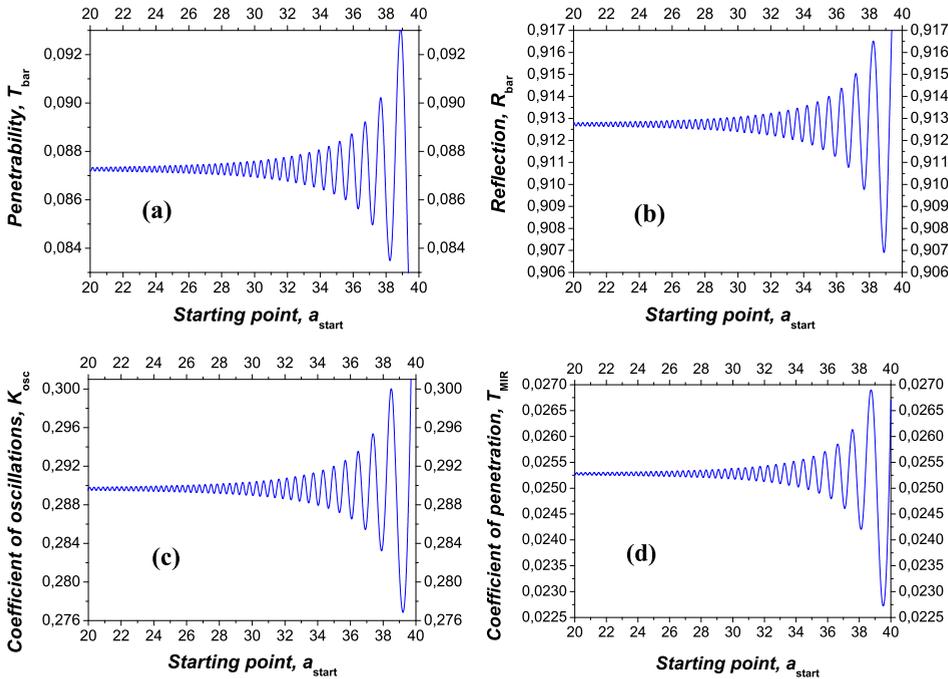


Fig. 9. Dependencies of the coefficients of the penetrability  $T_{bar}$  (a), reflection  $R_{bar}$  (b), coefficient of oscillations  $K_{osc}$  (c) and coefficient of penetration  $T_{MIR}$  (d) in terms of the position of the starting point  $a_{start}$  for the energy  $E = 220$  ( $A = 0.001, B = 0.001, a_{max} = 70$ ). The total number of intervals is 2000, for all presented cases the achieved accuracy is  $|T_{bar} + R_{bar} - 1| < 10^{-15}$ ). These figures clearly demonstrate oscillating (*i.e.* not constant) behavior of all considered coefficients on  $a_{start}$ .

reflection, oscillations and penetration on the position of the starting point  $a_{start}$  are presented in next figs. 9 (b), (c), (d) and have similar behavior.

Usually, in cosmological quantum models the penetrability is determined by the barrier shape. In the non-stationary approach one can find papers where the role of the initial condition is analyzed in calculations of rates, penetrability etc.<sup>3</sup> But, the stationary limit does not give us any choice on which to work. We conclude: (a) the penetrability should be connected with the initial condition (not only in non-stationary consideration, but also in the stationary one). (b) Even in the stationary consideration, the penetrability of the barrier should be determined in dependence on the initial condition.

The first question is how much these results are reliable. In particular, how stable will such results be if we shift the external boundary outside? The results of such calculations are presented in fig. 10, where it is shown how the penetrability changes with  $a_{max}$  (for clearness sake, we have fixed the starting point  $a_{start} = 10$ , (Maydanyuk, 2011)). One can see that

<sup>3</sup> Such papers are very rare and questions about dynamics have not been studied deeply.

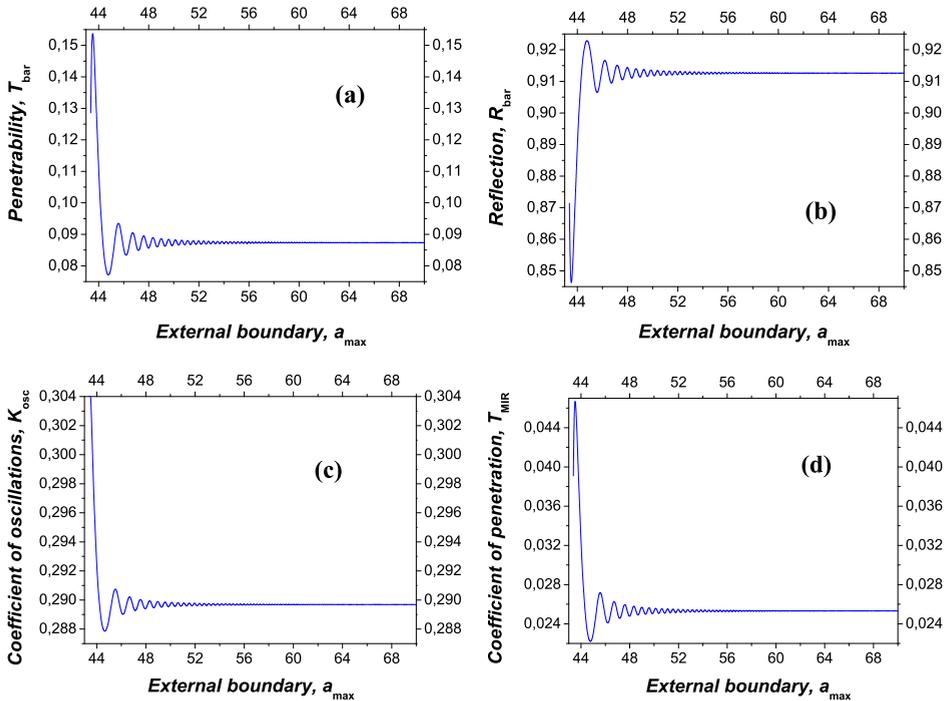


Fig. 10. Dependencies of the coefficients of penetrability (a), reflection (b), oscillations (c) and penetration (d) on the position of the external region,  $a_{\max}$  for the energy  $E = 223$  ( $A = 0.001, B = 0.001$ ). For all presented values we have achieved accuracy  $|T_{\text{bar}} + R_{\text{bar}} - 1| < 1 \cdot 10^{-15}$  (the maximum number of intervals is 2000).

all calculations are well convergent, that confirms efficiency of the method of the multiple internal reflections. On the basis of such results we choose  $a_{\max} = 70$  for further calculations. However, one can see that inclusion of the external region can change the coefficients of penetrability and penetration up to 2 times for the chosen energy level.

The second question is how strong this affects the calculations of the penetrability. If it was small than, the semiclassical approaches would have enough good approximation. From figs. 9 it follows that the penetrability is not strongly changed in dependence on shift of the starting point. However, such small variations are connected with relatively small height of the barrier and depth of the well, while they would be not small for another choice of parameters (the coefficient of oscillation and penetration turn out to change at some definite energies of radiation, see below). So, this effect is supposed to be larger at increasing height of the barrier and depth of the well, and also for near-barrier energies (i. e. for energies comparable with the barrier height, and above-barrier energies of radiation).

We have analyzed how these characteristics change in dependence on the energy of radiation. We did not expect the results that we got (see figs. 11). The coefficient of penetration has oscillations with peaks clearly shown (Maydanyuk, 2011). These peaks are separated by

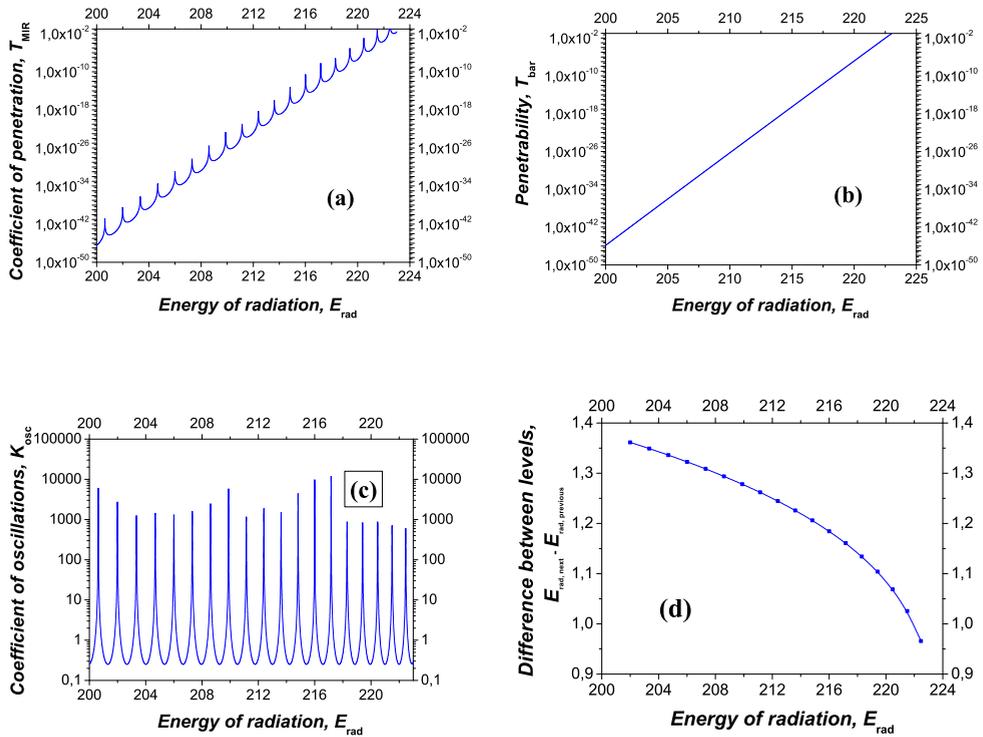


Fig. 11. Dependencies of the coefficient of the coefficient of penetration  $T_{MIR}$  (a), the coefficient of the penetrability  $T_{bar}$  (b), coefficient of oscillations  $K_{osc}$  (c) and difference  $E_{res,next} - E_{res,previous}$  between two closest energy peaks (d) on the  $E_{rad}$  energy (we have choose:  $A = 0.001$  and  $B = 0.001$ ,  $a_{start} = 10$ ,  $a_{max} = 70$ , number of intervals inside the scale axis  $a$  1000, number of intervals of energy 100000). Inside the energy region  $E_{rad} = 200 - 223$  we observe 19 resonant peaks in the dependencies of coefficients  $T_{MIR}$  and  $K_{osc}$  while the penetrability increases monotonously with increasing the  $E_{rad}$  energy.

similar distances and could be considered as resonances in energy scale. So, by using the fully quantum approach we observed for the first time clear pictures of resonances which could be connected with some early unknown quasi-stationary states. At increasing energy of radiation the penetrability changes monotonously and determines a general tendency of change of the coefficient of penetration, while the coefficient of oscillations introduces the peaks. Now the reason of the presence of resonances has become clearer: oscillations of the packet inside the internal well produce them, while the possibility of the packet to penetrate through the barrier (described by the penetrability of the barrier) has no influence on them. In general, we observe 134 resonant levels inside energy range  $E_{rad} = 0-200$ , and else 19 levels inside  $E_{rad} = 200-223$ .

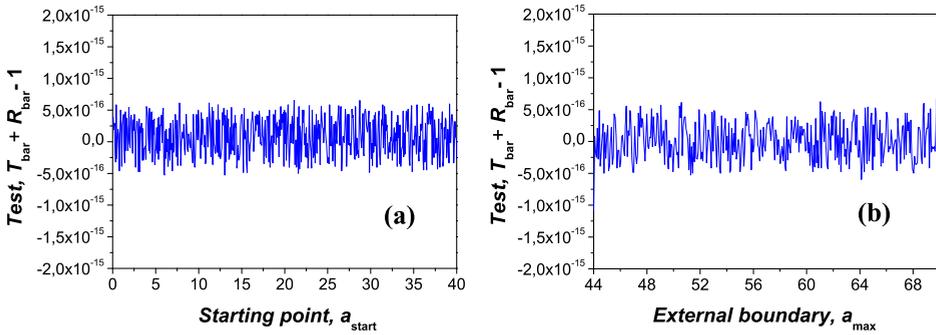


Fig. 12. Accuracy of the obtained penetrability  $T_{\text{bar}}$  and reflection  $R_{\text{bar}}$  for the energy  $E = 220$  used in previous figs. 9 and 10. As a test, we calculate  $T_{\text{bar}} + R_{\text{bar}} - 1$  in dependence on the position of the starting point  $a_{\text{start}}$  (a) and the external boundary  $a_{\text{max}}$  (b) ( $A = 0.001, B = 0.001$ , total number of intervals is 2000).

In the last fig. 12 one can see that we have achieved  $|T_{\text{bar}} + R_{\text{bar}} - 1| < 10^{-15}$  inside whole region of changes of  $a_{\text{start}}$  and  $a_{\text{max}}$  (such data were used in the previous figs. 9 and 10). This is the accuracy of the method of the multiple internal reflections in obtaining  $T_{\text{bar}}$  and  $R_{\text{bar}}$ .

#### 5.4 The fully quantum penetrability versus semiclassical one in cosmology: a quick comparison

Does the penetrability, determined according to the semiclassical theory by a shape of the barrier between two turning points, give exhaustive answers and the best estimations of rates of evolution of universe? If we look at figs. 9 (a), we shall see that this is not the case. The penetrability is depended on the position (coordinate) of maximum of the packet which begins to propagate outside at time moment  $t = 0$ . So, the penetrability should be a function of some parameters of the packet at beginning. For the first time, it has been demonstrated the difference between the fully quantum approach and the semiclassical one. However, let us perform a general analysis (Maydanyuk, 2011).

(1) If we wanted to check the semiclassical approach, we should miss some of the parameters. One can use test of  $T + R = 1$  (where  $T$  and  $R$  are the penetrability through the barrier and reflection from it). But, note that the semiclassical approximation neglects the reflected waves in quantum mechanics (see (Landau & Lifshitz, 1989), eq. (46.10), p. 205, p. 221–222). Therefore, we cannot use the test above for checking  $T$  in the semiclassical theory.

(2) If we would like to determine the reflection coefficient, then we should find a more accurate semiclassical approximation (in order to take into account both decreasing and increasing components of the wave function in the tunneling region). In such a case, we shall face another problem, namely the presence of a non-zero interference between the incident and reflected waves. Now the relation  $T + R = 1$  cannot be used as test, and one needs to take the third component  $M$  of interference into account (see (Maydanyuk, 2010)). If we improperly separate the exactly known full wave function in the incident and reflected waves<sup>4</sup>, the interference component should increase without limit. In such a case, the penetrability and reflection

<sup>4</sup> However, the semiclassical approaches have no apparatus for such an analysis.

can freely exceed unit and increase without limit. What is now the general meaning of the penetrability?

(3) We shall give only some examples from quantum mechanics. (i) If we consider two-dimensional penetration of the packet through the simplest rectangle barrier (with finite size), we shall see that the penetrability is directly dependent on direction of tunneling of the packet. So, the penetrability is not a single value but a function. (ii) If we consider one-dimensional tunneling of the packet through the simplest rectangular barrier, we shall obtain "interference picture" of its amplitude in the transmitted region, which is dependent on time and space coordinates and is an exact analytical solution. Of course, the stationary part of such a result exactly coincides with well known stationary solutions (Maydanyuk, 2003).

(4) A tunneling boundary condition (Vilenkin, 1994) seems to be natural and clear, where the wave function should represent an outgoing wave at large scale factor  $a$ . However, is such a wave free? In contrast to problems of quantum atomic and nuclear physics, in cosmology we deal with potentials, which modules increase with increasing the scale factor  $a$  (their gradients increase, which have sense of force acting on the wave). Therefore, in quantum cosmology we should define the boundary condition on the basis of the waves propagating inside strong fields (see (Maydanyuk, 2010)).

These points destroy the semiclassical basis of the cosmological models. Now the statement concerning reliability of the semiclassical approach become a question of "faith" (note that this is widespread (Maydanyuk, 2010; 2011)). The semiclassical approach could be compared with "black box", where deeper and more detailed information about the dynamics of the universe is hidden.

## 6. A brief review on the problems of the Universe origin

In the science history and in the science philosophy of XX-XXI cc. (especially in the field of the natural sciences, beginning from physics) there has been a lot of interesting things, which had not obtained a sufficiently complete elucidation and analysis yet. Firstly, under the influence of scientific and technological progress a great attention has been paid to the justification of such direction in the science philosophy as the scientific realism (i.e. the correspondence of the science to the reality), which has successively acquired three forms: the naive realism, the usual realism and the critical science realism. Secondly, some new important problems of physics (especially the problem of the essentially probabilistic description of the reality of the microscopic world, the problem of the essential influence of the observer on the reality, the collapse of the wave function) had been revealed in the development of quantum mechanics, *the continuously complicated interpretation of the Universe origin and the expansion after the Big Bang*, and also no succeeded attempt in explaining the origin of the biological life in terms of physics and other natural sciences, all being with a variety of interpretation versions, connected with the world-views of the researchers.

As to "great" and "grand" problems of natural sciences: There is an extensive introduction in the large number of open problems in many fields of physics, published by the Russian physicist V. Ginzburg in (Ginzburg, 1999) which is rather interesting to study. Inside this large list of open problems of modern physics (and in a certain degree of modern natural sciences), represented by V. Ginzburg repeatedly in Russian editions, some of them are marked him "great" or "grand" problems. Between namely these problems we would like to underline three of them.

a) *The problem of interpretation and comprehension of quantum mechanics (even of the non-relativistic quantum theory) remains still topical.* The majority of critics of quantum mechanics are unsatisfied with the probabilistic nature of its predictions. One can add here also the questions and paradoxes of the theory of quantum measurements theory, especially like the wave-function reduction. The appearance of quantum mechanics, and, in particular, the discussion of N. Bohr with A. Einstein (lasting many years), had seriously undermined the traditional forms of the naive realism in the philosophy of the scientific realism and had strongly influenced (and are continuing to influence) not only on physics but also on other kinds of knowledge in the sense of the dependence of the reality on the observer and, moreover, on our understanding of the human knowledge at all. More lately the new interpretation of quantum mechanics is appeared: in it the hypothesis of many universes, which are the exactly same as ours, permits to avoid the wave-function reduction.

b) *The relationship between physics and biology and, specifically, the problem of reductionism.* The main problem, according to V. Ginzburg, is connected with the explanation of the origin of the biologic life and the origin of the human abstract thinking (but the second one is connected not with biology but with the origin of the human spiritual life which is far beyond natural sciences). V. Ginzburg assumes that for a possible explanation of the origin of the biologic life one can naturally imagine a certain jump which is similar to some kind of phase transition (or, may be, certain synergetic process). But there are other points of view too.

c) *The cosmological problem (in other words, the problem of the Universe origin).* According to V. Ginzburg, it is also a grand problem, or strictly speaking, a great complex of cosmic problems many of which is far from the solution.

We did also analyzed in (Olkhovsky, 2010) these three problems in the context of other aspects, first of all regarding the increasing discussions between the supporters of two different meta-theoretical, meta-philosophical doctrines: either the beginning of the Universe formation from vacuum (“nothing”) is either a result of the irrational randomness after passing from other space-time dimensions or from other universe, caused by some unknown process, or a result of the creation of the expanding Universe (together with the laws of its functioning) by the supreme intelligent design from *nigilo*.

### 6.1 Schematic description of the problems connected with the Universe origin and expansion

Earlier, after Enlightenment till approximately 1920, scientists in the natural sciences did usually consider the Universe as eternally existing and eternally moving. Now the most convincing arguments against the model of the eternally existing Universe are:

- (a) the second law of thermodynamics which does inevitably bring to heat death of Universe,
- (b) the observed cosmic microwave background.

The most surprising conclusion of the revealed non-stationary state of the Universe is the existence of the “beginning”, under which the majority of physicists understand the beginning of the Universe expansion.

The cosmologic problem as the problem of the origin and evolution of the Universe has initiated to be analyzed by A. Einstein (after 1917) and now it is connected with papers of many other physicists. The first several authors had been G. Lemaitre (who proposed what became known as the Big Bang theory of the origin of the Universe, although he called it his “hypothesis of the primeval atom”), A. Friedman and G. Gamow.

And what namely had been in the “beginning”? Gamow had assumed in 1921 that the expansion had initiated from the super-condensed hot state as a result of the Big Bang,

to which he and others had ascribed the time moment  $t = 0$ , i.e. the beginning of the Universe history. The initial state in this model is in fact postulated. The nature of the initial super-condensed hot Universe state is not known. Such initial point (or super-small region), in which the temperature, pressure, energy density etc had reached the anomalous huge (almost infinite) values, can be considered as a particular point, where the “physical” processes cannot be described by physical equations and in fact are excluded from the model analysis. Under these conditions the theory of grand unification (or superunification) of all four known interactions (strong, weak, electromagnetic and gravitational) is assumed to act. But no satisfactory superunification has yet been constructed. The superstring theory claims the role of such superunification, but this goal has not yet been achieved (Ginzburg, 1999). Strictly speaking, namely in the region of this point (from  $t = 0$  till  $t_0 = 10^{-44}$  sec., where  $t_0$  is the Planck time) is arising the general problem of the world origin and also the choice dilemma: the beginning of the Universe formation from vacuum (“nothing”) is either a result of the irrational randomness after passing from other space-time dimensions or from other universe, caused by some unknown process, or a result of the creation of the expanding Universe (together with the laws of its functioning) by the supreme intelligent design from *nigilo*.

The framework for the standard cosmologic model relies on Einstein’s general relativity and on simplifying assumptions (such as homogeneity and isotropy of space). There are even non-standard alternative models. Now there are many supporters of Big Bang models. The number of papers and books on standard versions of the cosmologic Big Bang models is too enormous for citing in this short paper (it is possible to indicate, only for instance, (Hartle & Hawking, 1983; Kragh, 1996; Peacock, 1999; Vilenkin, 1994) for the initial reading in cosmology of the Universe and in the different quasi-classical and quantum approaches in cosmology for description of the creation and the initial expansion of the Universe). However, there is no well-supported model describing the Universe history prior to  $10^{-15}$  sec. or so. Apparently a new unified theory of quantum gravitation is needed to break this barrier but the theory of quantum gravitation is only schematically constructed in the quasilinear approximation. Understanding this earliest era in the history of the Universe is currently one of the most important unsolved problems in physics. Further, over the time interval  $10^{-35}$  sec., which is much larger than the Planck time and so can still be considered classically, the Universe was expanding (inflating) much more rapidly than in the known Friedman models. After the inflation, the Universe had been as though developing in accord with the Friedman’s scenario (Ginzburg, 1999). It may be possible to deduce what happened before inflation through observational tests yet to be discovered, and a crucial role at the inflation stage could be played the so-called  $\Lambda$ -term added to the Einstein equations of the General Relativity.

A lot of observations testify that there is exists non-luminous matter in the Universe which manifests itself owing to its gravitational interaction and is present everywhere — both in the galaxies and in the intergalactic space. And what is the nature of dark mass? According to the very popular hypothesis, the role of dark matter is played by the hypothetical WIMPs (Weakly Interacting Massive Particles) with masses higher than protons (Ginzburg, 1999). There are also exist some other candidates for the role of dark matter (for instance, pseudoscalar particles — axions) (Ellis, 1998). Cosmic strings can be also mentioned (Ginzburg, 1999).

The possibility of the existence of the above-mentioned  $\Lambda$ -term in equations of the General Relativity is now frequently referred to as “dark energy” or quintessence. For  $\Lambda > 0$  it “works” as “antigravity” (against the normal gravitational attraction) and testifies to the

acceleration of the Universe expansion in our epoch (Armendariz-Picon et al., 2000; Ginzburg, 1999).

Moreover, it is worth to underline that many physicists consider that the second law of thermodynamics is universal for all closed systems, including also our Universe as a whole (which is closed in naturalistic one-world view). Therefore the heat death is inevitable (see, for instance, (Ginzburg, 1999) and especially (Adams & Laughlin, 1997)).

There are also versions of the non-standard versions of the cosmologic Big Bang models (Albrecht & Magueijo, 1999; Moffat, 1993; Petit, 1988; Petit & Viton, 1989; Setterfield & Norman, 1987; Troitskii, 1987). We shall shortly refer to these models, noting that at least one of them (by B. Setterfield and T. Norman (Setterfield & Norman, 1987)) clearly speaks on the young Universe: They indicate that after the Big Bang the light speed had been gradually decreased approximately  $10^6 - 10^7$  times and it was deduced that the velocities of the electromagnetic and radioactive decays had been gradually decreased near  $10^7$  times too. In (Setterfield & Norman, 1987; Troitskii, 1987) it was deduced that after the inflation the Universe had not been really expanding.

## 6.2 On the anthropic principle

From 1973 (and particularly after eighties) the term "*anthropic principle*", introduced by B. Carter, has become to acquire in the science and out of the science a certain popularity (Barrow & Tipler, 1986; Carter, 1974). Carter and other authors had been noted that physical constants must have values in the very narrow interval in order the existence of the biologic life can become possible, and that the measured values of these constants are really found in this interval. In other words, the Universe seems to be exactly such as it is necessary for the origin of the life. If physical constants would be even slightly other, then the life could be impossible. After meeting such testimonies, a number of scientists had formulated several interpretations of anthropic principle each of which brings the researchers to the worldview choice in its peculiar way. We shall consider here two of them. According to the *weak anthropic principle (WAP)*, the observed values of physical and cosmological constants caused by the necessary demand that the regions, where the organic life would be developed, ought to be possible. And in the context of WAP there is the possibility of choice between two alternatives:

1. Either someone does irrationally believe that there are possible an infinity of universes, in the past, in the present and in the future, and we exist and are sure in the existence of our Universe namely because the unique combination of its parameters and properties could permit our origin and existence.
2. Or someone does (also irrationally) believe that our unique Universe is created by Intelligent Design of a Creator (God) and the human being is also created by Creator in order to govern the Universe.

According to the *strong anthropic principle (SAP)*, the Universe has to have such properties which permit earlier or later the development of life. This form of the anthropic principle does not only state that the universe properties are limited by the narrow set of values, compatible with the development of the human life, but does also state that this limitation is necessary for such purpose. So, one can interpret such tuning of the universe parameters as the testimony of the supreme intelligent design of a certain creative basis. There is also a rather unexpected interpretation of SAP, connected with the eastern philosophy, but it is not widely known.

## 7. Conclusions and perspectives

In this Chapter the closed Friedmann–Robertson–Walker model with quantization in the presence of a positive cosmological constant and radiation was studied. We have solved it numerically and have determined the tunneling probability for the birth of an asymptotically de-Sitter, inflationary Universe as a function of the radiation energy. Note the following.

1. A fully quantum definition of the wave which propagates inside strong field and which interact minimally with them, has been formulated for the first time, and approach for its determination has been constructed.
2. A new stationary approach for the determination of the incident, reflected and transmitted waves relatively to the barrier has been constructed. The tunneling boundary condition has been corrected.
3. A quantum stationary method of determination of coefficients of penetrability and reflection relatively to the barrier with analysis of uniqueness of solution has been developed, where for the first time non-zero interference between the incident and reflected waves has been taken into account and for its estimation the coefficient of mixing has been introduced.
4. In this chapter a development of the method of multiple internal reflections is presented (see Refs. (Cardone et al., 2006; Maydanyuk et al., 2002a;b; Maydanyuk, 2003; Olkhovsky & Maydanyuk, 2000), also Refs. (Anderson, 1989; Fermor, 1966; McVoy et al., 1967)). When the barrier is composed from arbitrary number  $n$  of rectangular potential steps, the exact analytical solutions for amplitudes of the wave function, the penetrability  $T_{\text{bar}}$  through the barrier and the reflection  $R_{\text{bar}}$  from it are found. At  $n \rightarrow \infty$  these solutions can be considered as exact limits for potential with the barrier and well of arbitrary shapes.

In such a quantum approach the penetrability of the barrier for the studied quantum cosmological model with parameters  $A = 36$ ,  $B = 12 \Lambda$  ( $\Lambda = 0.01$ ) has been estimated with a comparison with results of other known methods. Note the following.

1. The modulus of the coefficient of mixing is less  $10^{-19}$ . This points out that *there is no interference between the found incident and reflected waves close to the internal turning point.*
2. On the basis of the calculated coefficients we reconstruct a property (55) inside the whole studied range of energy of radiation (see Fig. 12).
3. The probability of penetration of the packet from the internal well outside with its tunneling through the barrier of arbitrary shape is determined. We call such coefficient as *coefficient of penetration. This coefficient is separated on the penetrability and a new coefficient, which characterizes oscillating behavior of the packet inside the internal well and is called coefficient of oscillation. The formula found, seems to be the fully quantum analogue of the semiclassical formula of  $\Gamma$  width of decay in quasistationary state proposed in Ref. (Gurvitz & Kälbermann, 1987).* Here, the coefficient of oscillations is the fully quantum analogue for the semiclassical  $F$  factor of formation and the coefficient of penetration is analogue for the semiclassical  $\Gamma$  width.
4. The penetrability of the barrier visibly changes in dependence of the position of the starting point  $R_{\text{start}}$  inside the internal well, where the packet begins to propagate (see figs. 9). We note the following peculiarities: the penetrability has oscillating behavior, difference between its minimums and maximums is minimal at  $R_{\text{start}}$  in the center of the well, with increasing  $R_{\text{start}}$  this difference increases achieving to maximum near the turning

point. The coefficients of reflection, oscillations and penetration have similar behavior. We achieve coincidence (up to the first 15 digits) between the amplitudes of the wave function obtained by such a method, and the corresponding amplitudes obtained by the standard approach of quantum mechanics (see Appendix B in (Maydanyuk & Belchikov, 2011) where solutions for amplitudes were calculated in general quantum decay problem). This confirms that this result does not depend on a choice of the fully quantum method applied for calculations. Such a peculiarity is shown in the fully quantum considerations and it is hidden after imposing the semiclassical restrictions.

5. The coefficient of penetration has oscillating dependence on the energy of radiation. Here, peaks are clearly shown. They are localized at similar distances (see figs. 11). So, for the first time we have obtained in the fully quantum approach a clear and stable picture of resonances, which indicate the presence of some early unknown quasistationary states. If the energy of radiation increases, the penetrability is monotonously changed. It describes a general tendency of behavior of the coefficient of penetration, while the coefficient of oscillations gives peaks. Now the reason of existence of resonances becomes clear: oscillations of the packet inside the internal well give rise to them. In particular, we establish 134 such resonant levels inside range  $E_{\text{rad}} = 0-223$  for the barrier (8) with parameters  $A = 0.001$  and  $B = 0.001$ .
6. A dependence of the penetrability on the starting point has maxima and minima. This allows to predict some definite initial values of the scale factor, when the universe begins to expand. Such initial data is direct result of quantization of the cosmological model.
7. The modulus of the wave function in the internal and external regions has minima and maxima which were clearly established in (Maydanyuk, 2008; 2010). This indicates, in terms of values of the scale factor, where the probable "appearance" of the universe is maximal or minimal. So, the radius of the universe during its expansion changes not continuously, but consequently passes through definite discrete values connected with these maxima. It follows that space-time of universe on the first stage after quantization seems to be rather discrete than continuous. According to results (Maydanyuk, 2008; 2010; 2011), difference between maxima and minima is slowly smoothed with increasing of the scale factor  $a$ . In this way, we obtain the continuous structure of the space-time at latter times. The discontinuity of space-time is direct result of quantization of cosmological model. This new phenomenon is the most strongly shown on the first stage of expansion and disappears after imposition of the semiclassical approximations.

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# THEORETICAL CONCEPTS OF QUANTUM MECHANICS

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Edited by **Mohammad Reza Pahlavani**

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## Contents

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- Preface IX**
- Chapter 1 **Complementarity in Quantum Mechanics and Classical Statistical Mechanics 1**  
Luisberis Velazquez Abad and Sergio Curilef Huichalaf
- Chapter 2 **The Physical Nature of Wave/Particle Duality 23**  
Marcello Cini
- Chapter 3 **The Bicomplex Heisenberg Uncertainty Principle 39**  
Raphaël Gervais Lavoie and Dominic Rochon
- Chapter 4 **Correspondence, Time, Energy, Uncertainty, Tunnelling, and Collapse of Probability Densities 65**  
Gabino Torres-Vega
- Chapter 5 **Anisotropic Kepler Problem and Critical Level Statistics 81**  
Kazuhiro Kubo and Tokuzo Shimada
- Chapter 6 **Theory of Elementary Particles Based on Newtonian Mechanics 107**  
Nikolai A. Magnitskii
- Chapter 7 **Better Unification for Physics in General Through Quantum Mechanics in Particular 127**  
Cynthia Kolb Whitney
- Chapter 8 **Nonrelativistic Quantum Mechanics with Fundamental Environment 161**  
Ashot S. Gevorkyan
- Chapter 9 **Non Commutative Quantum Mechanics in Time - Dependent Backgrounds 187**  
Antony Streklas

- Chapter 10 **Quantum Mechanics and Statistical Description of Results of Measurement** 205  
Lubomír Skála and Vojtěch Kapsa
- Chapter 11 **Application of the Nikiforov-Uvarov Method in Quantum Mechanics** 225  
Cüneyt Berkdemir
- Chapter 12 **Solutions for Time-Dependent Schrödinger Equations with Applications to Quantum Dots** 253  
Ricardo J. Cordero-Soto
- Chapter 13 **The Group Theory and Non-Euclidean Superposition Principle in Quantum Mechanics** 263  
Nicolay V. Lunin
- Chapter 14 **The Pancharatnam-Berry Phase: Theoretical and Experimental Aspects** 289  
Francisco De Zela
- Chapter 15 **Bohmian Trajectories and the Path Integral Paradigm – Complexified Lagrangian Mechanics** 313  
Valery I. Sbitnev
- Chapter 16 **A Fully Quantum Model of Big Bang** 341  
S. P. Maydanyuk, A. Del Popolo, V. S. Olkhovsky and E. Recami ←
- Chapter 17 **Spontaneous Supersymmetry Breaking, Localization and Nicolai Mapping in Matrix Models** 383  
Fumihiko Sugino
- Chapter 18 **Correspondences of Scale Relativity Theory with Quantum Mechanics** 409  
Călin Gh. Buzea, Maricel Agop and Carmen Nejneru
- Chapter 19 **Approximate Solutions of the Dirac Equation for the Rosen-Morse Potential in the Presence of the Spin-Orbit and Pseudo-Orbit Centrifugal Terms** 445  
Kayode John Oyewumi
- Chapter 20 **Quantum Mechanics Entropy and a Quantum Version of the H-Theorem** 469  
Paul Bracken
- Chapter 21 **Correction, Alignment, Restoration and Re-Composition of Quantum Mechanical Fields of Particles by Path Integrals and Their Applications** 489  
Francisco Bulnes

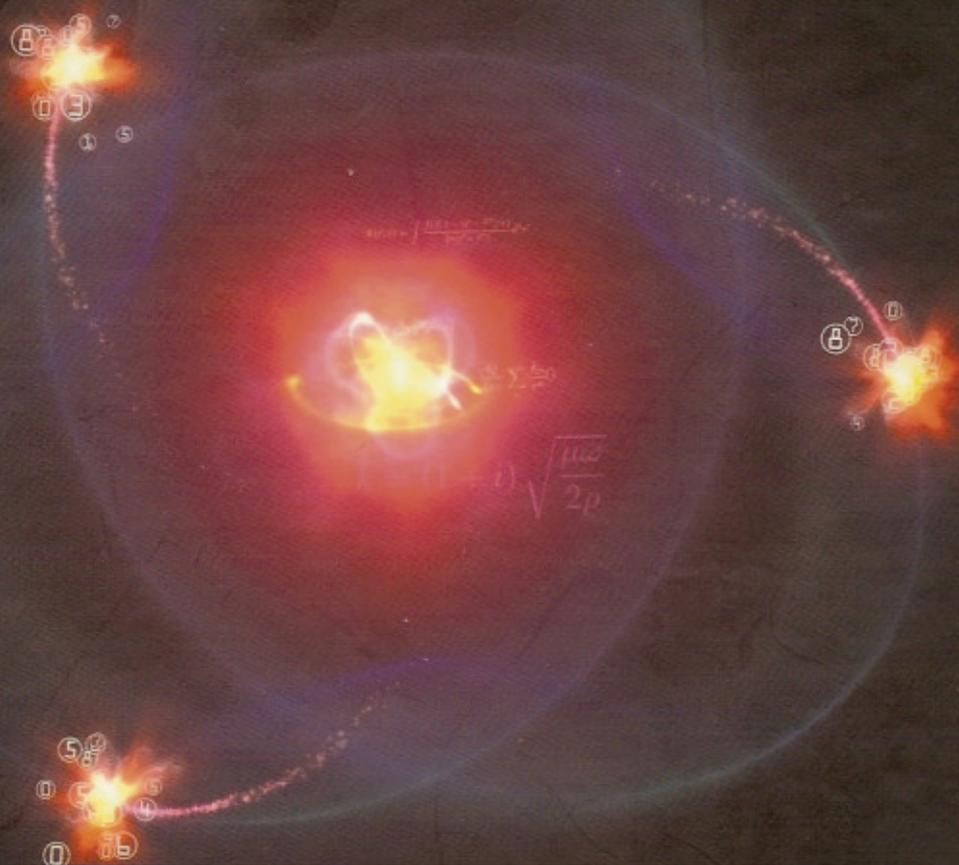
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THEORETICAL CONCEPTS OF  
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MECHANICS**

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Edited by **Mohammad Reza Pahlavani**

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